

On the Grad grad and div Div Complexes, and a Related Decomposition Result for Biharmonic Problems in 3D: Part 1

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Motivation

primal formulation

find $u \in W = H_0^2(\Omega)$ such that

$$\Delta^2 u = f \quad \text{in } W^* = H^{-2}(\Omega)$$

decomposition into a sequence of second-order problems?

observe that

$$\Delta^2 u = -\operatorname{div} \operatorname{Div} \mathbf{M} \quad \text{with} \quad \mathbf{M} = -\operatorname{Grad} \operatorname{grad} u$$

mixed formulation

find $\mathbf{M} \in \mathbf{V} \subset \mathbf{L}^2(\Omega, \mathbb{S})$ and $u \in Q \subset L^2(\Omega)$ such that

$$\begin{aligned} \mathbf{M} + \operatorname{Grad} \operatorname{grad} u &= 0 && \text{in } \mathbf{V}^* \\ \operatorname{div} \operatorname{Div} \mathbf{M} &= -f && \text{in } Q^* \end{aligned}$$

Motivation

block-triangular system for

$$\mathbf{M} \in \mathbf{V} \quad \text{and} \quad u \in Q$$

of the form

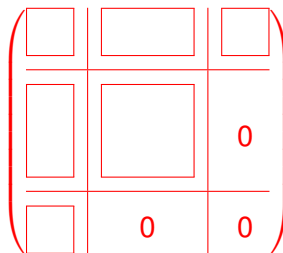
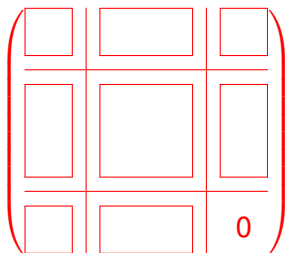
$$\begin{pmatrix} \boxed{} & \boxed{} \\ \hline \boxed{} & 0 \end{pmatrix} \begin{pmatrix} \mathbf{M} \\ \hline u \end{pmatrix} = \begin{pmatrix} 0 \\ \hline -f \end{pmatrix}$$

Motivation

decomposition

$$\mathbf{V} = \mathbf{V}_1 \oplus \mathbf{V}_2 \quad \text{with} \quad \mathbf{V}_2 = \ker \operatorname{div} \operatorname{Div}$$

leads to



- 1 The space \mathbf{V}
- 2 A direct and regular decomposition of \mathbf{V}
- 3 The kernel of $\operatorname{div} \operatorname{Div}$
- 4 Discretization
- 5 Conclusion, extension and outlook

The space V

Mixed variational formulation for $f \in H^{-2}(\Omega)$

Find $\mathbf{M} \in \mathbf{L}^2(\Omega, \mathbb{S})$ and $u \in H_0^2(\Omega)$ such that

$$\begin{aligned}\mathbf{M} + (\operatorname{div} \operatorname{Div})^* u &= 0 && \text{in } \mathbf{L}^2(\Omega, \mathbb{S})^* \\ \operatorname{div} \operatorname{Div} \mathbf{M} &= -f && \text{in } H^{-2}(\Omega)\end{aligned}$$

Mixed variational formulation for $f \in L^2(\Omega)$

Find $\mathbf{M} \in \mathbf{H}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S})$ and $u \in L^2(\Omega)$ such that

$$\begin{aligned}\mathbf{M} + (\operatorname{div} \operatorname{Div})^* u &= 0 && \text{in } \mathbf{H}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S})^* \\ \operatorname{div} \operatorname{Div} \mathbf{M} &= -f && \text{in } L^2(\Omega)^*\end{aligned}$$

The space \mathbf{V}

New mixed variational formulation for $f \in H^{-1}(\Omega)$

Find $\mathbf{M} \in \mathbf{V}$ and $u \in H_0^1(\Omega)$ such that

$$\begin{aligned} \mathbf{M} + (\operatorname{div} \operatorname{Div})^* u &= 0 && \text{in } \mathbf{V}^* \\ \operatorname{div} \operatorname{Div} \mathbf{M} &= -f && \text{in } H^{-1}(\Omega) \end{aligned}$$

with

$$\mathbf{V} = \{\mathbf{N} \in \mathbf{L}^2(\Omega, \mathbb{S}) : \operatorname{div} \operatorname{Div} \mathbf{N} \in H^{-1}(\Omega)\} \equiv \mathbf{H}^{0,-1}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S})$$

norm

$$\|\mathbf{N}\|_{\mathbf{V}} = \left(\|\mathbf{N}\|_{\mathbf{L}^2(\Omega)}^2 + \|\operatorname{div} \operatorname{Div} \mathbf{N}\|_{H^{-1}(\Omega)}^2 \right)^{1/2}$$

$$\mathbf{H}^1(\Omega, \mathbb{S}) \subset \mathbf{H}^{0,-1}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S}) \subset \mathbf{L}^2(\Omega, \mathbb{S})$$

Bernardi/Girault/Maday (1992), Z. (2015), Pechstein/Schöberl (2011)

The space \mathbf{V}

New mixed variational formulation for $f \in H^{-1}(\Omega)$

Find $\mathbf{M} \in \mathbf{V} = \mathbf{H}^{0,-1}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S})$ and $u \in Q = H_0^1(\Omega)$ such that

$$\begin{aligned} \langle \mathbf{M}, \mathbf{N} \rangle + \langle \operatorname{div} \operatorname{Div} \mathbf{N}, u \rangle &= 0 && \text{for all } \mathbf{N} \in \mathbf{V} \\ \langle \operatorname{div} \operatorname{Div} \mathbf{M}, v \rangle &= -\langle f, v \rangle && \text{for all } v \in Q \end{aligned}$$

Theorem

The mixed problem is well-posed in $\mathbf{V} \times Q$, equipped with the norms

$$\|\mathbf{N}\|_{\mathbf{V}} = \left(\|\mathbf{N}\|_{\mathbf{L}^2(\Omega)}^2 + \|\operatorname{div} \operatorname{Div} \mathbf{N}\|_{H^{-1}(\Omega)}^2 \right)^{1/2}, \quad \|v\|_Q = \|v\|_{H_0^1(\Omega)}.$$

Theorem

If $(\mathbf{M}, u) \in \mathbf{V} \times Q = \mathbf{H}^{0,-1}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S}) \times H_0^1(\Omega)$ is the solution to the mixed problem

$$\begin{aligned} \mathbf{M} + (\operatorname{div} \operatorname{Div})^* u &= 0 && \text{in } \mathbf{V}^* \\ \operatorname{div} \operatorname{Div} \mathbf{M} &= -f && \text{in } Q^* \end{aligned}$$

then $u \in W = H_0^2(\Omega)$ and u is the solution to the primal problem

$$\Delta^2 u = f \quad \text{in } W^*$$

Vice versa, if $u \in W = H_0^2(\Omega)$ is the solution to the primal problem, then $\mathbf{M} = -\operatorname{Grad} \operatorname{grad} u \in \mathbf{V}$ and (\mathbf{M}, u) is the solution to the mixed problem.

Krendl/Rafetseder/Z. (2014,2016)

A regular decomposition of $\mathbf{H}^{0,-1}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S})$

Theorem

For each $\mathbf{M} \in \mathbf{H}^{0,-1}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S})$ there is a unique decomposition

$$\mathbf{M} = p\mathbf{I} + \mathbf{M}_0 \quad \text{with } \mathbf{I} \text{ identity matrix in } \mathbb{R}^d$$

with $p \in H_0^1(\Omega)$ and $\mathbf{M}_0 \in \ker \operatorname{div} \operatorname{Div}$.

The function $p \in H_0^1(\Omega)$ is the unique solution of the Poisson problem

$$\langle \operatorname{grad} p, \operatorname{grad} v \rangle = -\langle \operatorname{div} \operatorname{Div} \mathbf{M}, v \rangle \quad \text{for all } v \in H_0^1(\Omega)$$

Moreover,

$$\underline{c} \left(\|p\|_{H_0^1(\Omega)}^2 + \|\mathbf{M}_0\|_{\mathbf{L}^2(\Omega)}^2 \right) \leq \|\mathbf{M}\|_{\mathbf{V}}^2 \leq \bar{c} \left(\|p\|_{H_0^1(\Omega)}^2 + \|\mathbf{M}_0\|_{\mathbf{L}^2(\Omega)}^2 \right)$$

Decomposition of the biharmonic problem

Using

$$\mathbf{M} = p\mathbf{I} + \mathbf{M}_0, \quad \mathbf{N} = q\mathbf{I} + \mathbf{N}_0$$

the variational problem

$$\begin{aligned} \langle \mathbf{M}, \mathbf{N} \rangle + \langle \operatorname{div} \operatorname{Div} \mathbf{N}, u \rangle &= 0 && \text{for all } \mathbf{N} \in \mathbf{V} \\ \langle \operatorname{div} \operatorname{Div} \mathbf{M}, v \rangle &= -\langle f, v \rangle && \text{for all } v \in Q \end{aligned}$$

can be rewritten as follows:

Find $p \in H_0^1(\Omega)$, $\mathbf{M}_0 \in \ker \operatorname{div} \operatorname{Div} \subset \mathbf{L}^2(\Omega, \mathbb{S})$, $u \in H_0^1(\Omega)$ such that

$$\begin{aligned} d \langle p, q \rangle + \langle q, \operatorname{tr} \mathbf{M}_0 \rangle - \langle \operatorname{grad} u, \operatorname{grad} q \rangle &= 0 \\ \langle p, \operatorname{tr} \mathbf{N}_0 \rangle + \langle \mathbf{M}_0, \mathbf{N}_0 \rangle &= 0 \\ - \langle \operatorname{grad} p, \operatorname{grad} v \rangle &= -\langle f, v \rangle \end{aligned}$$

for all $q \in H_0^1(\Omega)$, $\mathbf{N}_0 \in \ker \operatorname{div} \operatorname{Div}$, and $v \in H_0^1(\Omega)$.

Let Ω be topologically simple.

- Let $\mathbf{M} \in \mathbf{L}^2(\Omega; \mathbb{S})$ with $\operatorname{div} \operatorname{Div} \mathbf{M} = 0$. Then

$$\mathbf{M} = \operatorname{sym} \operatorname{Curl} E, \quad E \in H^1(\Omega)^2 \quad \text{with} \quad \operatorname{Curl} E = \begin{bmatrix} \partial_2 E_1 & -\partial_1 E_1 \\ \partial_2 E_2 & -\partial_1 E_2 \end{bmatrix}$$

- Let $E \in L^2(\Omega)^2$ with $\operatorname{sym} \operatorname{Curl} E = 0$. Then

$$E \in \operatorname{RT}_0 = \{ax + b: a \in \mathbb{R}, b \in \mathbb{R}^2\}$$

Beirão da Veiga/Niiranen/Stenberg(2007), Huang/Huang/Xu (2011)

Decomposition of the biharmonic problem in 2D

Then the variational problem

$$\begin{aligned}d \langle p, q \rangle &+ \langle q, \operatorname{tr} \mathbf{M}_0 \rangle - \langle \operatorname{grad} u, \operatorname{grad} q \rangle = 0 \\ \langle p, \operatorname{tr} \mathbf{N}_0 \rangle &+ \langle \mathbf{M}_0, \mathbf{N}_0 \rangle = 0 \\ - \langle \operatorname{grad} p, \operatorname{grad} v \rangle &= - \langle f, v \rangle\end{aligned}$$

can be rewritten as follows:

Find $p \in H_0^1(\Omega)$, $E \in H^1(\Omega)^2$, and $u \in H_0^1(\Omega)$ such that

$$\begin{aligned}2 \langle p, q \rangle &+ \langle q, \operatorname{curl} E \rangle - \langle \operatorname{grad} u, \operatorname{grad} q \rangle = 0 \\ \langle p, \operatorname{curl} F \rangle &+ \langle \operatorname{sym} \operatorname{Curl} E, \operatorname{sym} \operatorname{Curl} F \rangle = 0 \\ \langle \operatorname{grad} p, \operatorname{grad} v \rangle &= - \langle f, v \rangle\end{aligned}$$

for all $q \in H_0^1(\Omega)$, $F \in H^1(\Omega)^2$, and $v \in H_0^1(\Omega)$.

Decomposition of biharmonic problems in 2D

Since

$$\text{sym Curl } E = (\text{div } V) \mathbf{I} - \text{sym Grad } V \quad \text{with} \quad V = \begin{bmatrix} -E_2 \\ E_1 \end{bmatrix},$$

the variational problem can be rewritten as follows:

Find $p \in H_0^1(\Omega)$, $V \in H^1(\Omega)^2$, and $u \in H_0^1(\Omega)$ such that

$$\begin{aligned} 2 \langle p, q \rangle &+ \langle q, \text{div } V \rangle &- \langle \text{grad } u, \text{grad } q \rangle &= 0 \\ \langle p, \text{div } W \rangle &+ \langle \text{sym Grad } V, \text{sym Grad } W \rangle &&= 0 \\ - \langle \text{grad } p, \text{grad } v \rangle &&&= - \langle f, v \rangle \end{aligned}$$

for all $q \in H_0^1(\Omega)$, $W \in H^1(\Omega)^2$, and $v \in H_0^1(\Omega)$.

Decomposition of biharmonic problems in 2D

decomposition (in strong form):

- ① **Poisson problem** with Dirichlet boundary conditions for p

$$-\Delta p = f \quad \text{in } \Omega, \quad p = 0 \quad \text{on } \Gamma$$

- ② **pure traction problem** with Poisson ratio 0 for V

$$-\text{Div}(\text{sym Grad } V) = \text{grad } p \quad \text{in } \Omega, \quad (\text{sym Grad } V) n = 0 \quad \text{on } \Gamma$$

- ③ **Poisson problem** with Dirichlet boundary conditions for u

$$-\Delta u = 2p + \text{div } V \quad \text{in } \Omega, \quad u = 0 \quad \text{on } \Gamma$$

Theorem

Let Ω be topologically simple.

- Let $\mathbf{M} \in \mathbf{L}^2(\Omega; \mathbb{S})$ with $\operatorname{div} \operatorname{Div} \mathbf{M} = 0$. Then

$$\mathbf{M} = \operatorname{sym} \operatorname{Curl} \mathbf{E}$$

with

$$\mathbf{E} \in \mathbf{H}^1(\Omega, \mathbb{T}) \quad \text{or} \quad \mathbf{E} \in \mathbf{H}(\operatorname{sym} \operatorname{Curl}; \Omega, \mathbb{T})$$

and, vice versa, ...

- Let $\mathbf{E} \in \mathbf{L}^2(\Omega, \mathbb{T})$ with $\operatorname{sym} \operatorname{Curl} \mathbf{E} = 0$. Then

$$\mathbf{E} = \operatorname{dev} \operatorname{Grad} V \quad \text{with} \quad V \in H^1(\Omega)^3$$

and, vice versa, ...

Theorem (Cont.)

- Let $V \in L^2(\Omega)^3$ with $\operatorname{dev} \operatorname{Grad} V = 0$. Then

$$V \in \operatorname{RT}_0 = \{ax + b : a \in \mathbb{R}, b \in \mathbb{R}^3\}$$

and, vice versa, ...

- The potential \mathbf{E} is uniquely determined in

$$\mathbf{H}(\operatorname{sym} \operatorname{Curl}; \Omega, \mathbb{T}) \cap \mathbf{H}_0(\operatorname{Div}; \Omega, \mathbb{T})$$

with

$$\operatorname{Div} \mathbf{E} = 0 \quad \text{in } \Omega.$$

Quenneville-Bélair (2015), Pauly/Z. (2016)

Decomposition of the biharmonic problem in 3D

Then the variational problem

$$\begin{aligned}d \langle p, q \rangle &+ \langle q, \operatorname{tr} \mathbf{M}_0 \rangle - \langle \operatorname{grad} u, \operatorname{grad} q \rangle = 0 \\ \langle p, \operatorname{tr} \mathbf{N}_0 \rangle &+ \langle \mathbf{M}_0, \mathbf{N}_0 \rangle = 0 \\ - \langle \operatorname{grad} p, \operatorname{grad} v \rangle &= - \langle f, v \rangle\end{aligned}$$

can be rewritten as follows:

Find $p \in H_0^1(\Omega)$, $\mathbf{E} \in \mathbf{H}(\operatorname{sym} \operatorname{Curl}, \Omega, \mathbb{T})$, and $u \in H_0^1(\Omega)$ such that

$$\begin{aligned}3 \langle p, q \rangle &+ \langle q, \operatorname{tr} \operatorname{sym} \operatorname{Curl} \mathbf{E} \rangle - \langle \operatorname{grad} u, \operatorname{grad} q \rangle = 0 \\ \langle p, \operatorname{tr} \operatorname{sym} \operatorname{Curl} \mathbf{F} \rangle &+ \langle \operatorname{sym} \operatorname{Curl} \mathbf{E}, \operatorname{sym} \operatorname{Curl} \mathbf{F} \rangle = 0 \\ - \langle \operatorname{grad} p, \operatorname{grad} v \rangle &= - \langle f, v \rangle\end{aligned}$$

for all $q \in H_0^1(\Omega)$, $\Psi \in \mathbf{H}(\operatorname{sym} \operatorname{Curl}, \Omega, \mathbb{T})$, and $v \in H_0^1(\Omega)$.

The Hellan-Herrmann-Johnson method

$k \in \mathbb{N}$, P_k polynomials of total degree $\leq k$.

approximation space for \mathbf{M}

$$\mathbf{V}_h = \{ \mathbf{N} \in \mathbf{L}^2(\Omega, \mathbb{S}) : \mathbf{N}|_T \in P_{k-1} \text{ for all } T \in \mathcal{T}_h, \text{ and } \mathbf{N}_{nn} \text{ is continuous across all } e \in \mathcal{E}_h \}.$$

approximation space for u

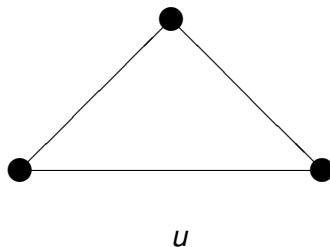
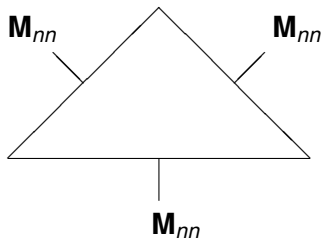
$$Q_h = \mathcal{S}_{h,0} = \mathcal{S}_h \cap H_0^1(\Omega)$$

with the standard finite element spaces

$$\mathcal{S}_h = \{ v \in C(\overline{\Omega}) : v|_T \in P_k \text{ for all } T \in \mathcal{T}_h \}$$

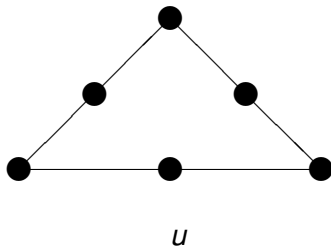
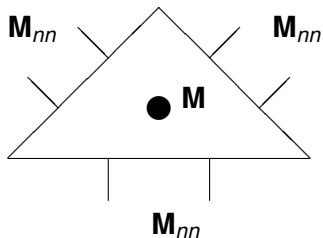
Hellan-Herrmann-Johnson element of order $k = 1$

degrees of freedom



Hellan-Herrmann-Johnson element of order $k = 2$

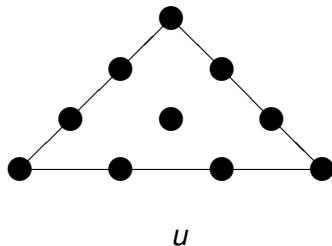
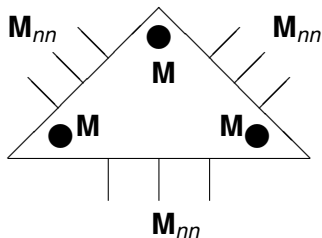
degrees of freedom



Discretization

Hellan-Herrmann-Johnson element of order $k = 3$

degrees of freedom



The HHJ method

Observe that

$$\mathbf{V}_h \not\subset \mathbf{V} = \mathbf{H}^{0,-1}(\operatorname{div} \operatorname{Div}; \Omega, \mathbb{S})$$

Hellan-Herrmann-Johnson (HHJ) method:

Find $\mathbf{M}_h \in \mathbf{V}_h$ and $u_h \in Q_h$ such that

$$\begin{aligned} \langle \mathbf{M}_h, \mathbf{N}_h \rangle + \langle \operatorname{div} \operatorname{Div}_h \mathbf{N}_h, u_h \rangle &= 0 && \text{for all } \mathbf{N}_h \in \mathbf{V}_h \\ \langle \operatorname{div} \operatorname{Div}_h \mathbf{M}_h, v_h \rangle &= -\langle f, v_h \rangle && \text{for all } v_h \in Q_h \end{aligned}$$

where

$$\langle \operatorname{div} \operatorname{Div}_h \mathbf{N}, v \rangle = \sum_{T \in \mathcal{T}_h} \left\{ \int_T \mathbf{N} : \operatorname{grad}^2 v \, dx - \int_{\partial T} \mathbf{N}_{nn} \partial_n v \, ds \right\}$$

The HHJ method

interpolation operator

$$\mathbf{\Pi}_h: \mathbf{W} \subset \mathbf{V} \longrightarrow \mathbf{V}_h$$

given by the conditions

$$\int_e ((\mathbf{\Pi}_h \mathbf{N})_{nn} - \mathbf{N}_{nn}) q \, ds = 0, \quad \text{for all } q \in P_{k-1}, e \in \mathcal{E}_h,$$

$$\int_T (\mathbf{\Pi}_h \mathbf{N} - \mathbf{N}) q \, dx = 0, \quad \text{for all } q \in P_{k-2}, T \in \mathcal{T}_h$$

Brezzi/Raviart (1977)

Theorem

For each $\mathbf{M}_h \in \mathbf{V}_h$ there is a unique decomposition

$$\mathbf{M}_h = \mathbf{\Pi}_h(p_h \mathbf{I}) + \text{sym Curl } E_h$$

with $p_h \in \mathcal{S}_{h,0}$ and $E_h \in (\mathcal{S}_h)^2$.

The HHJ method

With the representation

$$\mathbf{M}_h = \mathbf{\Pi}_h(\rho_h \mathbf{I}) + \text{sym Curl } E_h \quad \text{and} \quad \mathbf{N}_h = \mathbf{\Pi}_h(q_h \mathbf{I}) + \text{sym Curl } \psi_h$$

the HHJ method reads:

Find $\rho_h \in \mathcal{S}_{h,0}$, $E_h \in \mathcal{S}_h^2$, and $u_h \in \mathcal{S}_{h,0}$ such that

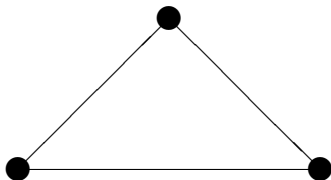
$$\begin{aligned} \langle \mathbf{\Pi}_h(\rho_h \mathbf{I}), \mathbf{\Pi}_h(q_h \mathbf{I}) \rangle &+ \langle \text{sym Curl } E_h, \mathbf{\Pi}_h(q_h \mathbf{I}) \rangle &- \langle \text{grad } u_h, \text{grad } q_h \rangle &= 0 \\ \langle \mathbf{\Pi}_h(\rho_h \mathbf{I}), \text{sym Curl } F_h \rangle &+ \langle \text{sym Curl } E_h, \text{sym Curl } F_h \rangle &&= 0 \\ - \langle \text{grad } \rho_h, \text{grad } v_h \rangle &&&= - \langle f, v_h \rangle \end{aligned}$$

for all $q_h \in \mathcal{S}_{h,0}$, $F_h \in \mathcal{S}_h^2$, and $v_h \in \mathcal{S}_{h,0}$.

The HHJ method

Hellan-Herrmann-Johnson element of order $k = 1$

all degrees of freedom for \mathbf{M} and u are collocated

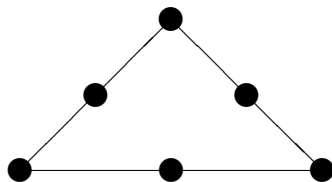


p, E, u

The HHJ method

Hellan-Herrmann-Johnson element of order $k = 2$

all degrees of freedom for \mathbf{M} and u are collocated

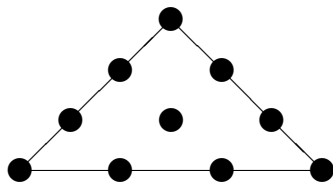


p, E, u

The HHJ method

Hellan-Herrmann-Johnson element of order $k = 3$

all degrees of freedom for \mathbf{M} and u are collocated



p, E, u

A conforming variant of the HHJ method

Removing the interpolation operator Π_h in

$$\begin{aligned}\langle \Pi_h(p_h \mathbf{I}), \Pi_h(q_h \mathbf{I}) \rangle &+ \langle \text{sym Curl } E_h, \Pi_h(q_h \mathbf{I}) \rangle &- \langle \text{grad } u_h, \text{grad } q_h \rangle &= 0 \\ \langle \Pi_h(p_h \mathbf{I}), \text{sym Curl } F_h \rangle &+ \langle \text{sym Curl } E_h, \text{sym Curl } F_h \rangle &&= 0 \\ - \langle \text{grad } p_h, \text{grad } v_h \rangle &&&= - \langle f, v_h \rangle\end{aligned}$$

leads to the following conforming variant:

Find $p_h \in \mathcal{S}_{h,0}$, $E_h \in \mathcal{S}_h^2$, and $u_h \in \mathcal{S}_{h,0}$ such that

$$\begin{aligned}\langle p_h \mathbf{I}, q_h \mathbf{I} \rangle &+ \langle \text{sym Curl } E_h, q_h \mathbf{I} \rangle &- \langle \text{grad } u_h, \text{grad } q_h \rangle &= 0 \\ \langle p_h \mathbf{I}, \text{sym Curl } F_h \rangle &+ \langle \text{sym Curl } E_h, \text{sym Curl } F_h \rangle &&= 0 \\ - \langle \text{grad } p_h, \text{grad } v_h \rangle &&&= - \langle f, v_h \rangle\end{aligned}$$

for all $q_h \in \mathcal{S}_{h,0}$, $F_h \in \mathcal{S}_h^2$, and $v_h \in \mathcal{S}_{h,0}$.

- biharmonic problems can be decomposed in three (consecutively to solve) second-order problems
- extension to more general fourth-order problems of the form

$$\operatorname{div} \operatorname{Div}(C \operatorname{Grad} \operatorname{grad} u) - \operatorname{div}(C \operatorname{grad} u) + c u = f$$

leads to the construction of optimal preconditioners.

- work in progress: finite element spaces for $\mathbf{H}(\operatorname{sym} \operatorname{Curl}; \Omega, \mathbb{T})$