



On some Hilbert complexes, related compact embeddings, ... and more

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classical de Rham complex in 3D (∇ -rot-div-complex)

$\Omega \subset \mathbb{R}^3$ bounded weak Lipschitz domain, $\partial\Omega = \Gamma = \overline{\Gamma_t \dot{\cup} \Gamma_n}$

(electro-magnetics, Maxwell's equations)

$$\{0\} \begin{array}{c} \xleftrightarrow{L^2} \\ \xleftrightarrow{\pi_{\{0\}}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\dot{\nabla}} \\ \xleftrightarrow{-\operatorname{div}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\operatorname{rot}} \\ \xleftrightarrow{\operatorname{rot}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\operatorname{div}} \\ \xleftrightarrow{-\nabla} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\pi_{\mathbb{R}}} \\ \xleftrightarrow{L^{\mathbb{R}}} \end{array} \mathbb{R}$$

mixed boundary conditions and inhomogeneous and anisotropic media

$$\{0\} \text{ or } \mathbb{R} \begin{array}{c} \xleftrightarrow{L^2} \\ \xleftrightarrow{\pi} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\nabla_{\Gamma_t}} \\ \xleftrightarrow{-\operatorname{div}_{\Gamma_n} \varepsilon} \end{array} L^2_{\varepsilon} \begin{array}{c} \xleftrightarrow{\mu^{-1} \operatorname{rot}_{\Gamma_t}} \\ \xleftrightarrow{\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}} \end{array} L^2_{\mu} \begin{array}{c} \xleftrightarrow{\operatorname{div}_{\Gamma_t} \mu} \\ \xleftrightarrow{-\nabla_{\Gamma_n}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\pi} \\ \xleftrightarrow{L} \end{array} \mathbb{R} \text{ or } \{0\}$$

for this talk: $\varepsilon = \mu = 1$ (= id) and no mixed boundary conditions
for all appearing complexes



de Rham complex in ND or on Riemannian manifolds (d-complex)

$\Omega \subset \mathbb{R}^N$ bd w. Lip. dom. or Ω Riemannian manifold with cpt cl. and Lip. boundary Γ
 (generalized Maxwell equations the mother of all complexes)

$$\{0\} \begin{array}{c} \xrightarrow{\iota_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{array} L^{2,0} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,1} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} \dots \boxed{L^{2,q} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,q+1}} \dots L^{2,N-1} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,N} \begin{array}{c} \xrightarrow{\pi_{\mathbb{R}}} \\ \xleftarrow{\iota_{\mathbb{R}}} \end{array} \mathbb{R}$$



elasticity complex in 3D (sym ∇ -Rot Rot $_S^T$ -Div $_S$ -complex)

$\Omega \subset \mathbb{R}^3$ bounded strong Lipschitz domain

$$\begin{array}{ccccccc}
 \{0\} & \begin{array}{c} \iota_{\{0\}} \\ \rightleftarrows \\ \pi_{\{0\}} \end{array} & L^2 & \begin{array}{c} \mathop{\text{sym}} \nabla \\ \rightleftarrows \\ -\text{Div}_S \end{array} & L^2_S & \begin{array}{c} \text{Rot Rot}_S^T \\ \rightleftarrows \\ \text{Rot Rot}_S^T \end{array} & L^2_S & \begin{array}{c} \mathop{\text{Div}}_S \\ \rightleftarrows \\ -\mathop{\text{sym}} \nabla \end{array} & L^2 & \begin{array}{c} \pi_{\text{RM}} \\ \rightleftarrows \\ \iota_{\text{RM}} \end{array} & \text{RM}
 \end{array}$$



biharmonic / general relativity complex in 3D ($\nabla\nabla$ -Rot_S-Div_T-complex)

$\Omega \subset \mathbb{R}^3$ bounded strong Lipschitz domain

$$\begin{array}{ccccccc}
 \{0\} & \begin{array}{c} \hookrightarrow_{\iota_{\{0\}}} \\ \leftarrow_{\pi_{\{0\}}} \end{array} & L^2 & \begin{array}{c} \nabla\nabla \\ \leftarrow_{\text{div Div}_S} \end{array} & L^2_S & \begin{array}{c} \mathring{\text{Rot}}_S \\ \leftarrow_{\text{sym Rot}_T} \end{array} & L^2_T & \begin{array}{c} \text{Div}_T \\ \leftarrow_{-\text{dev } \nabla} \end{array} & L^2 & \begin{array}{c} \pi_{RT} \\ \leftarrow_{\iota_{RT}} \end{array} & RT
 \end{array}$$



general complex

$$\begin{aligned}
 A_0 : D(A_0) \subset H_0 &\rightarrow H_1, & A_1 : D(A_1) \subset H_1 &\rightarrow H_2 \\
 A_0^* : D(A_0^*) \subset H_1 &\rightarrow H_0, & A_1^* : D(A_1^*) \subset H_2 &\rightarrow H_1
 \end{aligned} \tag{lddc}$$

general complex property $A_1 A_0 = 0$,

i.e., $R(A_0) \subset N(A_1)$ and/or eq $R(A_1^*) \subset N(A_0^*)$

$$\dots \begin{array}{c} \cdots \\ \rightleftarrows \\ \cdots \end{array} H_0 \begin{array}{c} A_0 \\ \rightleftarrows \\ A_0^* \end{array} H_1 \begin{array}{c} A_1 \\ \rightleftarrows \\ A_1^* \end{array} H_2 \begin{array}{c} \cdots \\ \rightleftarrows \\ \cdots \end{array} \dots$$



general observations

$$Ax = f$$

general theory

- solution theory
- Friedrichs/Poincaré estimates and constants
- Helmholtz/Hodge/Weyl decompositions
- compact embeddings
- continuous and compact inverse operators
- closed ranges
- variational formulations
- functional a posteriori error estimates
- generalized div-curl-lemma
- ...

idea: solve problem with general and simple linear functional analysis

⇒ functional analysis toolbox (fa-toolbox) ...



general observations

$$Ax = f$$

let's say $A : D(A) \subset H_0 \rightarrow H_1$ linear and H_0, H_1 Hilbert spaces

question: How to solve?



general observations

$$Ax = f$$

$A : D(A) \subset H_0 \rightarrow H_1$ linear

solution theory in the sense of Hadamard

- existence $\Leftrightarrow f \in R(A)$
- uniqueness $\Leftrightarrow A$ inj $\Leftrightarrow N(A) = \{0\} \Leftrightarrow A^{-1}$ exists
- cont dep on f $\Leftrightarrow A^{-1}$ cont

$\Rightarrow x = A^{-1}f \in D(A)$ and cont estimate (Friedrichs/Poincaré type estimate)

$$|x|_{H_0} = |A^{-1}f|_{H_0} \leq c_A |f|_{H_1} = c_A |Ax|_{H_1}$$

\Rightarrow best constant $c_A = |A^{-1}|_{R(A), H_0} \quad |A^{-1}|_{R(A), D(A)} = (c_A^2 + 1)^{1/2}$



general observations

$$A : D(A) \subset H_0 \rightarrow H_1$$

$$A^* : D(A^*) \subset H_1 \rightarrow H_0 \text{ Hilbert space adjoint}$$

Helmholtz/Hodge/Weyl decompositions (projection theorem)

$$H_1 = \overline{R(A)} \oplus N(A^*) \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

$$Ax = f$$

solution theory in the sense of Hadamard

- existence $\Leftrightarrow f \in R(A) = N(A^*)^\perp$
- uniqueness $\Leftrightarrow A \text{ inj} \Leftrightarrow N(A) = \{0\} \Leftrightarrow A^{-1} \text{ exists}$
- cont dep on $f \Leftrightarrow A^{-1} \text{ cont} \Leftrightarrow R(A) \text{ cl} \quad (\text{cl range theo})$

fund range cond: $R(A) = \overline{R(A)}$ closed (must hold \leadsto right setting!)

kernel cond: $N(A) = \{0\}$ (fails in gen \leadsto proj onto $N(A)^\perp = \overline{R(A^*)}$)



general observations

Helmholtz/Hodge/Weyl decompositions (projection theorem)

$$H_1 = \overline{R(A)} \oplus N(A^*) \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

remarkable observations

- time-dependent problems are simple
in gen $A : D(A) \subset H \rightarrow H, \quad A = \partial_t + T$ (gen T skew-sa, or alt lsast $\text{Re } T \geq 0$)

$$N(A) = \{0\} \quad N(A^*) = \{0\} \quad R(A) \text{ (cl) } = N(A^*)^\perp = H$$

- time-harmonic problems are more complicated
in gen $A : D(A) \subset H \rightarrow H, \quad A = -\omega + T$

$$N(A), N(A^*) \text{ (fin dim)} \quad R(A) \text{ (cl, fin co-dim)} = N(A^*)^\perp$$

(Fredholm alternative)

- stat problems are most complicated
in gen $A : D(A) \subset H_0 \rightarrow H_1$

$$\dim N(A) = \dim N(A^*) = \infty \text{ (possibly)} \quad R(A) \text{ (cl, infin co-dim)} = N(A^*)^\perp$$



1st fundamental observations

$A : D(A) \subset H_0 \rightarrow H_1$ lddc, $A^* : D(A^*) \subset H_1 \rightarrow H_0$ Hilbert space adjoint

(A, A^*) dual pair as $(A^*)^* = \overline{A} = A$

A, A^* may not be inj

Helmholtz/Hodge/Weyl decompositions (projection theorem)

$$H_1 = N(A^*) \oplus \overline{R(A)} \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

reduced operators restr to $N(A)^\perp$ and $N(A^*)^\perp$

$$\mathcal{A} := A|_{N(A)^\perp} = A|_{\overline{R(A^*)}} \quad \mathcal{A}^* := A^*|_{N(A^*)^\perp} = A^*|_{\overline{R(A)}}$$

$\mathcal{A}, \mathcal{A}^*$ inj $\Rightarrow \mathcal{A}^{-1}, (\mathcal{A}^*)^{-1}$ ex



1st fundamental observations

$A : D(A) \subset H_0 \rightarrow H_1$, $A^* : D(A^*) \subset H_1 \rightarrow H_0$ lddc (A, A^*) dual pair

$$H_1 = N(A^*) \oplus \overline{R(A)} \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

more precisely

$$\mathcal{A} := A|_{\overline{R(A^*)}} : D(\mathcal{A}) \subset \overline{R(A^*)} \rightarrow \overline{R(A)}, \quad D(\mathcal{A}) := D(A) \cap N(A)^\perp = D(A) \cap \overline{R(A^*)}$$

$$\mathcal{A}^* := A^*|_{\overline{R(A)}} : D(\mathcal{A}^*) \subset \overline{R(A)} \rightarrow \overline{R(A^*)}, \quad D(\mathcal{A}^*) := D(A^*) \cap N(A^*)^\perp = D(A^*) \cap \overline{R(A)}$$

$(\mathcal{A}, \mathcal{A}^*)$ dual pair and $\mathcal{A}, \mathcal{A}^*$ inj \Rightarrow

inverse ops exist (and bij)

$$\mathcal{A}^{-1} : R(A) \rightarrow D(\mathcal{A}) \quad (\mathcal{A}^*)^{-1} : R(A^*) \rightarrow D(\mathcal{A}^*)$$

refined decompositions

$$D(A) = N(A) \oplus D(\mathcal{A}) \quad D(A^*) = N(A^*) \oplus D(\mathcal{A}^*)$$

\Rightarrow

$$R(A) = R(\mathcal{A}) \quad R(A^*) = R(\mathcal{A}^*)$$



1st fundamental observations

closed range theorem & closed graph theorem \Rightarrow

Lemma (Friedrichs-Poincaré type est/cl range/cont inv)

The following assertions are equivalent:

- (i) $\exists c_A \in (0, \infty) \quad \forall x \in D(\mathcal{A}) \quad |x|_{H_0} \leq c_A |A x|_{H_1}$
- (i*) $\exists c_{A^*} \in (0, \infty) \quad \forall y \in D(\mathcal{A}^*) \quad |y|_{H_1} \leq c_{A^*} |A^* y|_{H_0}$
- (ii) $R(A) = R(\mathcal{A})$ is closed in H_1 .
- (ii*) $R(A^*) = R(\mathcal{A}^*)$ is closed in H_0 .
- (iii) $\mathcal{A}^{-1} : R(A) \rightarrow D(\mathcal{A})$ is continuous and bijective.
- (iii*) $(\mathcal{A}^*)^{-1} : R(A^*) \rightarrow D(\mathcal{A}^*)$ is continuous and bijective.

In case that one of the latter assertions is true, e.g., (ii), $R(A)$ is closed, we have

$$\begin{aligned} H_0 &= N(A) \oplus R(A^*) & H_1 &= N(A^*) \oplus R(A) \\ D(A) &= N(A) \oplus D(\mathcal{A}) & D(A^*) &= N(A^*) \oplus D(\mathcal{A}^*) \\ D(\mathcal{A}) &= D(A) \cap R(A^*) & D(\mathcal{A}^*) &= D(A^*) \cap R(A) \end{aligned}$$

and $\mathcal{A} : D(\mathcal{A}) \subset R(A^*) \rightarrow R(A)$, $\mathcal{A}^* : D(\mathcal{A}^*) \subset R(A) \rightarrow R(A^*)$.



1st fundamental observations

recall

$$(i) \quad \exists c_A \in (0, \infty) \quad \forall x \in D(\mathcal{A}) \quad |x|_{H_0} \leq c_A |Ax|_{H_1}$$

$$(i^*) \quad \exists c_{A^*} \in (0, \infty) \quad \forall y \in D(\mathcal{A}^*) \quad |y|_{H_1} \leq c_{A^*} |A^*y|_{H_0}$$

'best' consts in (i) and (i*) equal norms of the inv ops and Rayleigh quotients

$$c_A = |\mathcal{A}^{-1}|_{R(A), R(A^*)}$$

$$c_{A^*} = |(\mathcal{A}^*)^{-1}|_{R(A^*), R(A)}$$

$$\frac{1}{c_A} = \inf_{0 \neq x \in D(\mathcal{A})} \frac{|Ax|_{H_1}}{|x|_{H_0}}$$

$$\frac{1}{c_{A^*}} = \inf_{0 \neq y \in D(\mathcal{A}^*)} \frac{|A^*y|_{H_0}}{|y|_{H_1}}$$

Lemma (Friedrichs-Poincaré type const)

$$c_A = c_{A^*}$$



1st fundamental observations

Lemma (cpt emb/cpt inv)

The following assertions are equivalent:

- (i) $D(\mathcal{A}) \hookrightarrow H_0$ is compact.
- (i*) $D(\mathcal{A}^*) \hookrightarrow H_1$ is compact.
- (ii) $\mathcal{A}^{-1} : R(\mathcal{A}) \rightarrow R(\mathcal{A}^*)$ is compact.
- (ii*) $(\mathcal{A}^*)^{-1} : R(\mathcal{A}^*) \rightarrow R(\mathcal{A})$ is compact.

Lemma (Friedrichs-Poincaré type est/cl range/cont inv)

⇓ $D(\mathcal{A}) \hookrightarrow H_0$ compact

- (i) $\exists c_A \in (0, \infty) \quad \forall x \in D(\mathcal{A}) \quad |x|_{H_0} \leq c_A |Ax|_{H_1}$
- (i*) $\exists c_{A^*} \in (0, \infty) \quad \forall y \in D(\mathcal{A}^*) \quad |y|_{H_1} \leq c_{A^*} |A^*y|_{H_0}$
- (ii) $R(\mathcal{A}) = R(\mathcal{A})$ is closed in H_1 .
- (ii*) $R(\mathcal{A}^*) = R(\mathcal{A}^*)$ is closed in H_0 .
- (iii) $\mathcal{A}^{-1} : R(\mathcal{A}) \rightarrow D(\mathcal{A})$ is continuous and bijective.
- (iii*) $(\mathcal{A}^*)^{-1} : R(\mathcal{A}^*) \rightarrow D(\mathcal{A}^*)$ is continuous and bijective.
- (i)-(iii*)** equi & the resp Helm deco hold & $|\mathcal{A}^{-1}| = c_A = c_{A^*} = |(\mathcal{A}^*)^{-1}|$



2nd fundamental observations

recall

$$D(A_1) = D(\mathcal{A}_1) \cap \overline{R(A_1^*)} \quad R(A_1) = R(\mathcal{A}_1) \quad R(A_1^*) = R(\mathcal{A}_1^*)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \cap \overline{R(A_0)} \quad R(A_0^*) = R(\mathcal{A}_0^*) \quad R(A_0) = R(\mathcal{A}_0)$$

cohomology group $K_1 = N(A_1) \cap N(A_0^*)$

Lemma (Helmholtz deco I)

$$H_1 = \overline{R(A_0)} \oplus N(A_0^*)$$

$$H_1 = \overline{R(A_1^*)} \oplus N(A_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus N(A_0^*)$$

$$D(A_1) = D(\mathcal{A}_1) \oplus N(A_1)$$

$$N(A_1) = D(\mathcal{A}_0^*) \oplus K_1$$

$$N(A_0^*) = D(\mathcal{A}_1) \oplus K_1$$

$$D(A_1) = \overline{R(A_0)} \oplus (D(A_1) \cap N(A_0^*)) \quad D(A_0^*) = \overline{R(A_1^*)} \oplus (D(A_0^*) \cap N(A_1))$$

Lemma (Helmholtz deco II)

$$H_1 = \overline{R(A_0)} \oplus K_1 \oplus \overline{R(A_1^*)}$$

$$D(A_1) = \overline{R(A_0)} \oplus K_1 \oplus D(\mathcal{A}_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus \overline{R(A_1^*)}$$

$$D(A_1) \cap D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus D(\mathcal{A}_1)$$



2nd fundamental observations

$$K_1 = N(A_1) \cap N(A_0^*) \quad D(A_1) = D(\mathcal{A}_1) \cap \overline{R(A_1^*)} \quad D(A_0^*) = D(\mathcal{A}_0^*) \cap \overline{R(A_0)}$$

Lemma (cpt emb II)

The following assertions are equivalent:

- (i) $D(\mathcal{A}_0) \hookrightarrow H_0$, $D(\mathcal{A}_1) \hookrightarrow H_1$, and $K_1 \hookrightarrow H_1$ are compact.
- (ii) $D(A_1) \cap D(A_0^*) \hookrightarrow H_1$ is compact.

In this case $K_1 < \infty$.

Theorem (fa-toolbox I)

⇓ $D(A_1) \cap D(A_0^*) \hookrightarrow H_1$ compact

- (i) all emb cpt, i.e., $D(\mathcal{A}_0) \hookrightarrow H_0$, $D(\mathcal{A}_1) \hookrightarrow H_1$, $D(\mathcal{A}_0^*) \hookrightarrow H_1$, $D(\mathcal{A}_1^*) \hookrightarrow H_2$ cpt
- (ii) cohomology group K_1 finite dim
- (iii) all ranges closed, i.e., $R(A_0)$, $R(A_0^*)$, $R(A_1)$, $R(A_1^*)$ cl
- (iv) all Friedrichs-Poincaré type est hold
- (v) all Hodge-Helmholtz-Weyl type deco I & II hold with closed ranges

2nd fundamental observations

complex $\dots \begin{matrix} \dots \\ \rightrightarrows \\ \dots \end{matrix} H_0 \begin{matrix} A_0 \\ \rightrightarrows \\ A_0^* \end{matrix} H_1 \begin{matrix} A_1 \\ \rightrightarrows \\ A_1^* \end{matrix} H_2 \begin{matrix} \dots \\ \rightrightarrows \\ \dots \end{matrix} \dots$

Theorem (fa-toolbox I (Friedrichs-Poincaré type est))

$\Downarrow \boxed{D(A_1) \cap D(A_0^*) \Leftrightarrow H_1 \text{ compact}} \Rightarrow \exists |A_i^{-1}| = c_{A_i} = c_{A_i^*} = |(A_i^*)^{-1}| \in (0, \infty)$

(i) $\forall x \in D(A_0)$

$|x|_{H_0} \leq c_{A_0} |A_0 x|_{H_1}$

(i*) $\forall y \in D(A_0^*)$

$|y|_{H_1} \leq c_{A_0} |A_0^* y|_{H_0}$

(ii) $\forall y \in D(A_1)$

$|y|_{H_1} \leq c_{A_1} |A_1 y|_{H_2}$

(ii*) $\forall z \in D(A_1^*)$

$|z|_{H_2} \leq c_{A_1} |A_1^* z|_{H_1}$

(iii) $\forall y \in D(A_1) \cap D(A_0^*) \quad |(1 - \pi_{K_1})y|_{H_1} \leq c_{A_1} |A_1 y|_{H_2} + c_{A_0} |A_0^* y|_{H_0}$

note $\pi_{K_1} y \in K_1$ and $(1 - \pi_{K_1})y \in K_1^\perp$

Remark

enough $R(A_0)$ and $R(A_1)$ cl



2nd fundamental observations

$$\text{complex} \quad \dots \quad \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \quad H_0 \quad \begin{array}{c} A_0 \\ \rightleftarrows \\ A_0^* \end{array} \quad H_1 \quad \begin{array}{c} A_1 \\ \rightleftarrows \\ A_1^* \end{array} \quad H_2 \quad \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \quad \dots$$

Theorem (fa-toolbox I (Helmholtz deco))

$$\Downarrow \quad \boxed{D(A_1) \cap D(A_0^*) \leftrightarrow H_1 \text{ compact}}$$

$$H_1 = R(A_0) \oplus N(A_0^*)$$

$$H_1 = R(A_1^*) \oplus N(A_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus N(A_0^*)$$

$$D(A_1) = D(\mathcal{A}_1) \oplus N(A_1)$$

$$N(A_1) = D(\mathcal{A}_0^*) \oplus K_1$$

$$N(A_0^*) = D(\mathcal{A}_1) \oplus K_1$$

$$D(A_1) = R(A_0) \oplus (D(A_1) \cap N(A_0^*)) \quad D(A_0^*) = R(A_1^*) \oplus (D(A_0^*) \cap N(A_1))$$

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*)$$

$$D(A_1) = R(A_0) \oplus K_1 \oplus D(\mathcal{A}_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus R(A_1^*)$$

$$D(A_1) \cap D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus D(\mathcal{A}_1)$$

Remark

enough $R(A_0)$ and $R(A_1)$ cl



(stat) first order system - solution theory

$$\text{complex} \quad \cdots \begin{array}{c} \cdots \\ \rightleftharpoons \\ \cdots \end{array} H_0 \begin{array}{c} A_0 \\ \rightleftharpoons \\ A_0^* \end{array} H_1 \begin{array}{c} A_1 \\ \rightleftharpoons \\ A_1^* \end{array} H_2 \begin{array}{c} \cdots \\ \rightleftharpoons \\ \cdots \end{array} \cdots$$

$$\boxed{A_1 x = f}$$

$$\dim N(A_1) = \infty$$

find $x \in D(A_1) \cap D(A_0^*)$ such that the fos

$$\begin{array}{l} A_1 x = f \\ A_0^* x = g \\ \pi_{K_1} x = k \end{array} \quad \text{think of} \quad \begin{array}{l} (\text{rot } E = F) \\ (-\text{div } E = g) \\ (\pi_D E = K) \end{array}$$

$$\text{kernel} = \text{cohomology group} = K_1 = N(A_1) \cap N(A_0^*)$$

$$\text{trivially necessary} \quad f \in R(A_1) \quad g \in R(A_0^*) \quad k \in K_1$$

$$\boxed{\text{apply fa-toolbox}}$$



(stat) first order system

(stat) first order system - solution theory

$$\text{complex} \quad \dots \quad \begin{array}{c} \dots \\ \rightleftharpoons \\ \dots \end{array} \quad H_0 \quad \begin{array}{c} A_0 \\ \rightleftharpoons \\ A_0^* \end{array} \quad H_1 \quad \begin{array}{c} A_1 \\ \rightleftharpoons \\ A_1^* \end{array} \quad H_2 \quad \begin{array}{c} \dots \\ \rightleftharpoons \\ \dots \end{array} \quad \dots$$

$$\text{find } x \in D(A_1) \cap D(A_0^*) \text{ st fos} \quad A_1 x = f \quad A_0^* x = g \quad \pi_{K_1} x = k$$

Theorem (fa-toolbox II (solution theory))

$$\Downarrow \quad \boxed{D(A_1) \cap D(A_0^*) \leftrightarrow H_1 \text{ compact}}$$

$$\text{fos is uniq sol} \quad \Leftrightarrow \quad f \in R(A_1) \quad g \in R(A_0^*) \quad k \in K_1$$

$$x := x_f + x_g + k \in D(\mathcal{A}_1) \oplus D(\mathcal{A}_0^*) \oplus K_1 = D(A_1) \cap D(A_0^*)$$

$$\boxed{x_f := \mathcal{A}_1^{-1} f} \in D(\mathcal{A}_1)$$

$$\boxed{x_g := (\mathcal{A}_0^*)^{-1} g} \in D(\mathcal{A}_0^*)$$

$$\text{dep cont on data} \quad |x|_{H_1} \leq |x_f|_{H_1} + |x_g|_{H_1} + |k|_{H_1} \leq c_{A_1} |f|_{H_2} + c_{A_0} |g|_{H_0} + |k|_{H_1}$$

moreover

$$\pi_{R(A_1^*)} x = x_f \quad \pi_{R(A_0)} x = x_g \quad \pi_{K_1} x = k \quad |x|_{H_1}^2 = |x_f|_{H_1}^2 + |x_g|_{H_1}^2 + |k|_{H_1}^2$$

Remark

enough $R(A_0)$ and $R(A_1)$ cl



(stat) first order system - variational formulations

$$x := x_f + x_g + k \in D(\mathcal{A}_1) \oplus D(\mathcal{A}_0^*) \oplus K_1 = D(A_1) \cap D(A_0^*)$$

$$x_f := \mathcal{A}_1^{-1} f \in D(\mathcal{A}_1) = D(A_1) \cap R(A_1^*) = D(A_1) \cap N(A_0^*) \cap K_1^\perp$$

$$x_g := (\mathcal{A}_0^*)^{-1} g \in D(\mathcal{A}_0^*) = D(A_0^*) \cap R(A_0) = D(A_0^*) \cap N(A_1) \cap K_1^\perp$$

$A_1 x = f$	$A_1 x_f = f$	$A_1 x_g = 0$	$A_1 k = 0$
$A_0^* x = g$	$A_0^* x_f = 0$	$A_0^* x_g = g$	$A_0^* k = 0$
$\pi_{K_1} x = k$	$\pi_{K_1} x_f = 0$	$\pi_{K_1} x_g = 0$	$\pi_{K_1} k = k$

- option I: find x_f and x_g separately $\Rightarrow x = x_f + x_g + k$
- option II: find x directly

(stat) first order system - variational formulations I

finding

$$x_f := \mathcal{A}_1^{-1} f \in D(\mathcal{A}_1) = D(A_1) \cap \underbrace{R(A_1^*)}_{=R(\mathcal{A}_1^*)} = D(A_1) \cap N(A_0^*) \cap K_1^\perp$$

$$A_1 x_f = f$$

$$A_0^* x_f = 0$$

$$\pi_{K_1} x_f = 0$$

at least two options

- option Ia: multiply $A_1 x_f = f$ by $A_1 \xi \Rightarrow$

$$\forall \xi \in D(\mathcal{A}_1) \quad \langle A_1 x_f, A_1 \xi \rangle_{H_2} = \langle f, A_1 \xi \rangle_{H_2}$$

weak form of $\boxed{A_1^* A_1 x_f = A_1^* f}$

- option Ib: repr $x_f = A_1^* y_f$ with potential $y_f = (A_1^*)^{-1} x_f \in D(\mathcal{A}_1^*)$
and mult by x_f by $A_1^* \phi \Rightarrow$

$$\forall \phi \in D(\mathcal{A}_1^*) \quad \langle A_1^* y_f, A_1^* \phi \rangle_{H_1} = \langle x_f, A_1^* \phi \rangle_{H_1} = \langle A_1 x_f, \phi \rangle_{H_2} = \langle f, \phi \rangle_{H_2}$$

weak form of $\boxed{A_1 x_f = f}$ and $\boxed{A_1 A_1^* y_f = f}$

analogously for x_g



(stat) first order system

(stat) first order system - a posteriori error estimates

problem: $\boxed{\text{find } x \in D(A_1) \cap D(A_0^*) \text{ st } A_1 x = f \quad A_0^* x = g \quad \pi_{K_1} x = k}$

'very' non-conforming 'approximation' of x : $\boxed{\tilde{x} \in H_1}$

def., dcmp. err. $\boxed{e = x - \tilde{x}} = \pi_{R(A_0)} e + \pi_{K_1} e + \pi_{R(A_1^*)} e \in H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*)$

Theorem (sharp upper bounds)

Let $\tilde{x} \in H_1$ and $e = x - \tilde{x}$. Then

$$|e|_{H_1}^2 = |\pi_{R(A_0)} e|_{H_1}^2 + |\pi_{K_1} e|_{H_1}^2 + |\pi_{R(A_1^*)} e|_{H_1}^2$$

$$|\pi_{R(A_0)} e|_{H_1} = \min_{\phi \in D(A_0^*)} (c_{A_0} |A_0^* \phi - g|_{H_0} + |\phi - \tilde{x}|_{H_1}) \quad \boxed{\text{reg } (A_0 A_0^* + 1)\text{-prbl in } D(A_0^*)}$$

$$|\pi_{R(A_1^*)} e|_{H_1} = \min_{\varphi \in D(A_1)} (c_{A_1} |A_1 \varphi - f|_{H_2} + |\varphi - \tilde{x}|_{H_1}) \quad \boxed{\text{reg } (A_1^* A_1 + 1)\text{-prbl in } D(A_1)}$$

$$|\pi_{K_1} e|_{H_1} = |\pi_{K_1} \tilde{x} - k|_{H_1} = \min_{\substack{\xi \in D(A_0) \\ \zeta \in D(A_1^*)}} |A_0 \xi + A_1^* \zeta + \tilde{x} - k|_{H_1} \quad \boxed{\text{cpld } (A_0^* A_0) \text{-} (A_1 A_1^*) \text{-sys in } D(A_0) \text{-} D(A_1^*)}$$

Remark

Even $\pi_{K_1} e = k - \pi_{K_1} \tilde{x}$ and the minima are attained at

$$\hat{\phi} = \pi_{R(A_0)} e + \tilde{x}, \quad \hat{\varphi} = \pi_{R(A_1^*)} e + \tilde{x}, \quad A_0 \hat{\xi} + A_1^* \hat{\zeta} = (\pi_{K_1} - 1) \tilde{x}.$$

A_0^* - A_1 -lemma (generalized global div-curl-lemma)Lemma (A_0^* - A_1 -lemma)

Let $D(A_1) \cap D(A_0^*) \hookrightarrow H_1$ be compact, and

(i) (x_n) bounded in $D(A_1)$,

(ii) (y_n) bounded in $D(A_0^*)$.

$\Rightarrow \exists x \in D(A_1), y \in D(A_0^*)$ and subsequences st

$x_n \rightharpoonup x$ in $D(A_1)$ and $y_n \rightharpoonup y$ in $D(A_0^*)$ as well as

$$\langle x_n, y_n \rangle_{H_1} \rightarrow \langle x, y \rangle_{H_1}.$$



applications: fos & sos (first and second order systems)

classical de Rham complex in 3D (∇ -rot-div-complex)

$\Omega \subset \mathbb{R}^3$ bounded weak Lipschitz domain, $\partial\Omega = \Gamma = \overline{\Gamma_t \dot{\cup} \Gamma_n}$

(electro-magneto dynamics, Maxwell's equations)

$$\{0\} \begin{array}{c} \xrightarrow{\iota_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{array} L^2 \begin{array}{c} \xrightarrow{\nabla} \\ \xleftarrow{-\operatorname{div}} \end{array} L^2 \begin{array}{c} \xrightarrow{\operatorname{rot}} \\ \xleftarrow{\operatorname{rot}} \end{array} L^2 \begin{array}{c} \xrightarrow{\operatorname{div}} \\ \xleftarrow{-\nabla} \end{array} L^2 \begin{array}{c} \xrightarrow{\pi_{\mathbb{R}}} \\ \xleftarrow{\iota_{\mathbb{R}}} \end{array} \mathbb{R}$$

mixed boundary conditions and inhomogeneous and anisotropic media

$$\{0\} \text{ or } \mathbb{R} \begin{array}{c} \xrightarrow{\iota} \\ \xleftarrow{\pi} \end{array} L^2 \begin{array}{c} \xrightarrow{\nabla_{\Gamma_t}} \\ \xleftarrow{-\operatorname{div}_{\Gamma_n} \varepsilon} \end{array} L^2_{\varepsilon} \begin{array}{c} \xrightarrow{\operatorname{rot}_{\Gamma_t}} \\ \xleftarrow{\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}} \end{array} L^2 \begin{array}{c} \xrightarrow{\operatorname{div}_{\Gamma_t}} \\ \xleftarrow{-\nabla_{\Gamma_n}} \end{array} L^2 \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{\iota} \end{array} \mathbb{R} \text{ or } \{0\}$$



applications: fos & sos (first and second order systems)

classical de Rham complex in 3D (∇ -rot-div-complex)

$\Omega \subset \mathbb{R}^3$ bounded weak Lipschitz domain, $\partial\Omega = \Gamma = \overline{\Gamma_t \dot{\cup} \Gamma_n}$

(electro-magneto dynamics, Maxwell's equations with mixed boundary conditions)

$$\{0\} \text{ or } \mathbb{R} \xrightleftharpoons[\pi]{} L^2 \xrightleftharpoons[-\operatorname{div}_{\Gamma_n} \varepsilon]{} L^2_\varepsilon \xrightleftharpoons[\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}]{} L^2 \xrightleftharpoons[-\nabla_{\Gamma_n}]{} L^2 \xrightleftharpoons[\iota]{} \mathbb{R} \text{ or } \{0\}$$

related fos

$$\begin{array}{cccc|cccc|cccc|cccc} \nabla_{\Gamma_t} u = A & \text{in } \Omega & | & \operatorname{rot}_{\Gamma_t} E = J & \text{in } \Omega & | & \operatorname{div}_{\Gamma_t} H = k & \text{in } \Omega & | & \pi v = b & \text{in } \Omega \\ \pi u = a & \text{in } \Omega & | & -\operatorname{div}_{\Gamma_n} \varepsilon E = j & \text{in } \Omega & | & \varepsilon^{-1} \operatorname{rot}_{\Gamma_n} H = K & \text{in } \Omega & | & -\nabla_{\Gamma_n} v = B & \text{in } \Omega \end{array}$$

related sos

$$\begin{array}{cccc|cccc|cccc|cccc} -\operatorname{div}_{\Gamma_n} \varepsilon \nabla_{\Gamma_t} u = j & \text{in } \Omega & | & \varepsilon^{-1} \operatorname{rot}_{\Gamma_n} \operatorname{rot}_{\Gamma_t} E = K & \text{in } \Omega & | & -\nabla_{\Gamma_n} \operatorname{div}_{\Gamma_t} H = B & \text{in } \Omega \\ \pi u = a & \text{in } \Omega & | & -\operatorname{div}_{\Gamma_n} \varepsilon E = j & \text{in } \Omega & | & \varepsilon^{-1} \operatorname{rot}_{\Gamma_n} H = K & \text{in } \Omega \end{array}$$

corresponding compact embeddings:

$$D(\nabla_{\Gamma_t}) \cap D(\pi) = D(\nabla_{\Gamma_t}) = H_{\Gamma_t}^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem})$$

$$D(\operatorname{rot}_{\Gamma_t}) \cap D(-\operatorname{div}_{\Gamma_n} \varepsilon) = R_{\Gamma_t} \cap \varepsilon^{-1} D_{\Gamma_n} \hookrightarrow L^2_\varepsilon \quad (\text{Weck's selection theorem, '74})$$

$$D(\operatorname{div}_{\Gamma_t}) \cap D(\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}) = D_{\Gamma_t} \cap R_{\Gamma_n} \hookrightarrow L^2 \quad (\text{Weck's selection theorem, '74})$$

$$D(\nabla_{\Gamma_n}) \cap D(\pi) = D(\nabla_{\Gamma_n}) = H_{\Gamma_n}^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem})$$

Weck's selection theorem for weak Lip. dom. and mixed bc: Bauer/Py/Schomburg ('16)

Weck's selection theorem (Weck '74, (Habil. '72) stimulated by Rolf Leis)

(Weber '80, Picard '84, Costabel '90, Witsch '93, Jochmann '97, Kuhn '99, Picard/Weck/Witsch '01, Py '96, '03, '06, '07, '08)



applications: fos & sos (first and second order systems)

classical de Rham complex in 3D (∇ -rot-div-complex)

$$\begin{aligned}
 \operatorname{rot} E &= F && \text{in } \Omega \\
 -\operatorname{div} \varepsilon E &= g && \text{in } \Omega \\
 \nu \times E &= 0 && \text{at } \Gamma_t \\
 \nu \cdot \varepsilon E &= 0 && \text{at } \Gamma_n
 \end{aligned}$$

non-trivial kernel $\mathcal{H}_{D,\varepsilon} = \{H \in L^2 : \operatorname{rot} H = 0, \operatorname{div} \varepsilon H = 0, \nu \times H|_{\Gamma_t} = 0, \nu \cdot \varepsilon H|_{\Gamma_n} = 0\}$
 additional condition on Dirichlet/Neumann fields for uniqueness

$$\pi_D E = K \in \mathcal{H}_{D,\varepsilon}$$

$$\{0\} \text{ or } \mathbb{R} \xrightleftharpoons[\pi]{\iota} L^2 \xrightleftharpoons[-\operatorname{div}_{\Gamma_n} \varepsilon]{\nabla_{\Gamma_t}} L^2_\varepsilon \xrightleftharpoons[\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}]{\operatorname{rot}_{\Gamma_t}} L^2 \xrightleftharpoons[-\nabla_{\Gamma_n}]{\operatorname{div}_{\Gamma_t}} L^2 \xrightleftharpoons[\iota]{\pi} \mathbb{R} \text{ or } \{0\}$$

$$\dots \xrightleftharpoons[\dots]{\dots} H_{-1} \xrightleftharpoons[A_{-1}^*]{A_{-1}} H_0 \xrightleftharpoons[A_0^*]{A_0} H_1 \xrightleftharpoons[A_1^*]{A_1} H_2 \xrightleftharpoons[A_2^*]{A_2} H_3 \xrightleftharpoons[A_3^*]{A_3} H_4 \xrightleftharpoons[\dots]{\dots} \dots$$

$$\begin{array}{llll}
 \text{find } E \in R_{\Gamma_t}(\Omega) \cap \varepsilon^{-1} D_{\Gamma_n}(\Omega) & \text{st} & (\text{fos}) & \text{find } x \in D(A_1) \cap D(A_0^*) \text{ st} \\
 \operatorname{rot}_{\Gamma_t} E = F & & & A_1 x = f \\
 -\operatorname{div}_{\Gamma_n} \varepsilon E = g & & \text{translation} & A_0^* x = g \\
 \pi_{D/N} E = K & & & \pi_{K_1} x = k
 \end{array}$$



classical de Rham complex in 3D (∇ -rot-div-complex)

$c_{A_0} = c_{\text{fp}}$ (Friedrichs/Poincaré constant) and $c_{A_1} = c_m$ (Maxwell constant)

Lemma/Theorem \Downarrow $D(A_1) \cap D(A_0^*) \Leftrightarrow L_\varepsilon^2(\Omega)$ compact

(i) all Friedrichs-Poincaré type est hold

$$\forall \varphi \in D(\mathcal{A}_0) \quad |\varphi|_{H_0} \leq c_{A_0} |A_0 \varphi|_{H_1} \quad \Leftrightarrow \quad \forall \varphi \in H_{\Gamma_t}^1 \quad |\varphi|_{L^2} \leq c_{\text{fp}} |\nabla \varphi|_{L_\varepsilon^2}$$

$$\forall \phi \in D(\mathcal{A}_0^*) \quad |\phi|_{H_1} \leq c_{A_0} |A_0^* \phi|_{H_0} \quad \Leftrightarrow \quad \forall \Phi \in \varepsilon^{-1} D_{\Gamma_n} \cap \nabla H_{\Gamma_t}^1 \quad |\Phi|_{L_\varepsilon^2} \leq c_{\text{fp}} |\operatorname{div} \varepsilon \Phi|_{L^2}$$

$$\forall \varphi \in D(\mathcal{A}_1) \quad |\varphi|_{H_1} \leq c_{A_1} |A_1 \varphi|_{H_2} \quad \Leftrightarrow \quad \forall \Phi \in R_{\Gamma_t} \cap \varepsilon^{-1} \operatorname{rot} R_{\Gamma_n} \quad |\Phi|_{L_\varepsilon^2} \leq c_m |\operatorname{rot} \Phi|_{L^2}$$

$$\forall \psi \in D(\mathcal{A}_1^*) \quad |\psi|_{H_2} \leq c_{A_1} |A_1^* \psi|_{H_1} \quad \Leftrightarrow \quad \forall \Psi \in R_{\Gamma_n} \cap \operatorname{rot} R_{\Gamma_t} \quad |\Psi|_{L^2} \leq c_m |\operatorname{rot} \Psi|_{L_\varepsilon^2}$$

(ii) all ranges $R(A_0) = \nabla H_{\Gamma_t}^1$, $R(A_1) = \operatorname{rot} R_{\Gamma_t}$, $R(A_0^*) = \operatorname{div} D_{\Gamma_n}$ are cl in L^2

(iii) the inverse ops $(\widetilde{\nabla}_{\Gamma_t})^{-1}$, $(\widetilde{\operatorname{div}}_{\Gamma_n} \varepsilon)^{-1}$, $(\widetilde{\operatorname{rot}}_{\Gamma_t})^{-1}$, $(\widetilde{\varepsilon^{-1} \operatorname{rot}}_{\Gamma_n})^{-1}$ are cont, even cpt

(iv) all Helmholtz decomposition hold, e.g.,

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*) \quad \Leftrightarrow \quad L_\varepsilon^2 = \nabla H_{\Gamma_t}^1 \oplus_{L_\varepsilon^2} \mathcal{H}_{D, \varepsilon} \oplus_{L_\varepsilon^2} \varepsilon^{-1} \operatorname{rot} R_{\Gamma_n}$$

(v) solution theory

(vi) variational formulations

(vii) functional a posteriori error estimates

(viii) div-curl-lemma

(ix) ...



classical de Rham complex in 3D (∇ -rot-div-complex)

Theorem (sharp upper bounds)

Let $\tilde{E} \in L^2_\varepsilon$ (very non-conforming approximation of E !) and $e := E - \tilde{E}$. Then

$$\begin{aligned}
 |e|_{L^2_\varepsilon}^2 &= |\pi_{R(\nabla_{\Gamma_t})} e|_{L^2_\varepsilon}^2 + |\pi_{R(\varepsilon^{-1} \text{rot}_{\Gamma_n})} e|_{L^2_\varepsilon}^2 + |\pi_{\mathcal{H}_{D,\varepsilon}} e|_{L^2_\varepsilon}^2 \\
 &= \min_{\Phi \in \varepsilon^{-1} D_{\Gamma_n}} (c_{fp} |\text{div } \varepsilon \Phi + g|_{L^2} + |\Phi - \tilde{E}|_{L^2_\varepsilon})^2 && \text{reg } (-\nabla_{\Gamma_t} \text{div}_{\Gamma_n} + 1)\text{-prbl in } D_{\Gamma_n} \\
 &\quad + \min_{\Phi \in R_{\Gamma_t}} (c_m |\text{rot } \Phi - F|_{L^2} + |\Phi - \tilde{E}|_{L^2_\varepsilon})^2 && \text{reg } (\text{rot}_{\Gamma_n} \text{rot}_{\Gamma_t} + 1)\text{-prbl in } R_{\Gamma_t} \\
 &\quad + \min_{\phi \in H_{\Gamma_t}^1, \Psi \in R_{\Gamma_n}} |\nabla \phi + \varepsilon^{-1} \text{rot } \Psi + \tilde{E} - K|_{L^2_\varepsilon}^2 \\
 &&& \text{cpld } (-\text{div}_{\Gamma_n} \nabla_{\Gamma_t})\text{-}(\text{rot}_{\Gamma_t} \text{rot}_{\Gamma_n})\text{-sys in } H_{\Gamma_t}^1\text{-}R_{\Gamma_n}
 \end{aligned}$$

Remark

- $(\text{rot}_{\Gamma_t} \text{rot}_{\Gamma_n})\text{-prbl}$ needs saddle point formulation
- Ω top trv $\Rightarrow \pi_D = 0$ and $R_{\Gamma_t,0} = \nabla H_{\Gamma_t}^1$ and $D_{\Gamma_n,0} = \text{rot } R_{\Gamma_n}$

$$\bullet \quad \Omega \text{ convex and } \varepsilon = \mu = 1 \text{ and } \Gamma_t = \Gamma \text{ or } \Gamma_n = \Gamma \Rightarrow c_f \leq c_m \leq c_p \leq \frac{\text{diam } \Omega}{\pi}$$



applications: fos & sos (first and second order systems)

div-curl-lemma

Lemma (div-curl-lemma (global version))

Assumptions:

- (i) (E_n) bounded in $L^2(\Omega)$
- (i') (H_n) bounded in $L^2(\Omega)$
- (ii) $(\operatorname{rot} E_n)$ bounded in $L^2(\Omega)$
- (ii') $(\operatorname{div} \varepsilon H_n)$ bounded in $L^2(\Omega)$
- (iii) $\nu \times E_n = 0$ on Γ_t , i.e., $E_n \in R_{\Gamma_t}(\Omega)$
- (iii') $\nu \cdot \varepsilon H_n = 0$ on Γ_n , i.e., $H_n \in \varepsilon^{-1} D_{\Gamma_n}(\Omega)$

$\Rightarrow \exists E, H$ and subsequences st

$E_n \rightharpoonup E, \operatorname{rot} E_n \rightharpoonup \operatorname{rot} E$ and $H_n \rightharpoonup H, \operatorname{div} H_n \rightharpoonup \operatorname{div} H$ in $L^2(\Omega)$ and

$$\langle E_n, H_n \rangle_{L^2_\varepsilon(\Omega)} \rightarrow \langle E, H \rangle_{L^2_\varepsilon(\Omega)}$$



applications: fos & sos (first and second order systems)

de Rham complex in ND or on Riemannian manifolds (d-complex)

$\Omega \subset \mathbb{R}^N$ bd w. Lip. dom. or Ω Riemannian manifold with cpt cl. and Lip. boundary Γ
(generalized Maxwell equations)

$$\{0\} \begin{array}{c} \hookrightarrow \\ \xleftrightarrow{\pi_{\{0\}}} \end{array} L^{2,0} \begin{array}{c} \xrightarrow{d} \\ \xleftrightarrow{-\delta} \end{array} L^{2,1} \begin{array}{c} \xrightarrow{d} \\ \xleftrightarrow{-\delta} \end{array} \dots L^{2,q} \begin{array}{c} \xrightarrow{d} \\ \xleftrightarrow{-\delta} \end{array} L^{2,q+1} \dots L^{2,N-1} \begin{array}{c} \xrightarrow{d} \\ \xleftrightarrow{-\delta} \end{array} L^{2,N} \begin{array}{c} \xrightarrow{\pi_{\mathbb{R}}} \\ \xleftrightarrow{\iota_{\mathbb{R}}} \end{array} \mathbb{R}$$



applications: fos & sos (first and second order systems)

de Rham complex in ND or on Riemannian manifolds (d-complex)

$\Omega \subset \mathbb{R}^N$ bd w. Lip. dom. or Ω Riemannian manifold with cpt cl. and Lip. boundary Γ
(generalized Maxwell equations)

$$\{0\} \text{ or } \mathbb{R} \xrightarrow{\frac{L}{\pi}} L^{2,0} \begin{array}{c} d_{\Gamma,t}^0 \\ \leftarrow \\ -\delta_{\Gamma,n}^1 \end{array} L^{2,1} \begin{array}{c} d_{\Gamma,t}^1 \\ \leftarrow \\ -\delta_{\Gamma,n}^2 \end{array} \dots L^{2,q} \begin{array}{c} d_{\Gamma,t}^q \\ \leftarrow \\ -\delta_{\Gamma,n}^{q+1} \end{array} L^{2,q+1} \dots L^{2,N-1} \begin{array}{c} d_{\Gamma,t}^{N-1} \\ \leftarrow \\ -\delta_{\Gamma,n}^N \end{array} L^{2,N} \xrightarrow{\frac{\pi}{L}} \mathbb{R} \text{ or } \{0\}$$

related fos

$$\begin{aligned} d_{\Gamma,t}^q E &= F && \text{in } \Omega \\ -\delta_{\Gamma,n}^q E &= G && \text{in } \Omega \end{aligned}$$

related sos

$$\begin{aligned} -\delta_{\Gamma,n}^{q+1} d_{\Gamma,t}^q E &= F && \text{in } \Omega \\ -\delta_{\Gamma,n}^q E &= G && \text{in } \Omega \end{aligned}$$

includes: EMS rot / div, Laplacian, rot rot, and more...
corresponding compact embeddings:

$$D(d_{\Gamma,t}^q) \cap D(\delta_{\Gamma,n}^q) \hookrightarrow L^{2,q} \quad (\text{Weck's selection theorems, '74})$$

Weck's selection theorem for Lip. manifolds and mixed bc: Bauer/Py/Schomburg ('17)



applications: fos & sos (first and second order systems)

elasticity complex in 3D (sym ∇ -Rot Rot $_{\mathbb{S}}^T$ -Div $_{\mathbb{S}}$ -complex)

$\Omega \subset \mathbb{R}^3$ bounded strong Lipschitz domain

$$\begin{array}{ccccccc}
 \{0\} & \begin{array}{c} \iota_{\{0\}} \\ \rightleftarrows \\ \pi_{\{0\}} \end{array} & L^2 & \begin{array}{c} \text{sym } \nabla \\ \rightleftarrows \\ -\text{Div}_{\mathbb{S}} \end{array} & L^2_{\mathbb{S}} & \begin{array}{c} \text{Rot Rot}_{\mathbb{S}}^T \\ \rightleftarrows \\ \text{Rot Rot}_{\mathbb{S}}^T \end{array} & L^2_{\mathbb{S}} & \begin{array}{c} \text{Div}_{\mathbb{S}} \\ \rightleftarrows \\ -\text{sym } \nabla \end{array} & L^2 & \begin{array}{c} \pi_{\text{RM}} \\ \rightleftarrows \\ \iota_{\text{RM}} \end{array} & \text{RM}
 \end{array}$$

elasticity complex in 3D (sym ∇ -Rot Rot $_{\mathbb{S}}^{\top}$ -Div $_{\mathbb{S}}$ -complex)

$\Omega \subset \mathbb{R}^3$ bounded strong Lipschitz domain

$$\{0\} \begin{array}{c} \xleftrightarrow{\iota_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{array} L^2 \begin{array}{c} \xrightarrow{\mathring{\text{sym}} \nabla} \\ \xleftarrow{-\text{Div}_{\mathbb{S}}} \end{array} L^2_{\mathbb{S}} \begin{array}{c} \xrightarrow{\text{Rot}^{\top} \text{Rot}_{\mathbb{S}}^{\top}} \\ \xleftarrow{\text{Rot} \text{Rot}_{\mathbb{S}}^{\top}} \end{array} L^2_{\mathbb{S}} \begin{array}{c} \xrightarrow{\mathring{\text{Div}}_{\mathbb{S}}} \\ \xleftarrow{-\text{sym} \nabla} \end{array} L^2 \begin{array}{c} \xrightarrow{\pi_{\text{RM}}} \\ \xleftarrow{\iota_{\text{RM}}} \end{array} \text{RM}$$

related fos (Rot $^{\top}$ Rot $_{\mathbb{S}}^{\top}$, Rot Rot $_{\mathbb{S}}^{\top}$ first order operators!)

$$\begin{array}{l} \mathring{\text{sym}} \nabla v = M \quad \text{in } \Omega \quad | \quad \text{Rot}^{\top} \text{Rot}_{\mathbb{S}}^{\top} M = F \quad \text{in } \Omega \quad | \quad \mathring{\text{Div}}_{\mathbb{S}} N = g \quad \text{in } \Omega \quad | \quad \pi v = r \quad \text{in } \Omega \\ \pi v = 0 \quad \text{in } \Omega \quad | \quad -\text{Div}_{\mathbb{S}} M = f \quad \text{in } \Omega \quad | \quad \text{Rot} \text{Rot}_{\mathbb{S}}^{\top} N = G \quad \text{in } \Omega \quad | \quad -\text{sym} \nabla v = M \quad \text{in } \Omega \end{array}$$

related sos (Rot Rot $_{\mathbb{S}}^{\top}$ Rot $^{\top}$ Rot $_{\mathbb{S}}^{\top}$ second order operator!)

$$\begin{array}{l} -\text{Div}_{\mathbb{S}} \mathring{\text{sym}} \nabla v = f \quad \text{in } \Omega \quad | \quad \text{Rot} \text{Rot}_{\mathbb{S}}^{\top} \text{Rot}^{\top} \text{Rot}_{\mathbb{S}}^{\top} M = G \quad \text{in } \Omega \quad | \quad -\text{sym} \nabla \mathring{\text{Div}}_{\mathbb{S}} N = M \quad \text{in } \Omega \\ \pi v = 0 \quad \text{in } \Omega \quad | \quad -\text{Div}_{\mathbb{S}} M = f \quad \text{in } \Omega \quad | \quad \text{Rot} \text{Rot}_{\mathbb{S}}^{\top} N = G \quad \text{in } \Omega \end{array}$$

corresponding compact embeddings:

$$D(\mathring{\text{sym}} \nabla) \cap D(\pi) = D(\mathring{\nabla}) = \dot{H}^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem and Korn ineq.})$$

$$D(\text{Rot}^{\top} \text{Rot}_{\mathbb{S}}^{\top}) \cap D(\text{Div}_{\mathbb{S}}) \hookrightarrow L^2_{\mathbb{S}} \quad (\text{new selection theorem})$$

$$D(\mathring{\text{Div}}_{\mathbb{S}}) \cap D(\text{Rot} \text{Rot}_{\mathbb{S}}^{\top}) \hookrightarrow L^2_{\mathbb{S}} \quad (\text{new selection theorem})$$

$$D(\pi) \cap D(\text{sym} \nabla) = D(\nabla) = H^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem and Korn ineq.})$$

two new selection theorems for strong Lip. dom.: Py/Schomburg/Zulehner ('18)

elasticity complex in 3D (sym ∇ -Rot Rot $_{\mathbb{S}}^{\top}$ -Div $_{\mathbb{S}}$ -complex)

Lemma/Theorem \Downarrow $D(A_1) \cap D(A_0^*) \Leftrightarrow H_1, \quad D(A_2) \cap D(A_1^*) \Leftrightarrow H_2 \quad \text{cpt}$

(i) all Friedrichs-Poincaré type est hold

$$\text{est for } \mathcal{A}_0 \Leftrightarrow \forall \varphi \in D(\mathring{\text{sym}} \nabla) \cap R(\text{Div}_{\mathbb{S}}) = \mathring{H}^1 \quad |\varphi|_{L^2} \leq c_0 |\mathring{\text{sym}} \nabla \varphi|_{L^2}$$

$$\text{est for } \mathcal{A}_0^* \Leftrightarrow \forall \Phi \in D(\text{Div}_{\mathbb{S}}) \cap R(\mathring{\text{sym}} \nabla) \quad |\Phi|_{L^2} \leq c_0 |\text{Div} \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1 \Leftrightarrow \forall \Phi \in D(\text{Rot } \mathring{\text{Rot}}_{\mathbb{S}}^{\top}) \cap R(\text{Rot } \text{Rot}_{\mathbb{S}}^{\top}) \quad |\Phi|_{L^2} \leq c_1 |\text{Rot } \text{Rot}^{\top} \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1^* \Leftrightarrow \forall \Phi \in D(\text{Rot } \text{Rot}_{\mathbb{S}}^{\top}) \cap R(\text{Rot } \mathring{\text{Rot}}_{\mathbb{S}}^{\top}) \quad |\Phi|_{L^2} \leq c_1 |\text{Rot } \text{Rot}^{\top} \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2 \Leftrightarrow \forall \Phi \in D(\mathring{\text{Div}}_{\mathbb{S}}) \cap R(\mathring{\text{sym}} \nabla) \quad |\Phi|_{L^2} \leq c_2 |\text{Div} \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2^* \Leftrightarrow \forall \varphi \in D(\mathring{\text{sym}} \nabla) \cap R(\mathring{\text{Div}}_{\mathbb{S}}) = H^1 \cap RM^{\perp} \quad |\varphi|_{L^2} \leq c_2 |\mathring{\text{sym}} \nabla \varphi|_{L^2}$$

(ii) all ranges $R(A_n) = R(\mathcal{A}_n)$, $R(A_n^*) = R(\mathcal{A}_n^*)$ are cl in L^2

(iii) all inverse ops \mathcal{A}_n^{-1} , $(\mathcal{A}_n^*)^{-1}$ are cont, even cpt

(iv) all Helmholtz decomposition hold, e.g.,

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*) \Leftrightarrow L^2 = R(\mathring{\text{sym}} \nabla) \oplus_{L^2} \mathcal{H}_{D, \mathbb{S}} \oplus_{L^2} R(\text{Rot } \text{Rot}_{\mathbb{S}}^{\top})$$

(v) solution theories

(vi) variational formulations

(vii) functional a posteriori error estimates

(viii) div-curl-lemmas

(ix) ...



applications: fos & sos (first and second order systems)

biharmonic / general relativity complex in 3D ($\nabla\nabla$ -Rot_S-Div_T-complex)

$\Omega \subset \mathbb{R}^3$ bounded strong Lipschitz domain

$$\begin{array}{ccccccc}
 \{0\} & \begin{array}{c} \hookrightarrow_{\iota_{\{0\}}} \\ \leftarrow_{\pi_{\{0\}}} \end{array} & L^2 & \begin{array}{c} \nabla\nabla \\ \leftarrow_{\text{div Div}_S} \end{array} & L^2_S & \begin{array}{c} \mathring{\text{Rot}}_S \\ \leftarrow_{\text{sym Rot}_T} \end{array} & L^2_T & \begin{array}{c} \mathring{\text{Div}}_T \\ \leftarrow_{-\text{dev } \nabla} \end{array} & L^2 & \begin{array}{c} \pi_{RT} \\ \leftarrow_{\iota_{RT}} \end{array} & RT
 \end{array}$$



applications: fos & sos (first and second order systems)

biharmonic / general relativity complex in 3D ($\nabla\nabla$ -Rot $_{\mathbb{S}}$ -Div $_{\mathbb{T}}$ -complex)

$\Omega \subset \mathbb{R}^3$ bounded strong Lipschitz domain

$$\{0\} \begin{array}{c} \xleftrightarrow{\mathcal{L}_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\nabla\nabla} \\ \xleftarrow{\operatorname{div} \operatorname{Div}_{\mathbb{S}}} \end{array} L^2_{\mathbb{S}} \begin{array}{c} \xleftrightarrow{\operatorname{Rot}_{\mathbb{S}}} \\ \xleftarrow{\operatorname{sym} \operatorname{Rot}_{\mathbb{T}}} \end{array} L^2_{\mathbb{T}} \begin{array}{c} \xleftrightarrow{\operatorname{Div}_{\mathbb{T}}} \\ \xleftarrow{-\operatorname{dev} \nabla} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\pi_{\operatorname{RT}}} \\ \xleftarrow{\mathcal{L}_{\operatorname{RT}}} \end{array} \operatorname{RT}$$

related fos ($\nabla\nabla$, $\operatorname{div} \operatorname{Div}_{\mathbb{S}}$ first order operators!)

$$\begin{array}{l} \nabla\nabla u = M \quad \text{in } \Omega \quad | \quad \operatorname{Rot}_{\mathbb{S}} M = F \quad \text{in } \Omega \quad | \quad \operatorname{Div}_{\mathbb{T}} N = g \quad \text{in } \Omega \quad | \quad \pi v = r \quad \text{in } \Omega \\ \pi u = 0 \quad \text{in } \Omega \quad | \quad \operatorname{div} \operatorname{Div}_{\mathbb{S}} M = f \quad \text{in } \Omega \quad | \quad \operatorname{sym} \operatorname{Rot}_{\mathbb{T}} N = G \quad \text{in } \Omega \quad | \quad -\operatorname{dev} \nabla v = T \quad \text{in } \Omega \end{array}$$

related sos ($\operatorname{div} \operatorname{Div}_{\mathbb{S}} \nabla\nabla = \hat{\Delta}^2$ second order operator!)

$$\begin{array}{l} \operatorname{div} \operatorname{Div}_{\mathbb{S}} \nabla\nabla u = \hat{\Delta}^2 u = f \quad \text{in } \Omega \quad | \quad \operatorname{sym} \operatorname{Rot}_{\mathbb{T}} \operatorname{Rot}_{\mathbb{S}} M = G \quad \text{in } \Omega \quad | \quad -\operatorname{dev} \nabla \operatorname{Div}_{\mathbb{T}} N = T \quad \text{in } \Omega \\ \pi u = 0 \quad \text{in } \Omega \quad | \quad \operatorname{div} \operatorname{Div}_{\mathbb{S}} M = f \quad \text{in } \Omega \quad | \quad \operatorname{sym} \operatorname{Rot}_{\mathbb{T}} N = G \quad \text{in } \Omega \end{array}$$

corresponding compact embeddings:

$$D(\nabla\nabla) \cap D(\pi) = D(\nabla\nabla) = \dot{H}^2 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem})$$

$$D(\operatorname{Rot}_{\mathbb{S}}) \cap D(\operatorname{div} \operatorname{Div}_{\mathbb{S}}) \hookrightarrow L^2_{\mathbb{S}} \quad (\text{new selection theorem})$$

$$D(\operatorname{Div}_{\mathbb{T}}) \cap D(\operatorname{sym} \operatorname{Rot}_{\mathbb{T}}) \hookrightarrow L^2_{\mathbb{T}} \quad (\text{new selection theorem})$$

$$D(\pi) \cap D(\operatorname{dev} \nabla) = D(\operatorname{dev} \nabla) = D(\nabla) = H^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem and Korn type ineq.})$$

two new selection theorems for strong Lip. dom. and Korn Type ineq.: Py/Zulehner ('16)



biharmonic / general relativity complex in 3D ($\nabla\nabla$ -Rot $_{\mathbb{S}}$ -Div $_{\mathbb{T}}$ -complex)

Lemma/Theorem \Downarrow $D(A_1) \cap D(A_0^*) \leftrightarrow H_1, \quad D(A_2) \cap D(A_1^*) \leftrightarrow H_2 \quad \text{cpt}$

(i) all Friedrichs-Poincaré type est hold

$$\text{est for } \mathcal{A}_0 \Leftrightarrow \forall \varphi \in D(\overset{\circ}{\nabla}\overset{\circ}{\nabla}) \cap R(\text{div Div}_{\mathbb{S}}) = \dot{H}^2 \quad |\varphi|_{L^2} \leq c_0 |\nabla\nabla\varphi|_{L^2}$$

$$\text{est for } \mathcal{A}_0^* \Leftrightarrow \forall \Phi \in D(\text{div Div}_{\mathbb{S}}) \cap R(\overset{\circ}{\nabla}\overset{\circ}{\nabla}) \quad |\Phi|_{L^2} \leq c_0 |\text{div Div } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1 \Leftrightarrow \forall \Phi \in D(\overset{\circ}{\text{Rot}}_{\mathbb{S}}) \cap R(\text{sym Rot}_{\mathbb{T}}) \quad |\Phi|_{L^2} \leq c_1 |\text{Rot } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1^* \Leftrightarrow \forall \Phi \in D(\text{sym Rot}_{\mathbb{T}}) \cap R(\overset{\circ}{\text{Rot}}_{\mathbb{S}}) \quad |\Phi|_{L^2} \leq c_1 |\text{sym Rot } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2 \Leftrightarrow \forall \Phi \in D(\overset{\circ}{\text{Div}}_{\mathbb{T}}) \cap R(\text{dev } \nabla) \quad |\Phi|_{L^2} \leq c_2 |\text{Div } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2^* \Leftrightarrow \forall \varphi \in D(\text{dev } \nabla) \cap R(\overset{\circ}{\text{Div}}_{\mathbb{T}}) = H^1 \cap RT^\perp \quad |\varphi|_{L^2} \leq c_2 |\text{dev } \nabla\varphi|_{L^2}$$

(ii) all ranges $R(A_n) = R(\mathcal{A}_n)$, $R(A_n^*) = R(\mathcal{A}_n^*)$ are cl in L^2

(iii) all inverse ops \mathcal{A}_n^{-1} , $(\mathcal{A}_n^*)^{-1}$ are cont, even cpt

(iv) all Helmholtz decomposition hold, e.g.,

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*) \Leftrightarrow L_{\mathbb{S}}^2 = R(\overset{\circ}{\nabla}\overset{\circ}{\nabla}) \oplus_{L_{\mathbb{S}}^2} \mathcal{H}_{D,\mathbb{S}} \oplus_{L_{\mathbb{S}}^2} R(\text{sym Rot}_{\mathbb{T}}),$$

$$H_2 = R(A_1) \oplus K_2 \oplus R(A_2^*) \Leftrightarrow L_{\mathbb{T}}^2 = R(\overset{\circ}{\text{Rot}}_{\mathbb{S}}) \oplus_{L_{\mathbb{T}}^2} \mathcal{H}_{N,\mathbb{T}} \oplus_{L_{\mathbb{T}}^2} R(\text{dev } \nabla)$$

(v)-(ix) solution theories, variational formulations, functional a posteriori error estimates, div-curl-lemmas, ...



literature (fa-toolbox, complexes, a posteriori error estimates, ...)

results of this talk:

- Py: *Solution Theory and Functional A Posteriori Error Estimates for General First Order Systems with Applications to Electro-Magneto-Statics*, (NFAO) Numerical Functional Analysis and Optimization, 2018

(paper contains main results of this talk)

literature (complexes, Friedrichs type constants, Maxwell constants)

results of this talk:

- Py: *On Constants in Maxwell Inequalities for Bounded and Convex Domains*, Zapiski POMI/ (JMS)Journal of Mathematical Sciences (Springer New York), 2015
- Py: *On Maxwell's and Poincare's Constants*, (DCDS) Discrete and Continuous Dynamical Systems - Series S, 2015
- Py: *On the Maxwell Constants in 3D*, (M2AS) Mathematical Methods in the Applied Sciences, 2017
- Py: *On the Maxwell and Friedrichs/Poincaré Constants in ND*, (MZ) Mathematische Zeitschrift, 2018

- Py: ... *some (so far) unpublished results*

literature (complexes, Friedrichs type constants, compact embeddings)

- Weck, N.: *Maxwell's boundary value problems on Riemannian manifolds with nonsmooth boundaries*, (JMA2) Journal of Mathematical Analysis and Applications, 1974 (1972)
- Picard, R.: *An elementary proof for a compact imbedding result in generalized electromagnetic theory*, (MZ) Mathematische Zeitschrift, 1984
- Witsch, K.-J.: *A remark on a compactness result in electromagnetic theory*, (M2AS) Mathematical Methods in the Applied Sciences, 1993

results of this talk:

- Bauer, S., Py, Schomburg, M.: *The Maxwell Compactness Property in Bounded Weak Lipschitz Domains with Mixed Boundary Conditions*, (SIMA) SIAM Journal on Mathematical Analysis, 2016
- Py, Zulehner, W.: *The divDiv-Complex and Applications to Biharmonic Equations*, (AA) Applicable Analysis, 2018
- Py, Zulehner, W.: *The Elasticity Complex*, submitted, 2019



literature (div-curl-lemma)

original papers (local div-curl-lemma):

- Murat, F.: *Compacité par compensation*,
Annali della Scuola Normale Superiore di Pisa-Classe di Scienze, 1978
- Tartar, L.: *Compensated compactness and applications to partial differential equations*,
Nonlinear analysis and mechanics, Heriot-Watt symposium, 1979



literature (div-curl-lemma)

recent papers (global div-curl-lemma, H^1 -detour):

- Gloria, A., Neukamm, S., Otto, F.: *Quantification of ergodicity in stochastic homogenization: optimal bounds via spectral gap on Glauber dynamics*, (IM) Invent. Math., 2015
- Kozono, H., Yanagisawa, T.: *Global compensated compactness theorem for general differential operators of first order*, (ARMA) Arch. Ration. Mech. Anal., 2013
- Schweizer, B.: *On Friedrichs inequality, Helmholtz decomposition, vector potentials, and the div-curl lemma*, accepted preprint, 2018

recent papers (global div-curl-lemma, general results/this talk):

- Waurick, M.: *A Functional Analytic Perspective to the div-curl Lemma*, (JOP) J. Operator Theory, 2018
- Py: *A Global div-curl-Lemma for Mixed Boundary Conditions in Weak Lipschitz Domains and a Corresponding Generalized A_0^* - A_1 -Lemma in Hilbert Spaces*, (ANA) Analysis (Munich), 2018



literature (full time-dependent Maxwell equations)

- Py, Picard, R.: *A Note on the Justification of the Eddy Current Model in Electrodynamics*, (M2AS) Mathematical Methods in the Applied Sciences, 2017
- Py, Picard, R., Trostorff, S., Waurick, M.: *On a Class of Degenerate Abstract Parabolic Problems and Applications to Some Eddy Current Models*, submitted, 2019



literature (Maxwell's equations and more...)

upcoming books:

- Langer, U., Py, Repin, S. (Eds): *Maxwell's equations. Analysis and numerics*, Radon Series on Applied Mathematics, De Gruyter, 2019
- Py: *Maxwell's Equations: Hilbert Space Methods for the Theory of Electromagnetism*, Radon Series on Applied Mathematics, De Gruyter, 2020

(last book: contains all results of this talk and more...)



... the world is full of complexes ... ;)

⇒ relaxing at (and you're all invited!)

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