

# LOW-FREQUENCY ASYMPTOTICS FOR TIME-HARMONIC MAXWELL EQUATIONS IN EXTERIOR DOMAINS

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*Open-Minded* :-)

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## CLASSICAL TIME-HARMONIC SCATTERING MAXWELL PROBLEM

time-harmonic Maxwell (electro-magnetic scattering) problem  
in  $\Omega \subset \mathbb{R}^3$  exterior domain

$$-\operatorname{rot} H_\omega + i\omega\varepsilon E_\omega = F \quad \text{in } \Omega \quad (\text{pde})$$

$$\operatorname{rot} E_\omega + i\omega\mu H_\omega = G \quad \text{in } \Omega \quad (\text{pde})$$

$$\nu \times E_\omega = 0 (= \lambda) \quad \text{on } \partial\Omega \quad (\text{boundary cond.})$$

$$E, H = O(r^{-1}) \quad \text{for } r \rightarrow \infty \quad (\text{decay cond.})$$

$$\xi \times E_\omega + H_\omega, -\xi \times H_\omega + E_\omega = o(r^{-1}) \quad \text{for } r \rightarrow \infty \quad (\text{Silver-Müller radiation cond.})$$

here:  $0 \neq \omega \in \mathbb{C}$ ,  $r(x) = |x|$ ,  $\xi(x) := x/|x|$

inhom. aniso. media  $\varepsilon, \mu \in L^\infty(\Omega, \mathbb{R}^{3 \times 3})$ , sym, unif. pos. def.

QUESTION / AIM: low frequency asymptotics?

$$\lim_{\omega \rightarrow 0} E_\omega, \quad \lim_{\omega \rightarrow 0} H_\omega \quad ?$$

# CLASSICAL TIME-HARMONIC SCATTERING MAXWELL PROBLEM

## analytical motivation:

- ▶ Weck, N. and Witsch, K.-J.: CPDE, (1992)  
*Complete low frequency Analysis for the reduced wave Equation with variable coefficients in three dimensions*
- ▶ Weck, N. and Witsch, K.-J.: M2AS, (1997)  
*Generalized linear elasticity in exterior domains – I: radiation problems*
- ▶ Weck, N. and Witsch, K.-J.: M2AS, (1997)  
*Generalized linear elasticity in exterior domains – II: low-frequency asymptotics*

## analytical/numerical motivation:

- ▶ Ammari, H. and Nédélec, J.-C.: SIAM JMA, (2000)  
*Low-frequency electromagnetic scattering*
- ▶ Ammari, H. and Buffa, A. and Nédélec, J.-C.: SIAM JAM, (2000)  
*A justification of eddy currents model for the Maxwell equations*  
(! cited 49 times in MathSciNet / unfortunately wrong !)

## disadvantages of Ammari/Nédélec-papers

- ▶ no identification of terms in the expansion by proper boundary value problems
- ▶ estimates just in local  $L^2$ -norms
- ▶ non local boundary conditions due to EtM-operators (DtN-operators)
- ▶ comp. supp.  $F, G; \varepsilon = \mu = 1$

## CLASSICAL TIME-HARMONIC SCATTERING MAXWELL PROBLEM

more compact and proper notation

$$(M - \omega)u_\omega = f \in L^2_{>1/2}(\Omega) \times L^2_{>1/2}(\Omega)$$

$$u_\omega \in \mathring{\mathbf{H}}_{<-1/2}(\text{rot}; \Omega) \times \mathbf{H}_{<-1/2}(\text{rot}; \Omega)$$

$$(S + 1)u_\omega \in L^2_{>-1/2}(\Omega) \times L^2_{>-1/2}(\Omega)$$

here:  $u_\omega := (E_\omega, H_\omega)$ ,  $f := i\Lambda^{-1}(F, G)$ ,  $\Lambda = \begin{bmatrix} \varepsilon & 0 \\ 0 & \mu \end{bmatrix}$ ,  $\Lambda^{-1} = \begin{bmatrix} \varepsilon^{-1} & 0 \\ 0 & \mu^{-1} \end{bmatrix}$ ,

$$M = i\Lambda^{-1} \text{Rot}, \text{Rot} := \begin{bmatrix} 0 & -\text{rot} \\ \text{rot} & 0 \end{bmatrix}, S = C_{\text{Rot}, r} = \begin{bmatrix} 0 & -\xi \times \\ \xi \times & 0 \end{bmatrix}$$

$$M : \mathring{\mathbf{H}}(\text{rot}; \Omega) \times \mathbf{H}(\text{rot}; \Omega) \subset L^2(\Omega) \times L^2(\Omega) \rightarrow L^2(\Omega) \times L^2(\Omega) \quad \text{s.a. unbd. lin. op.}$$

$\Rightarrow$  unique  $L^2$ -solutions  $u_\omega$  for  $\omega \in \mathbb{C} \setminus \mathbb{R}$

later: gen. Fredholm alternative for  $\omega \in \mathbb{R} \setminus \{0\}$

(Eidus' principle of limiting absorption (1962), a priori estimates)

QUESTION: low frequency asymptotics?

$$\lim_{\mathbb{C} \setminus \{0\} \ni \omega \rightarrow 0} u_\omega$$

METHOD: Weck & Witsch, i.e., full ext. dom. and no artificial boundary

## GENERALIZED TIME-HARMONIC SCATTERING MAXWELL PROBLEM

gen. time-harmonic Maxwell (electro-magnetic scattering) problem  
in  $\Omega \subset \mathbb{R}^N$  exterior domain,  $0 \neq \omega \in \mathbb{C}$

$$\delta H_\omega + i\omega\varepsilon E_\omega = F \quad \text{in } \Omega \quad (\text{pde})$$

$$d E_\omega + i\omega\mu H_\omega = G \quad \text{in } \Omega \quad (\text{pde})$$

$$\iota^* E_\omega = 0 (= \lambda) \quad \text{on } \partial\Omega \quad (\text{bc})$$

$$E, H = O(r^{-1}) \quad \text{for } r \rightarrow \infty \quad (\text{dc})$$

$$d r \wedge E_\omega + H_\omega, (-1)^{qN} * d r \wedge * H_\omega + E_\omega = o(r^{-1}) \quad \text{for } r \rightarrow \infty \quad (\text{gen. Silver-Müller rc})$$

here:  $E, F$   $q$ -forms,  $H, G$   $(q+1)$ -forms

inhom. aniso. media  $\varepsilon, \mu$  (linear transformations) sym, unif. pos. def.

QUESTION / AIM: low frequency asymptotics?

$$\lim_{\omega \rightarrow 0} E_\omega, \quad \lim_{\omega \rightarrow 0} H_\omega \quad ?$$

## GENERALIZED TIME-HARMONIC SCATTERING MAXWELL PROBLEM

time-harmonic Maxwell problem in  $\Omega \subset \mathbb{R}^N$  exterior domain

for simplicity  $N \geq 3$  odd, frequencies from upper half plane  $\omega \in \mathbb{C}_+$

$$(M - \omega)u_\omega = f \in L^2_{>1/2}, q, q+1(\Omega)$$

$$u_\omega \in \mathring{\mathbf{D}}^q_{<-\frac{1}{2}}(\Omega) \times \mathbf{\Delta}^{q+1}_{<-\frac{1}{2}}(\Omega)$$

$$(S + 1)u_\omega \in L^2_{>-1/2}, q, q+1(\Omega)$$

here:  $u_\omega := (E_\omega, H_\omega)$ ,  $f := i\Lambda^{-1}(F, G)$ ,  $E, F$   $q$ -forms,  $H, G$   $(q+1)$ -forms,

$$M = i\Lambda^{-1} \begin{bmatrix} 0 & \delta \\ d & 0 \end{bmatrix}, \Lambda = \begin{bmatrix} \varepsilon & 0 \\ 0 & \mu \end{bmatrix}, S = \begin{bmatrix} 0 & T \\ R & 0 \end{bmatrix}, R := d r \wedge, T := \pm * R *$$

$d$  ext. deriv.,  $\delta = \pm * d * \text{co-deriv.}$ ,  $R = C_{d,r}$ ,  $T = C_{\delta,r}$

$M : \mathring{\mathbf{D}}^q(\Omega) \times \mathbf{\Delta}^{q+1}(\Omega) \subset L^2, q, q+1(\Omega) \rightarrow L^2, q, q+1(\Omega)$  s.a. unbd. lin. op.

denote sol. op. of time-harmonic prob. by  $\mathcal{L}_\omega := (M - \omega)^{-1}$  ( $u_\omega = \mathcal{L}_\omega f$ )

QUESTION: low frequency asymptotics?

$$\lim_{\mathbb{C}_+ \setminus \{0\} \ni \omega \rightarrow 0} \mathcal{L}_\omega = ?$$

(topology: operator norm of polyn. weighted Sobolev spaces)

## BOUNDED DOMAIN

time-harmonic Maxwell problem in  $\Omega \subset \mathbb{R}^N$  bounded Lipschitz domain

$$(M - \omega)u_\omega = f \in L^{2,q,q+1}(\Omega)$$

$$u_\omega \in \overset{\circ}{\mathbf{D}}^q(\Omega) \times \mathbf{\Delta}^{q+1}(\Omega) =: D(M)$$

Helmholtz deco.  $\Rightarrow L^{2,q,q+1}(\Omega) = N(M) \oplus_\Lambda \overline{R(M)}$

$$M : D(M) \subset L^{2,q,q+1}(\Omega) \rightarrow L^{2,q,q+1}(\Omega) \quad \text{s.a.,}$$

$$\mathcal{M} : D(\mathcal{M}) := D(M) \cap \overline{R(M)} \subset \overline{R(M)} \rightarrow \overline{R(M)} \quad \text{s.a.}$$

Maxwell compactness prop., i.e.,  $D(\mathcal{M}) \hookrightarrow L^{2,q,q+1}(\Omega)$  comp.

$$\Rightarrow \text{Maxwell estimate, i.e., } \exists c_m > 0 \quad \forall u \in D(\mathcal{M}) \quad \|u\|_{L^{2,q}(\Omega)} \leq c_m \|\mathcal{M}u\|_{L^{2,q}(\Omega)}$$

$$\Rightarrow R(M) = R(\mathcal{M}) \text{ closed and } \mathcal{L}_0 := \mathcal{M}^{-1} : R(M) \rightarrow D(\mathcal{M}) \text{ cont.}$$

$$\Rightarrow \mathcal{L}_0 : R(M) \rightarrow R(M) \text{ comp. (static sol. op. cont./comp.)}$$

standard sol. theory  $\Rightarrow$  Fredholm's alternative, especially

$$\sigma_p(\mathcal{M}) = \sigma(\mathcal{M}) = \sigma(M) \setminus \{0\} = \sigma_p(M) \setminus \{0\} = \pm\{\omega_n\}_{n=1}^\infty \subset \mathbb{R} \setminus \{0\}$$

with  $(\omega_n)$  strongly monotone and unbounded

$\Rightarrow$  sol. op. time-harmonic prob. ( $f \mapsto u_\omega = \mathcal{L}_\omega f$ ) well def. for  $0 < |\omega|$  small

$$\mathcal{L}_\omega : L^{2,q,q+1}(\Omega) \rightarrow D(M), \quad \mathcal{L}_\omega : R(M) \rightarrow D(\mathcal{M})$$



## BOUNDED DOMAIN

time-harmonic Maxwell problem in  $\Omega \subset \mathbb{R}^N$  bounded Lipschitz domain

$$(M - \omega)u_\omega = f \in L^{2,q,q+1}(\Omega)$$

$$u_\omega \in D(M)$$

Helmholtz deco.  $\Rightarrow L^{2,q,q+1}(\Omega) = N(M) \oplus_\Lambda R(M)$  and  $D(M) = N(M) \oplus_\Lambda D(\mathcal{M})$

orth.-norm.-projectors  $\Pi : L^{2,q,q+1}(\Omega) \rightarrow N(M)$ ,  $1 - \Pi : L^{2,q,q+1}(\Omega) \rightarrow R(M)$

$$\Rightarrow -\omega \Pi u_\omega = \Pi f \quad \text{and} \quad (M - \omega)(1 - \Pi)u_\omega = (1 - \Pi)f \in L^{2,q,q+1}(\Omega)$$

$$\Pi u_\omega \in N(M) \quad (1 - \Pi)u_\omega \in D(\mathcal{M})$$

note:  $D(\mathcal{M}) = D(M) \cap R(M) = (\overset{\circ}{\mathbf{D}}^q(\Omega) \cap \varepsilon^{-1} \delta \mathbf{\Delta}^{q+1}(\Omega)) \times (\mathbf{\Delta}^{q+1}(\Omega) \cap \mu^{-1} \mathbf{d} \overset{\circ}{\mathbf{D}}^q(\Omega))$

set  $v := (1 - \Pi)u_\omega \in D(\mathcal{M}) \subset R(M)$  and  $g := (1 - \Pi)f \in R(M) \Rightarrow \mathcal{L}_0 Mv = v$

$$\Rightarrow (M - \omega)v = g \Leftrightarrow (1 - \omega \mathcal{L}_0)v = \mathcal{L}_0 g$$

$$\stackrel{\text{Neumann ser.}}{\Leftrightarrow} v = (1 - \omega \mathcal{L}_0)^{-1} \mathcal{L}_0 g = \sum_{j=0}^{\infty} \omega^j \mathcal{L}_0^j \mathcal{L}_0 g$$

for small  $0 < |\omega|$  since  $\|\omega \mathcal{L}_0\| < 1 \Leftrightarrow |\omega| < 1/\|\mathcal{L}_0\|$  (1st pos. Maxwell ev)

$$\Rightarrow \mathcal{L}_\omega f = u_\omega = \Pi u_\omega + v = -\omega^{-1} \Pi f + \sum_{j=0}^{\infty} \omega^j \mathcal{L}_0^{j+1} (1 - \Pi) f$$

## BOUNDED DOMAIN

⇒ low frequency asymptotics in  $L^2$ -operator norm

$$\mathcal{L}_\omega = \underbrace{-\omega^{-1}\Pi}_{\text{trivial part}} + \underbrace{\sum_{j=0}^{\infty} \omega^j \mathcal{L}_0^{j+1} \Pi_{\text{reg}}}_{\text{Neumann series}}, \quad \omega \in \mathbb{C}_+ \setminus \{0\} \text{ small}$$

$\Pi : L^{2,q,q+1}(\Omega) \rightarrow N(M)$ ,  $\Pi_{\text{reg}} := 1 - \Pi : L^{2,q,q+1}(\Omega) \rightarrow R(M)$

$\mathcal{L}_0 : R(M) \rightarrow D(M) \cap R(M)$

problems if  $\Omega$  exterior domain

- ▶ this low frequency asymptotic is wrong, even not well defined
- ▶ static solution theory needs weighted Poincare estimate!  
 ⇒ leaving  $L^2$ -setting  
 e.g., static sol. op. maps unweighted data  $f$  to  $(1+r)^{-1}$ -weighted sol.  $u_0$
- ▶ not clear how to define higher powers of  $\mathcal{L}_0$  ?
- ▶ careful investigation of static sol. theo. in weighted Sobolev spaces

## EXTERIOR DOMAIN

aim: give meaning to Neumann sum in terms of an asymptotic expansion

$$\mathcal{L}_\omega + \omega^{-1}\Pi - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} \Pi_{\text{reg}} = O(|\omega|^J) \quad , \quad J \in \mathbb{N}_0, \quad \omega \in \mathbb{C}_+ \setminus \{0\} \text{ small}$$

### 3 major complications

- ▶ growing  $J \Rightarrow$  stronger data norms for  $f$  and weaker solution norms for  $u_\omega = \mathcal{L}_\omega f$
- ▶  $\Pi$ ,  $\Pi_{\text{reg}}$  indicate need for polyn. weighted Hodge-Helmholtz deco. of

$$\mathbb{L}_s^{2,q,q+1}(\Omega) = (\text{Tri}_s^q(\Omega) \dot{+} \text{Reg}_s^{q,-1}(\Omega)) \cap \mathbb{L}_s^{2,q,q+1}(\Omega)$$

respecting inhomogeneities  $\Lambda$  (topological direct decomposition)

$$(N(M) =) \text{Tri}_s^q(\Omega) = \Pi \mathbb{L}_s^{2,q,q+1}(\Omega) \subset {}_0\mathring{D}_t^q(\Omega) \times {}_0\Delta_t^{q+1}(\Omega)$$

$$\text{Reg}_s^{q,-1}(\Omega) = \Pi_{\text{reg}} \mathbb{L}_s^{2,q,q+1}(\Omega) \subset \Lambda^{-1}({}_0\Delta_t^q(\Omega) \times {}_0\mathring{D}_t^{q+1}(\Omega))$$

only subspaces of  $\mathbb{L}_t^{2,q,q+1}(\Omega)$  with  $t \leq s$  and  $t < N/2$

not of  $\mathbb{L}_s^{2,q,q+1}(\Omega)$  if  $s \geq N/2$

- ▶ expansion has to be corrected by special, explicitly computable degenerate op.

## EXTERIOR DOMAIN

more precisely:  $J \in \mathbb{N}_0$  and  $s, -t > 1/2$  as well as  $f \in L_s^{2,q,q+1}(\Omega)$

⇒ main result: asymptotic estimates

$$\| \mathcal{L}_\omega f + \omega^{-1} \Pi f - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} \Pi_{\text{reg}} f - \omega^{N-1} \sum_{j=0}^{J-N} \omega^j \Gamma_j f \|_{L_t^{2,q,q+1}(\Omega)} = O(|\omega|^J) \|f\|_{L_s^{2,q,q+1}(\Omega)}$$

$O$ -symbol always for  $\omega \rightarrow 0$  and uniformly w.r.t.  $\omega$  and  $f$

with  $\omega \in \mathbb{C}_+ \setminus \{0\}$  and  $|\omega| \leq \hat{\omega}$ , where  $\hat{\omega} > 0$

## GENERAL ASSUMPTIONS

- ▶  $\Omega \subset \mathbb{R}^N$  exterior domain with Lipschitz boundary  
(Maxwell local compactness property,  
exist. of special forms with bounded supports repl. Dirichlet/Neumann forms)
- ▶  $1 \leq q \leq N - 2$  and odd space dimensions  $N$  (class.  $N = 3, q = 1$ )  
(even dim., especially  $N = 2$ , OK  
but logarithmic terms due to expansions of Hankel's functions)
- ▶ fix radius  $r_0 > 0$  with  $\mathbb{R}^N \setminus \Omega \subset B_{r_0}$ , cut-off function  $\eta$
- ▶  $\varepsilon = \text{Id} + \hat{\varepsilon}$ ,  $\mu = \text{Id} + \hat{\mu}$  ( $\Lambda = \text{Id} + \hat{\Lambda}$ )  $\tau$ - $C^1$ -admissible, i.e.,  
linear, real, sym., unif. pos. def.  $L^\infty$ -transformations with  $\hat{\Lambda} \in C^1$  for  $|x| > r_0$   
asymptotically homogeneous, i.e.,  
 $\partial^\alpha \hat{\Lambda} = O(r^{-\tau-|\alpha|})$  for all  $|\alpha| \leq 1$  with order of decay  $\tau$  at infinity,  
 $\tau > 0$  depending on  $t, s$

## DESCRIPTION OF RESULTS

- ▶ degenerate correction operators  $\Gamma_j$  by recursion consisting of

$$E_{\sigma,m}^+, H_{\sigma,n}^+, E_{\sigma,m}^{+,k} =: \mathcal{L}_0^k(E_{\sigma,m}^+, 0), H_{\sigma,n}^{+,k} =: \mathcal{L}_0^k(0, H_{\sigma,n}^+) \in L_{-N/2-\sigma-k}^{2,q,q+1}(\Omega)$$

sol. of hom. static boundary value problems with inhom. at infinity, e.g.,

$$E_{\sigma,m}^+ \in {}_0\mathring{D}_{\text{loc}}^q(\Omega) \cap \varepsilon^{-1}({}_0\Delta_{\text{loc}}^q(\Omega) \cap \mathring{B}^q(\Omega)^\perp)$$

$$E_{\sigma,m}^+ - {}_+\Delta_{\sigma,m}^{q,0} \in L_{>-\frac{N}{2}}^{2,q}(\Omega)$$

'harmonic polynomials'  $+ \Delta_{\sigma,m}^{q,k}$  behave like  $r^{k+\sigma}$  at infinity ( $k, \sigma \geq 0$ )

- ▶ 'trivial' subspace  $\text{Tri}_s^q(\Omega) = \Pi L_s^{2,q,q+1}(\Omega) \subset {}_0\mathring{D}_t^q(\Omega) \times {}_0\Delta_t^{q+1}(\Omega) (\subset N(M))$

$$\mathcal{L}_\omega f = -\omega^{-1} f, \quad f \in \text{Tri}_s^q(\Omega)$$

- ▶ two kinds of media  $\Lambda = \text{Id} + \hat{\Lambda}$ 
  1.  $\hat{\Lambda}$  comp. supp., results for any  $J$
  2.  $\hat{\Lambda}$  'decays' with  $\tau > 0$  at infinity, results for  $J \leq \hat{J}$  dep. on  $\tau$

## DESCRIPTION OF RESULTS

- ▶ identify closed subspaces  $\text{Reg}_s^{q,J}(\Omega)$  of  $\text{Reg}_s^{q,0}(\Omega) \subset L_s^{2,q,q+1}(\Omega)$ , 'spaces of regular convergence',  $\Rightarrow$  'usual' Neumann expansion

$$\left\| \mathcal{L}_\omega f - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} f \right\|_{L_t^{2,q,q+1}(\Omega)} = O(|\omega|^J) \|f\|_{L_s^{2,q,q+1}(\Omega)}$$

for  $f \in \text{Reg}_s^{q,J}(\Omega)$

- ▶ charact. of  $\text{Reg}_s^{q,J}(\Omega)$  by orthogonality in  $L^2$  to the spec. grow. st. sol.  $E_{\sigma,m}^{+,k}$ ,  $H_{\sigma,n}^{+,k}$
- ▶ corrected Neumann expansion

$$\left\| \mathcal{L}_\omega f - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} f - \omega^{N-1} \sum_{j=0}^{J-N} \omega^j \Gamma_j f \right\|_{L_t^{2,q,q+1}(\Omega)} = O(|\omega|^J) \|f\|_{L_s^{2,q,q+1}(\Omega)}$$

for  $f \in \text{Reg}_s^{q,-1}(\Omega) = \Pi_{\text{reg}} L_s^{2,q,q+1}(\Omega) \subset \Lambda^{-1}({}_0\Delta_t^q(\Omega) \times {}_0\mathring{D}_t^{q+1}(\Omega))$

- ▶ fully corrected Neumann expansion

$$\left\| \mathcal{L}_\omega f + \omega^{-1} \Pi f - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} \Pi_{\text{reg}} f - \omega^{N-1} \sum_{j=0}^{J-N} \omega^j \Gamma_j f \right\|_{L_t^{2,q,q+1}(\Omega)} = O(|\omega|^J) \|f\|_{L_s^{2,q,q+1}(\Omega)}$$

for  $f \in L_s^{2,q,q+1}(\Omega) = (\text{Tri}_s^q(\Omega) \dot{+} \text{Reg}_s^{q,-1}(\Omega)) \cap L_s^{2,q,q+1}(\Omega)$

## MAIN RESULT

## Theorem (low frequency asymptotics)

Let  $J \in \mathbb{N}$  and  $s \notin \mathbb{I} = (\mathbb{N}_0 + N/2) \cup (1 - N/2 - \mathbb{N}_0)$  with

$$s > J + 1/2, \quad (f)$$

$$t < \min\{N/2 - J - 2, -1/2\}, \quad (u_\omega)$$

$$\tau > \max\{(N+1)/2, s - t\}. \quad (\hat{\Lambda})$$

Then for all small enough  $\mathbb{C}_+ \setminus \{0\} \ni \omega \rightarrow 0$  the asymptotic expansion

$$\mathcal{L}_\omega + \omega^{-1}\Pi - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} \Pi_{\text{reg}} - \omega^{N-1} \sum_{j=0}^{J-N} \omega^j \Gamma_j = O(|\omega|^J)$$

holds in the norm of bounded linear operators from  $L_s^{2,q,q+1}(\Omega)$  to  $L_t^{2,q,q+1}(\Omega)$ .

**Remark** The main theorem holds also for  $J = 0$  with slightly different  $t$  and  $\tau$ .



## TIME-HARMONIC SCATTERING PROBLEM

Solving  $(M - \omega)u_\omega = f$ ?

$$M : \begin{array}{l} \mathring{\mathbf{D}}^q(\Omega) \times \mathbf{\Delta}^{q+1}(\Omega) \subset L_\Lambda^{2,q,q+1}(\Omega) \\ u \end{array} \begin{array}{l} \longrightarrow L_\Lambda^{2,q,q+1}(\Omega) \\ \longmapsto i\Lambda^{-1} \begin{bmatrix} 0 & \delta \\ d & 0 \end{bmatrix} u \end{array}$$

 $M$  unbd. lin. s.a.  $\Rightarrow \sigma(\mathcal{M}) \subset \mathbb{R}$  $\omega \in \mathbb{C} \setminus \mathbb{R} \Rightarrow \mathcal{L}_\omega = (M - \omega)^{-1}$  bounded  $\Rightarrow L^2$ -sol. for all  $f \in L^{2,q,q+1}(\Omega)$ solving in  $\sigma(\mathcal{M}) \setminus \{0\}$  with Eidus' 'limiting absorption principle' (approx. from  $\mathbb{C}_+$ )

## Definition (time-harmonic (scattering) solutions)

Let  $\omega \in \mathbb{R} \setminus \{0\}$  and  $f \in L_{\text{loc}}^{2,q,q+1}(\Omega)$ .  $u_\omega$  solves  $\text{Max}(f, \omega)$ , iff

- (i)  $\forall t < -1/2 \quad u_\omega \in \mathring{\mathbf{D}}_t^q(\Omega) \times \mathbf{\Delta}_t^{q+1}(\Omega)$ ,
- (ii)  $\exists t > -1/2 \quad (S + 1)u_\omega \in L_t^{2,q,q+1}(\Omega)$ ,
- (iii)  $(M - \omega)u_\omega = f$ .

TOOLS: a priori estimate, polynomial decay of eigensolutions, decomposition lemma, Helmholtz' equation

## TIME-HARMONIC SCATTERING PROBLEM

## Theorem (time-harmonic (scattering) solution theory)

Let  $\omega \in \mathbb{R} \setminus \{0\}$  and  $s > 1/2$ ,  $\tau > 1$ .

- (i)  $\text{Max}(0, \omega) \subset (\mathring{\mathbf{D}}_t^q(\Omega) \cap \varepsilon^{-1} \delta \mathbf{\Delta}_t^{q+1}(\Omega)) \times (\mathbf{\Delta}_t^{q+1}(\Omega) \cap \mu^{-1} \mathring{\mathbf{d}} \mathbf{D}_t^q(\Omega))$  for all  $t \in \mathbb{R}$ ,  
*i.e. gen. eigensolutions decay polynomially (and exponentially for  $\Lambda \in \mathbb{C}^2$ ),  
 no gen. eigenvalues for  $\Lambda = \text{Id}$ , comp. Helmholtz eq., Rellich's est., princ. uniq. cont.*
- (ii)  $\dim \text{Max}(0, \omega) < \infty$
- (iii)  $\sigma_{\text{gen}}(M)$  has no accumulation point in  $\mathbb{R} \setminus \{0\}$
- (iv) Fredholm's Alternative holds:  
 $\forall f \in L_s^{2,q,q+1}(\Omega) \quad \exists u_\omega$  solution of  $\text{Max}(f, \omega)$ , iff

$$\forall v \in \text{Max}(0, \omega) \quad \langle f, v \rangle_{L_\Lambda^{2,q,q+1}(\Omega)} = 0$$

The solution  $u_\omega$  can be chosen, such that

$$\forall v \in \text{Max}(0, \omega) \quad \langle u_\omega, v \rangle_{L_\Lambda^{2,q,q+1}(\Omega)} = 0.$$

Then  $u_\omega$  is uniquely determined.

- (v) For all  $t < -1/2$  the solution operator  $\mathcal{L}_\omega$  maps  $L_s^{2,q,q+1}(\Omega) \cap \text{Max}(0, \omega)^\perp$  to  $(\mathring{\mathbf{D}}_t^q(\Omega) \times \mathbf{\Delta}_t^{q+1}(\Omega)) \cap \text{Max}(0, \omega)^\perp$  continuously.

## LOW FREQUENCY TIME-HARMONIC SCATTERING PROBLEM

## Theorem (low frequency time-harmonic estimate)

Let  $\tau > (N+1)/2$  and  $s \in (1/2, N/2)$  as well as  $t := s - (N+1)/2 \in (-N/2, -1/2)$ .

- (i)  $\sigma_{gen}(M)$  does not accumulate in  $\mathbb{R}$  (especially not at zero).  
 $\sigma_{gen}(M) \cap \mathbb{C}_+ = \{0\}$  for  $\omega$  sufficiently small.
- (ii)  $\mathcal{L}_\omega$  is well defined on  $L_s^{2,q,q+1}(\Omega)$  for all  $0 \neq \omega \in \mathbb{C}_+$  small enough.
- (iii)  $\exists c > 0 \quad \forall 0 \neq \omega \in \mathbb{C}_+$  small enough  $\quad \forall \Lambda f = \Lambda(F, G) \in \Delta_s^q(\Omega) \times \mathring{D}_s^{q+1}(\Omega)$

$$\|\mathcal{L}_\omega f\|_{L_t^{2,q,q+1}(\Omega)} \leq c \left( \|f\|_{L_s^{2,q,q+1}(\Omega)} + |\omega|^{-1} \|(\delta \varepsilon F, d\mu G)\|_{L_s^{2,q-1,q+2}(\Omega)} \right. \\ \left. + |\omega|^{-1} \sum_{\ell=1}^{d^q} |\langle \varepsilon F, \mathring{b}_\ell^q \rangle_{L^{2,q}(\Omega)}| + |\omega|^{-1} \sum_{\ell=1}^{d^{q+1}} |\langle \mu G, \mathring{b}_\ell^{q+1} \rangle_{L^{2,q+1}(\Omega)}| \right).$$

*Especially*  $\|\mathcal{L}_\omega f\|_{L_t^{2,q,q+1}(\Omega)} \leq c \|f\|_{L_s^{2,q,q+1}(\Omega)}$  holds for

$$\Lambda f = \Lambda(F, G) \in {}_0\Delta_s^q(\Omega) \times {}_0\mathring{D}_s^{q+1}(\Omega) := ({}_0\Delta_s^q(\Omega) \cap \mathring{B}^q(\Omega)^\perp) \times ({}_0\mathring{D}_s^{q+1}(\Omega) \cap \mathring{B}^{q+1}(\Omega)^\perp),$$

*i.e., no terms with negative frequency power  $|\omega|^{-1}$  occur.*

TOOLS: fundamental sol. Helmholtz' eq. (Hankel's function),  
 repr. of sol. for  $\Omega = \mathbb{R}^N$  as conv., cutt. tech., indirect arg.

## FIRST LOW FREQUENCY ASYMPTOTIC

## Theorem (first and simple static solution theory)

Let  $\tau > 0$ . Then there exists a linear and bounded static solution operator

$$\mathcal{L}_0 : \Lambda^{-1}({}_0\Delta^q(\Omega) \times {}_0\mathring{\mathbb{D}}^{q+1}(\Omega)) \rightarrow (\mathring{\mathbb{D}}_{-1}^q(\Omega) \times \Delta_{-1}^{q+1}(\Omega)) \cap \Lambda^{-1}({}_0\Delta_{-1}^q(\Omega) \times {}_0\mathring{\mathbb{D}}_{-1}^{q+1}(\Omega)).$$

More precisely:  $u = (E, H) = \mathcal{L}_0 f$  for  $f = (F, G)$  solves  $Mu = f$ , i.e., the static system

$$\begin{aligned} i\mu^{-1} dE &= G, & \delta \varepsilon E &= 0, & \varepsilon E &\perp \mathring{B}^q(\Omega), \\ i\varepsilon^{-1} \delta H &= F, & d\mu H &= 0, & \mu H &\perp B^{q+1}(\Omega). \end{aligned}$$

## Theorem (first and simple low frequency asymptotics)

Let  $\tau > (N+1)/2$  and  $s \in (1/2, N/2)$  as well as  $t < s - (N+1)/2 \in (-N/2, -1/2)$ .

Then

$$\lim_{\mathbb{C}_+ \ni \omega \rightarrow 0} \mathcal{L}_\omega = \mathcal{L}_0$$

in the norm of bounded linear operators

$$\Lambda^{-1}({}_0\Delta_s^q(\Omega) \times {}_0\mathring{\mathbb{D}}_s^{q+1}(\Omega)) \longrightarrow \mathring{\mathbb{D}}_t^q(\Omega) \times \Delta_t^{q+1}(\Omega).$$

## EXTENDED STATIC SOLUTION THEORY

## Theorem (extended static solution theory)

Let  $s \in (1 - N/2, \infty) \setminus \mathbb{I}$  and  $\tau > \max\{0, s - N/2\}$ ,  $\tau \geq -s$ . Then

$$i\mu^{-1} d : \left( \overset{\circ}{D}_{s-1}^q(\Omega) \boxplus \eta \underset{E}{\Delta}_{s-1}^{q,0,-} \right) \cap \varepsilon^{-1} \overset{\circ}{\Delta}_{\text{loc}}^q(\Omega) \longrightarrow \mu^{-1} \overset{\circ}{D}_s^{q+1}(\Omega),$$

$$\longmapsto i\mu^{-1} d E,$$

$$i\varepsilon^{-1} \delta : \left( \overset{\circ}{\Delta}_{s-1}^{q+1}(\Omega) \boxplus \eta \mathcal{D}_{s-1}^{q+1,0,-} \right) \cap \mu^{-1} \overset{\circ}{D}_{\text{loc}}^{q+1}(\Omega) \longrightarrow \varepsilon^{-1} \overset{\circ}{\Delta}_s^q(\Omega)$$

$$\longmapsto i\varepsilon^{-1} \delta H$$

are topological isomorphisms.

note:  $\underset{s-1}{\Delta}^{q,0,-} = \underset{s-1}{\Delta}^q(\bar{\mathcal{J}}_{s-1}^{q,0})$  finite dim. subspace of  $C^\infty(\mathbb{R}^N \setminus \{0\})$

$\eta \underset{s-1}{\Delta}^{q,0,-} \subset L_t^{2,q}(\Omega)$  for  $t \leq s-1$ ,  $t < N/2$  and  $\eta \underset{s-1}{\Delta}^{q,0,-} \not\subset L_{s-1}^{2,q}(\Omega)$

same for  $\mathcal{D}_{s-1}^{q+1,0,-} = \mathcal{D}^{q+1}(\bar{\mathcal{J}}_{s-1}^{q+1,0})$

consisting of 'neg. tower-forms' of shape  $r^\ell \check{\tau} S_{m,n}^q$  ( $S_{m,n}^q$  gen. spherical harmonics)

## EXTENDED STATIC SOLUTION THEORY

## Corollary (extended static solution theory)

Let  $s \in (1 - N/2, \infty) \setminus \mathbb{I}$  and  $\tau > \max\{0, s - N/2\}$ ,  $\tau \geq -s$ . Then

$$M : \left( (\mathring{D}_{s-1}^q(\Omega) \times \Delta_{s-1}^{q+1}(\Omega)) \boxplus (\eta \Lambda_{s-1}^{q,0,-} \times \eta \mathcal{D}_{s-1}^{q+1,0,-}) \right) \cap \Lambda^{-1}({}_0\mathring{\Delta}_{\text{loc}}^q(\Omega) \times {}_0\mathring{\mathbb{D}}_{\text{loc}}^{q+1}(\Omega))$$

$$\longrightarrow \Lambda^{-1}({}_0\mathring{\Delta}_s^q(\Omega) \times {}_0\mathring{\mathbb{D}}_s^{q+1}(\Omega))$$

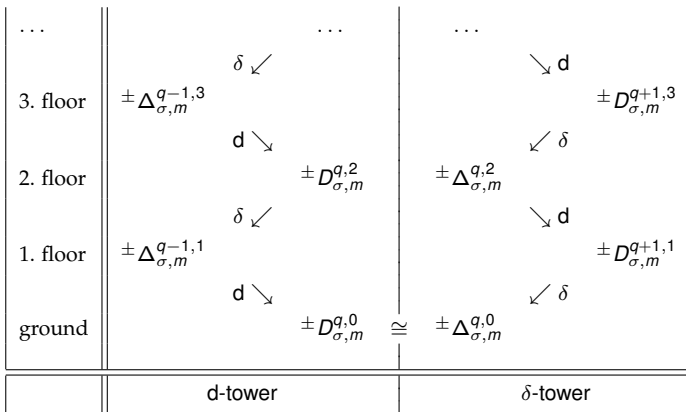
$$u = (E, H) \longmapsto Mu = i\Lambda^{-1}(\delta H, dE)$$

is a topological isomorphism with bounded inverse

$$\mathcal{L}_0 = M^{-1} : \Lambda^{-1}({}_0\mathring{\Delta}_s^q(\Omega) \times {}_0\mathring{\mathbb{D}}_s^{q+1}(\Omega)) \longrightarrow \Lambda^{-1}({}_0\mathring{\Delta}_{s-1}^q(\bar{\mathcal{J}}_{s-1}^{q,0}, \Omega) \times {}_0\mathring{\mathbb{D}}_{s-1}^{q+1}(\bar{\mathcal{J}}_{s-1}^{q+1,0}, \Omega)).$$

goal: higher powers of  $\mathcal{L}_0$  even acting on  $\Lambda^{-1}({}_0\mathring{\Delta}_{s-1}^q(\mathcal{J}, \Omega) \times {}_0\mathring{\mathbb{D}}_{s-1}^{q+1}(\mathcal{J}, \Omega))$

## TOWER FORMS



$\pm \Delta_{\sigma,m}^{q,k}, \pm D_{\sigma,m}^{q,k} \in C^\infty(\mathbb{R}^N \setminus \{0\})$  homogeneous of deg.  $k + \sigma$  resp.  $k - \sigma - N$

## HIGHER POWERS OF THE STATIC SOLUTION OPERATOR

Theorem (higher powers of  $\mathcal{L}_0$ )

Let  $j \in \mathbb{N}$  and  $s \in (j - N/2, \infty) \setminus \mathbb{I}$  and  $\mathcal{J}, \mathcal{J}$  finite index sets as well as  $\tau \geq j - 1 - s$ ,  $\tau > \max\{0, s - N/2\}$  and  $\tau > s + N/2 + \max\{h_j, h_j\}$ . Then

$$\mathcal{L}_0^j : \Lambda^{-1}({}_0\Delta_s^q(\mathcal{J}, \Omega) \times {}_0\mathring{\mathbb{D}}_s^{q+1}(\mathcal{J}, \Omega))$$

$$\rightarrow \Lambda^{-1} \begin{cases} {}_0\Delta_{s-j}^q(\bar{\mathcal{J}}_{s-j}^{q, \leq j-1} \cup j\mathcal{J}, \Omega) \times {}_0\mathring{\mathbb{D}}_{s-j}^{q+1}(\bar{\mathcal{J}}_{s-j}^{q+1, \leq j-1} \cup j\mathcal{J}, \Omega) & , \text{ if } j \text{ even} \\ {}_0\Delta_{s-j}^q(\bar{\mathcal{J}}_{s-j}^{q, \leq j-1} \cup j\mathcal{J}, \Omega) \times {}_0\mathring{\mathbb{D}}_{s-j}^{q+1}(\bar{\mathcal{J}}_{s-j}^{q+1, \leq j-1} \cup j\mathcal{J}, \Omega) & , \text{ if } j \text{ odd} \end{cases}$$

is a continuous linear operator with range in  $\Lambda^{-1}({}_0\Delta_t^q(\Omega) \times {}_0\mathring{\mathbb{D}}_t^{q+1}(\Omega))$  for  $t \leq s - j$ ,  $t < N/2 - j + 1$ ,  $t < -j - N/2 - \max\{h_j, h_j\}$ .



## SPACES OF REGULAR CONVERGENCE

$$\text{Reg}_s^{q,-1}(\Omega) = \Pi_{\text{reg}} \mathcal{L}_s^{2,q,q+1}(\Omega) \subset \Lambda^{-1}({}_0\Delta_t^q(\Omega) \times {}_0\mathring{D}_t^{q+1}(\Omega))$$

$$\text{Reg}_s^{q,0}(\Omega) := \Lambda^{-1}({}_0\mathring{\Delta}_s^q(\Omega) \times {}_0\mathring{D}_s^{q+1}(\Omega))$$

$$\text{Reg}_s^{q,j}(\Omega) := \{f \in \text{Reg}_s^{q,0}(\Omega) : \mathcal{L}_0^j f \in \mathcal{L}_{s-j}^{2,q,q+1}(\Omega)\}$$

'usual Neumann sum'

## Lemma (spaces of regular convergence)

Let  $J \in \mathbb{N}_0$  and  $s \in (J + 1/2, \infty) \setminus \mathbb{I}$  as well as  $\tau > \max\{(N + 1)/2, s - N/2\}$ . Then for all  $0 \neq \omega \in \mathbb{C}_+$  small enough on  $\text{Reg}_s^{q,J}(\Omega)$  the resolvent formula

$$\mathcal{L}_\omega - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} = \omega^J \mathcal{L}_\omega \mathcal{L}_0^J$$

holds. Especially for  $s \in (J + 1/2, J + N/2) \setminus \mathbb{I}$  and  $t = s - J - (N + 1)/2$

$$\left\| \mathcal{L}_\omega f - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} f \right\|_{\mathcal{L}_t^{2,q,q+1}(\Omega)} = O(|\omega|^J) \|f\|_{\mathcal{L}_s^{2,q,q+1}(\Omega)}$$

holds uniformly w.r.t.  $f \in \text{Reg}_s^{q,J}(\Omega)$ .

aim: characterize  $\text{Reg}_s^{q,j}(\Omega)$  by orthogonality constraints

## GROWING STATIC SOLUTIONS

again conditions on  $\tau \dots$ 

$$E_{\sigma,m}^+ \in {}_0\mathring{D}_{\text{loc}}^q(\Omega) \cap \varepsilon^{-1} {}_0\Delta_{\text{loc}}^q(\Omega)$$

$$E_{\sigma,m}^+ - {}_+\Delta_{\sigma,m}^{q,0} \in L_{>-\frac{N}{2}}^{2,q}(\Omega)$$

$$H_{\sigma,m}^+ \in {}_0\Delta_{\text{loc}}^{q+1}(\Omega) \cap \mu^{-1} {}_0\mathring{D}_{\text{loc}}^{q+1}(\Omega)$$

$$H_{\sigma,m}^+ - {}_+D_{\sigma,m}^{q+1,0} \in L_{>-\frac{N}{2}}^{2,q+1}(\Omega)$$

$$E_{\sigma,m}^{+,k} = \mathcal{L}_0^k(E_{\sigma,m}^+, 0), \quad H_{\sigma,n}^{+,k} = \mathcal{L}_0^k(0, H_{\sigma,n}^+) \in L_{-N/2-\sigma-k}^{2,q,q+1}(\Omega)$$

${}_+\Delta_{\sigma,m}^{q,k}, {}_+D_{\sigma,m}^{q+1,k}$  behave like  $r^{k+\sigma}$ ,  $k, \sigma \geq 0$  at infinity

$$E_{\sigma,m}^{+,k} - \eta({}_+\Delta_{\sigma,m}^{q,k}, 0) \in \Lambda^{-1}\left(\left({}_0\Delta_{s-k-1}^q(\Omega) \boxplus \eta \Delta^q(\bar{\mathcal{J}}_{s-k-1}^{q, \leq k})\right) \times \{0\}\right) \quad k \text{ even}$$

$$E_{\sigma,m}^{+,k} - \eta(0, {}_+D_{\sigma,m}^{q+1,k}) \in \Lambda^{-1}\left(\{0\} \times \left({}_0\mathring{D}_{s-k-1}^{q+1}(\Omega) \boxplus \eta \mathcal{D}^{q+1}(\bar{\mathcal{D}}_{s-k-1}^{q+1, \leq k})\right)\right) \quad k \text{ odd}$$

supp  $\hat{\Lambda}$  compact, then series rep. of neg. tower-forms of height  $\leq k$   
(gen. spherical harmonics expansion)

## PROJECTION ONTO SPACES OF REGULAR CONVERGENCE

powers  $\mathcal{L}_0^j f$  have neg. tower-form parts

$$\begin{aligned} \langle C_{\Delta, \eta}^\theta D_{\sigma, m}^{q, k}, \vartheta D_{\gamma, n}^{q, \ell} \rangle_{L^2, q(\mathbb{R}^N)} &= \langle C_{\Delta, \eta}^\theta \Delta_{\sigma, m}^{q, k}, \vartheta \Delta_{\gamma, n}^{q, \ell} \rangle_{L^2, q(\mathbb{R}^N)} = \delta_{\vartheta\theta, -1} \delta_{k, \ell} \delta_{\sigma, \gamma} \delta_{m, n}, \\ \langle C_{\Delta, \eta}^\theta D_{\sigma, m}^{q, k}, \vartheta \Delta_{\gamma, n}^{q, \ell} \rangle_{L^2, q(\mathbb{R}^N)} &= 0 \end{aligned}$$

assume:  $\text{supp } \hat{\Lambda}$  compact  $\Rightarrow$

**Lemma (orthogonality def. of spaces of regular convergence)**

Let  $J \in \mathbb{N}$  and  $s \in (J + 1 - N/2, \infty) \setminus \mathbb{I}$  as well as  $f \in \text{Reg}_s^{q, 0}(\Omega)$ .

Then  $f \in \text{Reg}_s^{q, J}(\Omega)$ , iff

$$\langle f, E_{\sigma, m}^{+, k+1} \rangle_{L_{\Lambda}^{2, q, q+1}(\Omega)} = \langle f, H_{\gamma, n}^{+, \ell+1} \rangle_{L_{\Lambda}^{2, q, q+1}(\Omega)} = 0$$

for all  $(k, \sigma, m) \in \Theta_s^{q, J}$  and  $(\ell, \gamma, n) \in \Theta_s^{q+1, J}$ , where

$$\Theta_s^{q, J} := \{(k, \sigma, m) \in \mathbb{N}_0^3 : k \leq J - 1 \wedge \sigma < s - N/2 - k - 1 \wedge 1 \leq m \leq \mu_\sigma^q\}.$$

*Epecially*  $\text{Reg}_s^{q, J}(\Omega)$  is a closed subspace of  $\text{Reg}_s^{q, 0}(\Omega) \subset L_s^{2, q, q+1}(\Omega)$ .

## DUAL BASIS OF GROWING TOWERS FORMS

Define

$$e_{\sigma,n}^{\pm,\ell} := M^\ell \eta(\pm \Delta_{\sigma,n}^{q,1}, 0), \quad h_{\sigma,m}^{\pm,\ell} := M^\ell \eta(0, \pm D_{\sigma,m}^{q+1,1}).$$

Then  $e_{\sigma,n}^{\pm,\ell}, h_{\sigma,m}^{\pm,\ell} \in \mathring{C}^\infty(\mathbb{R}^N)$  with  $\text{supp } e_{\sigma,n}^{\pm,\ell} = \text{supp } h_{\sigma,m}^{\pm,\ell} = \text{supp } \nabla \eta$  for  $\ell \geq 2$  and

$$\langle e_{\gamma,n}^{-,\ell+2}, E_{\sigma,m}^{+,k+1} \rangle_{L^{2,q,q+1}(\Omega)} = 0,$$

$$\langle h_{\gamma,n}^{-,\ell+2}, E_{\sigma,m}^{+,k+1} \rangle_{L^{2,q,q+1}(\Omega)} = (-1)^\ell \delta_{k,\ell} \delta_{\sigma,\gamma} \delta_{m,n}.$$

same for  $H_{\sigma,m}^{+,k+1}$

**Lemma (dual basis of  $E_{\sigma,m}^{+,k+1}$  and  $H_{\gamma,n}^{+,k+1}$ )**

Let  $J \in \mathbb{N}$  and  $s \in (J+1 - N/2, \infty) \setminus \mathbb{I}$ . Then

$$\text{Reg}_s^{q,0}(\Omega) = \text{Reg}_s^{q,J}(\Omega) \dot{+} \Upsilon_s^{q,J}, \quad \Upsilon_s^{q,J} \subset \mathring{C}^\infty(\mathbb{R}^N),$$

where for  $f \in \text{Reg}_s^{q,0}(\Omega)$

$$\begin{aligned} f_\Upsilon := & \sum_{(k,\sigma,m) \in \Theta_s^{q,J}} (-1)^k \langle f, E_{\sigma,m}^{+,k+1} \rangle_{L^{2,q,q+1}(\Omega)} h_{\sigma,m}^{-,k+2} \\ & + \sum_{(k,\sigma,m) \in \Theta_s^{q+1,J}} (-1)^k \langle f, H_{\sigma,m}^{+,k+1} \rangle_{L^{2,q,q+1}(\Omega)} e_{\sigma,m}^{-,k+2}. \end{aligned}$$

with  $\Upsilon_s^{q,J} := \text{Lin} \{ e_{\sigma,m}^{-,k+2}, h_{\gamma,n}^{-,\ell+2} : (k,\sigma,m) \in \Theta_s^{q,J}, (\ell,\gamma,n) \in \Theta_s^{q+1,J} \}$ .

## PROOF OF LOW FREQUENCY ASYMPTOTICS

step one: proof in the reduced case, this is:

- ▶ compactly supported perturbations  $\hat{\Lambda}$
- ▶ right hand sides from  $\text{Reg}_s^{q,0}(\Omega)$
- ▶ estimates in local norms

step two: replacing  $\text{Reg}_s^{q,0}(\Omega)$  by  $L_s^{2,q,q+1}(\Omega)$   
(polynomially weighted Helmholtz decomposition)

step three: replacing local norms by weighted norms

step four: replacing compactly supported perturbations  $\hat{\varepsilon}$ ,  $\hat{\mu}$  by asymptotically vanishing perturbations

We only drop the assumption of compactly supported perturbations of the medium in the last step.

## STEP ONE

latter lemma  $\Rightarrow$ 

$$\text{Reg}_s^{q,0}(\Omega) = \text{Reg}_s^{q,J}(\Omega) \dot{+} \Upsilon_s^{q,J}, \quad e_{\sigma,m}^{-,k+2}, h_{\sigma,m}^{-,k+2} \Upsilon_s^{q,J} \subset \mathring{C}^\infty(\mathbb{R}^N)$$

- ▶ asymptotics clear on  $\text{Reg}_s^{q,J}(\Omega)$  (gen. Neumann sum)  $\checkmark$
- ▶ asymptotics on  $\Upsilon_s^{q,J}$ ?  $\Rightarrow$  asymptotics for  $e_{\sigma,m}^{-,k+2}, h_{\sigma,m}^{-,k+2}$ ?

$$\mathcal{L}_0^k e_{\sigma,m}^{-,k+2} = e_{\sigma,m}^{-,2} \quad (\mathring{C}^\infty(\mathbb{R}^N) \text{ and right shape}) \Rightarrow e_{\sigma,m}^{-,k+2} \in \mathring{C}^\infty(\mathbb{R}^N) \cap \text{Reg}_s^{q,k}(\Omega)$$

$$\begin{aligned} \left( \mathcal{L}_\omega - \underbrace{\sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1}} \right) e_{\sigma,m}^{-,k+2} &= \omega^k \mathcal{L}_\omega \mathcal{L}_0^k e_{\sigma,m}^{-,k+2} - \omega^k \sum_{j=0}^{J-1-k} \omega^j \mathcal{L}_0^{j+1+k} e_{\sigma,m}^{-,k+2} \\ &= \sum_{j=0}^{k-1} \dots + \sum_{j=k}^{J-1} \dots &= \omega^k \left( \mathcal{L}_\omega - \sum_{j=0}^{J-1-k} \omega^j \mathcal{L}_0^{j+1} \right) e_{\sigma,m}^{-,2} \end{aligned}$$

same for  $h_{\sigma,m}^{-,k+2}$ 

just unkn. asym. for

$$\left( \mathcal{L}_\omega - \sum_{j=0}^{J-1-k} \omega^j \mathcal{L}_0^{j+1} \right) e_{\sigma,m}^{-,2}$$

and

$$\left( \mathcal{L}_\omega - \sum_{j=0}^{J-1-k} \omega^j \mathcal{L}_0^{j+1} \right) h_{\sigma,m}^{-,k+2}$$

## STEP ONE

asymptotics for  $\boxed{(\mathcal{L}_\omega - \sum_{j=0}^{J-1-k} \omega^j \mathcal{L}_0^{j+1}) e_{\sigma,m}^{-,2}}$  and  $\boxed{(\mathcal{L}_\omega - \sum_{j=0}^{J-1-k} \omega^j \mathcal{L}_0^{j+1}) h_{\sigma,m}^{-,k+2}}$  ?

idea: compare with special radiating solutions of the homo. problem in  $\mathbb{R}^N \setminus \{0\}$

$$\begin{aligned} \mathbb{E}_{\sigma,m}^{1,\omega} &= \beta_\sigma \omega^{\nu_\sigma} r^{1-\frac{N}{2}} H_{\nu_\sigma}^1(\omega r) \check{\tau} T_{\sigma,m}^q \quad (H_{\nu_\sigma}^1 \text{ Hankel's function}) \\ &= \sum_{k=0}^{\infty} (-i\omega)^{2k} - \Delta_{\sigma,m}^{q,2k+1} + \kappa_\sigma^{q+1} \omega^{2\nu_\sigma} \sum_{k=0}^{\infty} (-i\omega)^{2k} + \Delta_{\sigma,m}^{q,2k+1} \\ \mathbb{H}_{\sigma,m}^{1,\omega} &= \frac{i}{\omega} \mathbf{d} \mathbb{E}_{\sigma,m}^{1,\omega} \\ &= \frac{i}{\omega} \left( \sum_{k=0}^{\infty} (-i\omega)^{2k} - D_{\sigma,m}^{q+1,2k} + \kappa_\sigma^{q+1} \omega^{2\nu_\sigma} \sum_{k=0}^{\infty} (-i\omega)^{2k} + D_{\sigma,m}^{q+1,2k} \right) \end{aligned}$$

similarly second solution pair  $(\mathbb{E}_{\sigma,m}^{2,\omega}, \mathbb{H}_{\sigma,m}^{2,\omega})$

$$\begin{pmatrix} 0 & \delta \\ \mathbf{d} & 0 \end{pmatrix} - \omega \begin{pmatrix} \mathbb{E}_{\sigma,m}^{j,\omega} \\ \mathbb{H}_{\sigma,m}^{j,\omega} \end{pmatrix} = (0, 0) \quad \Rightarrow \quad (\Delta + \omega^2) \begin{pmatrix} \mathbb{E}_{\sigma,m}^{j,\omega} \\ \mathbb{H}_{\sigma,m}^{j,\omega} \end{pmatrix} = (0, 0)$$

(comp.-wise Helmholtz)

## STEP ONE

note:  $(M - \omega)\eta(\mathbb{E}_{\sigma,m}^{j,\omega}, \mathbb{H}_{\sigma,m}^{j,\omega}) = C_{M,\eta}(\mathbb{E}_{\sigma,m}^{j,\omega}, \mathbb{H}_{\sigma,m}^{j,\omega})$

comparing

$$\mathcal{L}_\omega e_{\sigma,m}^{-,2} \quad \text{with} \quad \mathcal{L}_\omega C_{M,\eta}(\mathbb{E}_{\sigma,m}^{1,\omega}, \mathbb{H}_{\sigma,m}^{1,\omega}) = \eta(\mathbb{E}_{\sigma,m}^{1,\omega}, \mathbb{H}_{\sigma,m}^{1,\omega}),$$

$$\mathcal{L}_\omega h_{\sigma,m}^{-,2} \quad \text{with} \quad \mathcal{L}_\omega C_{M,\eta}(\mathbb{E}_{\sigma,m}^{2,\omega}, \mathbb{H}_{\sigma,m}^{2,\omega}) = \eta(\mathbb{E}_{\sigma,m}^{2,\omega}, \mathbb{H}_{\sigma,m}^{2,\omega})$$

and a (really) long, long, long, ... calculation

Theorem (low frequency asymptotics on  $\text{Reg}_s^{q,0}(\Omega)$ )

Let  $J \in \mathbb{N}_0$  and  $s \in (J + 1/2, \infty) \setminus \mathbb{I}$ . Then for all bounded subdomains  $\Omega_b \subset \Omega$

$$\left\| \mathcal{L}_\omega f - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} f - \omega^N \sum_{j=0}^{J-1-N} \omega^j \hat{\Gamma}_j f \right\|_{L^{2,q,q+1}(\Omega_b)} = O(|\omega|^J) \|f\|_{L_S^{2,q,q+1}(\Omega)}$$

holds uniformly w.r.t.  $f \in \text{Reg}_s^{q,0}(\Omega)$  and  $0 \neq \omega \in \mathbb{C}_+$  small enough.

degenerate correction operators

$$\hat{\Gamma}_j f \in \text{Lin}\{E_{\sigma,m}^{+,k}, H_{\sigma,n}^{+,k} : k + 2\sigma \leq j\}$$

with coefficients of shape  $\langle f, E_{\sigma,m}^{+,k} \rangle_{L^{2,q,q+1}(\Omega)}$  and  $\langle f, H_{\sigma,m}^{+,k} \rangle_{L^{2,q,q+1}(\Omega)}$



## STEP TWO

## Theorem (polynomially weighted Helmholtz decomposition)

conditions on  $\tau \dots$

For  $s > -N/2$  let  ${}_{\varepsilon}\mathbb{L}_s^{2,q}(\Omega) := \mathbb{L}_s^{2,q}(\Omega) \cap {}_{\varepsilon}\mathcal{H}^q(\Omega)^{\perp_{\varepsilon}}$ .

(i)  $-N/2 < s < N/2$ :

$${}_{\varepsilon}\mathbb{L}_s^{2,q}(\Omega) = {}_0\mathring{\mathbb{D}}_s^q(\Omega) \dot{+} \varepsilon^{-1} {}_0\mathring{\Delta}_s^q(\Omega)$$

For  $s \geq 0$  the decomposition is  $\langle \varepsilon \cdot, \cdot \rangle_{L^2,q(\Omega)}$ -orthogonal.

(ii)  $s > N/2$ :

$$\begin{aligned} {}_{\varepsilon}\mathbb{L}_s^{2,q}(\Omega) = & \left( ([\mathbb{L}_s^{2,q}(\Omega) \boxplus \eta \bar{\mathcal{D}}_s^q] \cap {}_0\mathring{\mathbb{D}}_{< \frac{N}{2}}^q(\Omega)) \right. \\ & \left. \oplus_{\varepsilon} \varepsilon^{-1} ([\mathbb{L}_s^{2,q}(\Omega) \boxplus \eta \bar{\Delta}_s^q] \cap {}_0\mathring{\Delta}_{< \frac{N}{2}}^q(\Omega)) \right) \cap \mathbb{L}_s^{2,q}(\Omega) \end{aligned}$$

$${}_{\varepsilon}\mathbb{L}_s^{2,q}(\Omega) = {}_0\mathring{\mathbb{D}}_s^q(\Omega) \dot{+} \varepsilon^{-1} {}_0\mathring{\Delta}_s^q(\Omega) \dot{+} \Delta_{\varepsilon} \eta \bar{\mathcal{P}}_{s-2}^q$$

The first two terms in the second decomposition are  $\langle \varepsilon \cdot, \cdot \rangle_{L^2,q(\Omega)}$ -orthogonal.

$$\mathbb{L}_s^{2,q}(\Omega) \cap {}_{\varepsilon}\mathcal{H}_{-s}^q(\Omega)^{\perp_{\varepsilon}} = {}_0\mathring{\mathbb{D}}_s^q(\Omega) \oplus_{\varepsilon} \varepsilon^{-1} {}_0\mathring{\Delta}_s^q(\Omega)$$

(iii)  $s < -N/2$ :

deco. holds, but loosing directness, larger space of Dirichlet/Neumann forms

## STEP TWO

polynomially weighted Helmholtz decomposition for large weights  $s$

$$L_s^{2,q,q+1}(\Omega) = (\text{Tri}_s^q(\Omega) \dot{+} \text{Reg}_s^{q,-1}(\Omega)) \cap L_s^{2,q,q+1}(\Omega)$$

with projections  $\Pi$  and  $\Pi_{\text{reg}} := (1 - \Pi)$  as well as  $t \leq s$  and  $t < N/2$

$$(N(M) =) \text{Tri}_s^q(\Omega) = \Pi L_s^{2,q,q+1}(\Omega) \subset {}_0\mathring{D}_t^q(\Omega) \times {}_0\Delta_t^{q+1}(\Omega)$$

$$\text{Reg}_s^{q,-1}(\Omega) = \Pi_{\text{reg}} L_s^{2,q,q+1}(\Omega) \subset {}_0\Delta_t^q(\Omega) \times {}_0\mathring{D}_t^{q+1}(\Omega)$$

still:  $\text{supp } \hat{\lambda}$  compact

**Theorem (low frequency asymptotics on  $L_s^{2,q,q+1}(\Omega)$  in local norms)**

Let  $J \in \mathbb{N}_0$  and  $s \in (J + 1/2, \infty) \setminus \mathbb{I}$ . Then for all bounded subdomains  $\Omega_b \subset \Omega$

$$\| \mathcal{L}_\omega f + \omega^{-1} \Pi f - \sum_{j=0}^{J-1} \omega^j \mathcal{L}_0^{j+1} \Pi_{\text{reg}} f - \omega^{N-1} \sum_{j=0}^{J-N} \omega^j \Gamma_j f \|_{L^{2,q,q+1}(\Omega_b)} = O(|\omega|^J) \|f\|_{L_s^{2,q,q+1}(\Omega)}$$

holds uniformly with respect to  $f \in L_s^{2,q,q+1}(\Omega)$  and  $0 \neq \omega \in \mathbb{C}_+$  small enough.

## STEPS THREE AND FOUR

- ▶ cutting technique  $\Rightarrow$  bounded domain and unbounded domain
- ▶ comparing with the homogeneous whole space case  $\Omega = \mathbb{R}^N$  and  $\Lambda = \text{Id}$ 
  - ▶ represent solution by convolution with fundamental solution
  - ▶ Taylor expansion of fundamental solution (Hankel's function)
- $\Rightarrow$  low frequency asymptotics in this special case
- ▶ low frequency asymptotics in weighted norms  $L_t^{2,q,q+1}(\Omega)$
- ▶ approx. of asymptotically homo. media by compactly supported media (convergence in operator norm)

done



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