

Functional A Posteriori Error Estimates for Static Maxwell Problems

Dirk Pauly

Universität Duisburg-Essen, Campus Essen

(joint work with Sergey Repin, Steklov Institute, St. Petersburg)

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Introduction: Static Maxwell Problem

- $\Omega \subset \mathbb{R}^3$ bounded domain with Lipschitz boundary $\Gamma = \partial\Omega$
- $\varepsilon, \mu : \Omega \rightarrow \mathbb{R}^{3 \times 3}$ medium properties: bd., sym., unif. pos. def. matrices
- F given right hand side (current), G given boundary data (boundary current)
- E electric field, $H := \mu^{-1} \operatorname{curl} E$ magnetic field
- τ tangential trace, i.e., $\tau E = \nu \times E|_{\Gamma}$
- \perp orthogonality w.r.t. $L^2(\Omega)$ -scalar product $\langle E, H \rangle_{\Omega} := \int_{\Omega} E \cdot H$
- $\mathcal{H}_{\varepsilon}(\Omega)$ Dirichlet fields; $H \in \mathcal{H}_{\varepsilon}(\Omega)$, iff $\operatorname{curl} H = 0$ and $\operatorname{div} \varepsilon H = 0$ and $\tau H = 0$

electro-magneto static problem

$$\begin{aligned} \operatorname{curl} \mu^{-1} \operatorname{curl} E &= F && \text{in } \Omega \\ \operatorname{div} \varepsilon E &= 0 && \text{in } \Omega \\ \tau E &= G && \text{on } \Gamma \\ \varepsilon E &\perp \mathcal{H}_{\varepsilon}(\Omega) \end{aligned}$$

- goal: error estimates for $e := E - \tilde{E}$ and $h := \mu H - \tilde{H}$, where \tilde{E}, \tilde{H} approx. of $E, \mu H$
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Introduction: Sobolev Spaces

spaces:

$$H(\operatorname{curl}; \Omega) := \{E \in L^2(\Omega) : \operatorname{curl} E \in L^2(\Omega)\}$$

$$H(\operatorname{curl}_0; \Omega) := \{E \in H(\operatorname{curl}; \Omega) : \operatorname{curl} E = 0\}$$

$$\overset{\circ}{H}(\operatorname{curl}; \Omega) := \{E \in H(\operatorname{curl}; \Omega) : \tau E = 0\} = \overset{\circ}{C}^\infty(\Omega) \quad \xrightarrow{H(\operatorname{curl}; \Omega)} \quad \text{(Gauß' theorem)}$$

$$\overset{\circ}{H}(\operatorname{curl}_0; \Omega) := \overset{\circ}{H}(\operatorname{curl}; \Omega) \cap H(\operatorname{curl}_0; \Omega)$$

analogously:

$$H(\operatorname{div}; \Omega) := \{E \in L^2(\Omega) : \operatorname{div} E \in L^2(\Omega)\}$$

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$$\varphi(\Phi) := \langle F, \Phi \rangle_{\Omega} = \langle \mu^{-1} \operatorname{curl} E, \operatorname{curl} \Phi \rangle_{\Omega} =: b(E, H)$$

unfortunately: $\mathring{H}(\operatorname{curl}; \Omega)$ is not the proper Hilbert space! (kernel of curl)

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Upper and Lower Bounds for Non-Conforming Approximations

$\tilde{H} \in L^2(\Omega)$ approximation of $\text{curl } E = \mu H$ (first, only approximation of magnetic field)

Theorem 1 For all $\tilde{H} \in L^2(\Omega)$ the estimates

$$\begin{aligned} \|\mu H - \tilde{H}\|_{L^2(\Omega)} &\leq \inf_{Y \in H(\text{curl}; \Omega)} M_+(\tilde{H}; Y, \text{curl } Y) + \inf_{\substack{X \in H(\text{curl}; \Omega) \\ \tau X = G}} m_+(\tilde{H}; \text{curl } X), \\ \|\mu H - \tilde{H}\|_{L^2(\Omega)}^2 &\geq \sup_{X \in \overset{\circ}{H}(\text{curl}; \Omega)} M_-(\tilde{H}; X, \text{curl } X) + \sup_{\substack{\mu^{-1} Y, Z \in H(\text{curl}; \Omega) \\ \text{curl } \mu^{-1} Y = 0 \\ \tau Z = G}} m_-(\tilde{H}; Y, \text{curl } Z) \end{aligned}$$

hold. Here,

$$\begin{aligned} M_+(\tilde{H}; Y, \text{curl } Y) &:= c_{\mu,1} (c_{\text{PF}} \|F - \text{curl } Y\|_{L^2(\Omega)} + \|\mu^{-1} \tilde{H} - Y\|_{L^2(\Omega)}), \\ m_+(\tilde{H}; \text{curl } X) &:= c_{\mu,2} \|\text{curl } X - \tilde{H}\|_{L^2(\Omega)}, \\ M_-(\tilde{H}; X, \text{curl } X) &:= 2 \langle F, X \rangle_{L^2(\Omega)} - \langle \mu^{-1} (\text{curl } X + 2\tilde{H}), \text{curl } X \rangle_{L^2(\Omega)}, \\ m_-(\tilde{H}; Y, \text{curl } Z) &:= 2 \langle \mu^{-1} (\text{curl } Z - \tilde{H}), Y \rangle_{L^2(\Omega)} - \|Y\|_{L^2(\Omega)}^2. \end{aligned}$$

Upper and Lower Bounds for Non-Conforming Approximations

$\tilde{H} \in L^2(\Omega)$ approximation of $\text{curl } E = \mu H$ (first, only approximation of magnetic field)

Theorem 1 For all $\tilde{H} \in L^2(\Omega)$ the estimates

$$\|\mu H - \tilde{H}\|_{L^2(\Omega)} \leq \inf_{Y \in H(\text{curl}; \Omega)} M_+(\tilde{H}; Y, \text{curl } Y) + \inf_{\substack{X \in H(\text{curl}; \Omega) \\ \tau X = G}} m_+(\tilde{H}; \text{curl } X),$$

$$\|\mu H - \tilde{H}\|_{L^2(\Omega)}^2 \geq \sup_{X \in \mathring{H}(\text{curl}; \Omega)} M_-(\tilde{H}; X, \text{curl } X) + \sup_{\substack{\mu^{-1} Y, Z \in H(\text{curl}; \Omega) \\ \text{curl } \mu^{-1} Y = 0 \\ \tau Z = G}} m_-(\tilde{H}; Y, \text{curl } Z)$$

hold. Here,

$$M_+(\tilde{H}; Y, \text{curl } Y) := c_{\mu,1} (c_{\text{PF}} \|F - \text{curl } Y\|_{L^2(\Omega)} + \|\mu^{-1} \tilde{H} - Y\|_{L^2(\Omega)}),$$

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Upper and Lower Bounds for (Very) Conforming Approximations

$\tilde{E} \in H(\text{curl}; \Omega)$ approx. of E and $\tilde{H} := \text{curl } \tilde{E} \in L^2(\Omega)$ approx. of $\text{curl } E = \mu H$

Corollary 1 For all $\tilde{E} \in H(\text{curl}; \Omega)$

$$\begin{aligned} \left\| \text{curl}(E - \tilde{E}) \right\|_{L^2(\Omega)} &\leq \inf_{Y \in H(\text{curl}; \Omega)} M_+(\tilde{H}; Y, \text{curl } Y) + c_{\mu, 2c_T} \left\| G - \tau \tilde{E} \right\|_{\text{trace}}, \\ \left\| \text{curl}(E - \tilde{E}) \right\|_{L^2(\Omega)}^2 &\geq \sup_{X \in \mathring{H}(\text{curl}; \Omega)} M_-(\tilde{H}; X, \text{curl } X) \\ &\quad + \sup_{Y \in \mu H(\text{curl}_0; \Omega)} \left(2 \left\langle G - \tau \tilde{E}, \mu^{-1} Y \right\rangle_{\text{trace}} - \|Y\|_{L^2(\Omega)}^2 \right). \end{aligned}$$

Corollary 2 For all $\tilde{E} \in H(\text{curl}; \Omega)$ with $\tau \tilde{E} = G$, i.e., $E - \tilde{E} \in \mathring{H}(\text{curl}; \Omega)$

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- for conforming approximations $E \in H(\operatorname{curl}; \Omega) \cap \varepsilon^{-1}H(\operatorname{div}; \Omega)$ the semi-norm

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typical features of functional a posteriori error estimates

- estimates for errors: basic (integral) relations, constants for embedding inequalities c_{PF} , $c_{\mu,i}$, c_{τ}
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Proofs (Helmholtz Decomposition)

main tools: standard techniques and Helmholtz decomposition

\tilde{H} approximation of $\mu H = \text{curl } E$, $h := \mu H - \tilde{H}$ error
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$$\text{recall } \mathbb{H} = \overset{\circ}{H}(\text{curl}; \Omega) \cap H(\text{div}_0; \Omega) \cap \mathcal{H}(\Omega)^\perp$$

$\text{curl } E_c$ regular/conforming error

H_d non-conforming error (boundary error)

$$\text{orthogonality} \Rightarrow \|h\|_{L^2(\Omega)}^2 = \|\text{curl } E_c\|_{L^2(\Omega)}^2 + \|H_d\|_{L^2(\Omega)}^2$$

Proofs (Helmholtz Decomposition)

main tools: standard techniques and Helmholtz decomposition

\tilde{H} approximation of $\mu H = \text{curl } E$, $h := \mu H - \tilde{H}$ error
simplicity $\varepsilon = \mu = \text{id}$

$$L^2(\Omega) \ni h = \text{curl } E_c \oplus H_d$$

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Proofs (Upper Bounds)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_d \in \operatorname{curl} \mathbb{H} \oplus \mathbf{H}(\operatorname{curl}_0; \Omega)$

standard argument for $\operatorname{curl} E_c$: for all $\Phi \in \mathbb{H}$

$$\begin{aligned} \langle \operatorname{curl} E_c, \operatorname{curl} \Phi \rangle_{L^2(\Omega)} &= \langle h, \operatorname{curl} \Phi \rangle_{L^2(\Omega)} \quad (H_d \perp \operatorname{curl} \Phi) \\ &= \langle F, \Phi \rangle_{L^2(\Omega)} - \langle \tilde{H}, \operatorname{curl} \Phi \rangle_{L^2(\Omega)} \\ &= \langle F - \operatorname{curl} Y, \Phi \rangle_{L^2(\Omega)} - \langle \tilde{H} - Y, \operatorname{curl} \Phi \rangle_{L^2(\Omega)} \end{aligned}$$

since $\langle \operatorname{curl} Y, \Phi \rangle_{L^2(\Omega)} = \langle Y, \operatorname{curl} \Phi \rangle_{L^2(\Omega)}$ for all $Y \in \mathbf{H}(\operatorname{curl}; \Omega)$; note $\mathbb{H} \subset \mathring{\mathbf{H}}(\operatorname{curl}; \Omega)$.

Cauchy-Schwarz and Poincaré-Friedrichs and $\Phi := E_c \in \mathbb{H} \Rightarrow$

$$\|\operatorname{curl} E_c\|_{L^2(\Omega)} \leq c_{\text{PF}} \|F - \operatorname{curl} Y\|_{L^2(\Omega)} + \|\tilde{H} - Y\|_{L^2(\Omega)} = M_+(\tilde{H}; Y, \operatorname{curl} Y)$$

Proofs (Upper Bounds)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_a \in \operatorname{curl} \mathbb{H} \oplus \mathbf{H}(\operatorname{curl}_0; \Omega)$

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$$\|\operatorname{curl} E_c\|_{L^2(\Omega)} \leq c_{\text{PF}} \|F - \operatorname{curl} Y\|_{L^2(\Omega)} + \|\tilde{H} - Y\|_{L^2(\Omega)} = M_+(\tilde{H}; Y, \operatorname{curl} Y)$$

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Proofs (Upper Bounds Continued)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_d \in \operatorname{curl} \mathbb{H} \oplus H(\operatorname{curl}_0; \Omega)$

argument for H_d : for all $\Psi \in H(\operatorname{curl}_0; \Omega)$

$$\langle H_d, \Psi \rangle_{L^2(\Omega)} = \langle \operatorname{curl} X - \tilde{H}, \Psi \rangle_{L^2(\Omega)} \quad (H_d + \tilde{H} - \operatorname{curl} X = \underbrace{\operatorname{curl}(E - X - E_c)}_{\in \overset{\circ}{H}(\operatorname{curl}; \Omega)} \perp \Psi)$$

for all $X \in H(\operatorname{curl}; \Omega)$ with $\tau X = G$.

Cauchy-Schwarz and $\Psi := H_d \Rightarrow$

$$\|H_d\|_{L^2(\Omega)} \leq \|\operatorname{curl} X - \tilde{H}\|_{L^2(\Omega)} = m_+(\tilde{H}; \operatorname{curl} X)$$

\Rightarrow finally

$$\|h\|_{L^2(\Omega)}^2 = \|\operatorname{curl} E_c\|_{L^2(\Omega)}^2 + \|H_d\|_{L^2(\Omega)}^2 \leq M_+^2(\tilde{H}; Y, \operatorname{curl} Y) + m_+^2(\tilde{H}; \operatorname{curl} X)$$

for all $Y \in H(\operatorname{curl}; \Omega)$ and all $X \in H(\operatorname{curl}; \Omega)$ with $\tau X = G$.

$$\Rightarrow m_+(\tilde{H}; \operatorname{curl} X) = \|\operatorname{curl}(X - \tilde{E})\|_{L^2(\Omega)} = \|\operatorname{curl} \check{\tau}(G - \tau \tilde{E})\|_{L^2(\Omega)} \leq c_\tau \|G - \tau \tilde{E}\|_{\operatorname{trace}}$$

if $\tilde{H} = \operatorname{curl} \tilde{E}$ with $\tilde{E} \in H(\operatorname{curl}; \Omega)$

and we choose $X := \tilde{E} - \check{\tau} \tau \tilde{E} + \check{\tau} G \in H(\operatorname{curl}; \Omega)$

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Proofs (Upper Bounds Continued)

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Proofs (Upper Bounds Continued)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_d \in \operatorname{curl} \mathbb{H} \oplus \mathbf{H}(\operatorname{curl}_0; \Omega)$

argument for H_d : for all $\Psi \in \mathbf{H}(\operatorname{curl}_0; \Omega)$

$$\langle H_d, \Psi \rangle_{L^2(\Omega)} = \langle \operatorname{curl} X - \tilde{H}, \Psi \rangle_{L^2(\Omega)} \quad (H_d + \tilde{H} - \operatorname{curl} X = \underbrace{\operatorname{curl}(E - X - E_c)}_{\in \mathring{\mathbf{H}}(\operatorname{curl}; \Omega)} \perp \Psi)$$

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Proofs (Upper Bounds Continued)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_d \in \operatorname{curl} \mathbb{H} \oplus \mathbf{H}(\operatorname{curl}_0; \Omega)$

argument for H_d : for all $\Psi \in \mathbf{H}(\operatorname{curl}_0; \Omega)$

$$\langle H_d, \Psi \rangle_{L^2(\Omega)} = \langle \operatorname{curl} X - \tilde{H}, \Psi \rangle_{L^2(\Omega)} \quad (H_d + \tilde{H} - \operatorname{curl} X = \underbrace{\operatorname{curl}(E - X - E_c)}_{\in \mathring{\mathbf{H}}(\operatorname{curl}; \Omega)} \perp \Psi)$$

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Cauchy-Schwarz and $\Psi := H_d \Rightarrow$

$$\|H_d\|_{L^2(\Omega)} \leq \|\operatorname{curl} X - \tilde{H}\|_{L^2(\Omega)} = m_+(\tilde{H}; \operatorname{curl} X)$$

\Rightarrow finally

$$\|h\|_{L^2(\Omega)}^2 = \|\operatorname{curl} E_c\|_{L^2(\Omega)}^2 + \|H_d\|_{L^2(\Omega)}^2 \leq M_+^2(\tilde{H}; Y, \operatorname{curl} Y) + m_+^2(\tilde{H}; \operatorname{curl} X)$$

for all $Y \in \mathbf{H}(\operatorname{curl}; \Omega)$ and all $X \in \mathbf{H}(\operatorname{curl}; \Omega)$ with $\tau X = G$.

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if $\tilde{H} = \operatorname{curl} \tilde{E}$ with $\tilde{E} \in \mathbf{H}(\operatorname{curl}; \Omega)$

and we choose $X := \tilde{E} - \check{\tau} \tau \tilde{E} + \check{\tau} G \in \mathbf{H}(\operatorname{curl}; \Omega)$

(then $\tau X = G$)

Proofs (Upper Bounds Continued)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_d \in \operatorname{curl} \mathbb{H} \oplus H(\operatorname{curl}_0; \Omega)$

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for all $X \in H(\operatorname{curl}; \Omega)$ with $\tau X = G$.

Cauchy-Schwarz and $\Psi := H_d \Rightarrow$

$$\|H_d\|_{L^2(\Omega)} \leq \|\operatorname{curl} X - \tilde{H}\|_{L^2(\Omega)} = m_+(\tilde{H}; \operatorname{curl} X)$$

\Rightarrow finally

$$\|h\|_{L^2(\Omega)}^2 = \|\operatorname{curl} E_c\|_{L^2(\Omega)}^2 + \|H_d\|_{L^2(\Omega)}^2 \leq M_+^2(\tilde{H}; Y, \operatorname{curl} Y) + m_+^2(\tilde{H}; \operatorname{curl} X)$$

for all $Y \in H(\operatorname{curl}; \Omega)$ and all $X \in H(\operatorname{curl}; \Omega)$ with $\tau X = G$.

$$\Rightarrow m_+(\tilde{H}; \operatorname{curl} X) = \|\operatorname{curl}(X - \tilde{E})\|_{L^2(\Omega)} = \|\operatorname{curl} \check{\tau}(G - \tau \tilde{E})\|_{L^2(\Omega)} \leq c_\tau \|G - \tau \tilde{E}\|_{\operatorname{trace}}$$

if $\tilde{H} = \operatorname{curl} \tilde{E}$ with $\tilde{E} \in H(\operatorname{curl}; \Omega)$

and we choose $X := \tilde{E} - \check{\tau} \tau \tilde{E} + \check{\tau} G \in H(\operatorname{curl}; \Omega)$

(then $\tau X = G$)

Proofs (Upper Bounds Continued)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_d \in \operatorname{curl} \mathbb{H} \oplus H(\operatorname{curl}_0; \Omega)$

argument for H_d : for all $\Psi \in H(\operatorname{curl}_0; \Omega)$

$$\langle H_d, \Psi \rangle_{L^2(\Omega)} = \langle \operatorname{curl} X - \tilde{H}, \Psi \rangle_{L^2(\Omega)} \quad (H_d + \tilde{H} - \operatorname{curl} X = \underbrace{\operatorname{curl}(E - X - E_c)}_{\in \mathring{H}(\operatorname{curl}; \Omega)} \perp \Psi)$$

for all $X \in H(\operatorname{curl}; \Omega)$ with $\tau X = G$.

Cauchy-Schwarz and $\Psi := H_d \Rightarrow$

$$\|H_d\|_{L^2(\Omega)} \leq \|\operatorname{curl} X - \tilde{H}\|_{L^2(\Omega)} = m_+(\tilde{H}; \operatorname{curl} X)$$

\Rightarrow finally

$$\|h\|_{L^2(\Omega)}^2 = \|\operatorname{curl} E_c\|_{L^2(\Omega)}^2 + \|H_d\|_{L^2(\Omega)}^2 \leq M_+^2(\tilde{H}; Y, \operatorname{curl} Y) + m_+^2(\tilde{H}; \operatorname{curl} X)$$

for all $Y \in H(\operatorname{curl}; \Omega)$ and all $X \in H(\operatorname{curl}; \Omega)$ with $\tau X = G$.

$$\Rightarrow m_+(\tilde{H}; \operatorname{curl} X) = \|\operatorname{curl}(X - \tilde{E})\|_{L^2(\Omega)} = \|\operatorname{curl} \check{\tau}(G - \tau \tilde{E})\|_{L^2(\Omega)} \leq c_\tau \|G - \tau \tilde{E}\|_{\operatorname{trace}}$$

if $\tilde{H} = \operatorname{curl} \tilde{E}$ with $\tilde{E} \in H(\operatorname{curl}; \Omega)$

and we choose $X := \tilde{E} - \check{\tau} \tau \tilde{E} + \check{\tau} G \in H(\operatorname{curl}; \Omega)$

(then $\tau X = G$)

Proofs (Upper Bounds Continued)

recall $h = H - \tilde{H} = \text{curl } E_c \oplus H_a \in \text{curl } \mathbb{H} \oplus \mathbf{H}(\text{curl}_0; \Omega)$

argument for H_a : for all $\Psi \in \mathbf{H}(\text{curl}_0; \Omega)$

$$\langle H_a, \Psi \rangle_{L^2(\Omega)} = \langle \text{curl } X - \tilde{H}, \Psi \rangle_{L^2(\Omega)} \quad (H_a + \tilde{H} - \text{curl } X = \underbrace{\text{curl}(E - X - E_c)}_{\in \mathring{\mathbf{H}}(\text{curl}; \Omega)} \perp \Psi)$$

for all $X \in \mathbf{H}(\text{curl}; \Omega)$ with $\tau X = G$.

Cauchy-Schwarz and $\Psi := H_a \Rightarrow$

$$\|H_a\|_{L^2(\Omega)} \leq \|\text{curl } X - \tilde{H}\|_{L^2(\Omega)} = m_+(\tilde{H}; \text{curl } X)$$

\Rightarrow finally

$$\|h\|_{L^2(\Omega)}^2 = \|\text{curl } E_c\|_{L^2(\Omega)}^2 + \|H_a\|_{L^2(\Omega)}^2 \leq M_+^2(\tilde{H}; Y, \text{curl } Y) + m_+^2(\tilde{H}; \text{curl } X)$$

for all $Y \in \mathbf{H}(\text{curl}; \Omega)$ and all $X \in \mathbf{H}(\text{curl}; \Omega)$ with $\tau X = G$.

$$\Rightarrow m_+(\tilde{H}; \text{curl } X) = \|\text{curl}(X - \tilde{E})\|_{L^2(\Omega)} = \|\text{curl } \check{\tau}(G - \tau\tilde{E})\|_{L^2(\Omega)} \leq c_\tau \|G - \tau\tilde{E}\|_{\text{trace}}$$

if $\tilde{H} = \text{curl } \tilde{E}$ with $\tilde{E} \in \mathbf{H}(\text{curl}; \Omega)$

and we choose $X := \tilde{E} - \check{\tau}\tau\tilde{E} + \check{\tau}G \in \mathbf{H}(\text{curl}; \Omega)$

(then $\tau X = G$)

Proofs (Lower Bounds)

recall $h = H - \tilde{H} = \operatorname{curl} E_c \oplus H_d \in \operatorname{curl} \mathbb{H} \oplus \mathbf{H}(\operatorname{curl}_0; \Omega)$

standard argument for $\operatorname{curl} E_c$: for all $X \in \mathring{\mathbf{H}}(\operatorname{curl}; \Omega)$

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⇒ finally

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for all $Y \in H(\operatorname{curl}_0; \Omega)$ and all $Z \in H(\operatorname{curl}; \Omega)$ with $\tau Z = G$.

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- differential forms, \mathbb{R}^N , Riemannian manifolds
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