

SCHRIFTENREIHE DES FACHBEREICHS MATHEMATIK

Regularity Results
for Generalized Electro-Magnetic Problems

by

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SM-E-677

2008

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Abstract

We prove regularity results up to the boundary for generalized Maxwell equations on Riemannian manifolds with boundary using the calculus of alternating differential forms. We discuss homogeneous and inhomogeneous boundary data and show ‘polynomially weighted’ regularity in exterior domains of \mathbb{R}^N as well.

Keywords: Regularity; Maxwell equations; Electro-magnetic problems

Mathematics Subject Classifications: 35Q60; 78A25; 78A30

Contents

1	Introduction	2
2	Preliminaries and definitions	2
3	Regularity theorems	7
4	Proofs	8
4.1	Riemannian manifolds with compact closure	8
4.2	Exterior domains	12
A	Appendix	16
A.1	Density results	16
A.2	Hodge-Helmholtz decompositions	16
A.3	Compact embedding	17
A.4	Linear transformations	21
A.5	Fourier transformation for differential forms	21
A.6	Some technical lemmas	22
B	Translation to the classical electro-magnetic language	24

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1 Introduction

Regularity theorems are important tools in almost all fields of partial differential equations. In our efforts to completely determine the low frequency behavior of the time-harmonic solutions of the generalized[‡] Maxwell's equations in exterior domains of \mathbb{R}^N [4, 5, 6, 7, 8] as well as to prove compactness results and trace theorems for Sobolev spaces of differential forms [2] we have been forced to show regularity results, which meet our needs. Since these results are of particular interest of their own we will prove in the paper at hand results like the following:

Let M be a N -dimensional smooth Riemannian manifold and $\Omega \subset M$ be some connected open subset. If the exterior derivative of some differential form E from $L_s^2(\Omega)$ and the co-derivative of εE belong to some suitable weighted Sobolev space $H_{s+1}^m(\Omega)$ and the tangential trace $\iota^* E$ belongs to the corresponding trace Sobolev space $H^{m+1/2}(\partial\Omega)$ as well, then E already belongs to the higher order Sobolev space $H_s^{m+1}(\Omega)$. (For details please see section 3.)

Here ε is a real valued, symmetric, bounded and uniformly positive definite linear transformation (one may think of a matrix) on differential forms, ι denotes the natural embedding of the boundary, i.e. $\iota : \partial\Omega \hookrightarrow \bar{\Omega}$, and $s \in \mathbb{R}$ indicates some polynomially weight. For manifolds with compact closure, i.e. 'bounded domains', the weight s plays no role since then all results for s are equivalent to the special case $s = 0$.

In the special classical case of bounded sub-domains of the Euclidean space \mathbb{R}^3 and homogeneous boundary traces such results for Maxwell problems have been proved earlier by Weber [20].

2 Preliminaries and definitions

Let M be a N -dimensional smooth Riemannian manifold and $\Omega \subset M$ denote some connected open subset with compact closure in M . On $\mathring{C}^{\infty,q}(\Omega)$, the vector space of all smooth (C^∞) differential forms of rank q (shortly q -forms) on Ω with compact support in Ω , we have a scalar product

$$\langle E, H \rangle_{L^{2,q}(\Omega)} := \int_{\Omega} E \wedge * \bar{H}$$

and thus we may define $L^{2,q}(\Omega)$, the Hilbert space of all square integrable q -forms, as the closure of $\mathring{C}^{\infty,q}(\Omega)$ in the corresponding induced norm. Utilizing the weak version of Stokes' theorem

$$\langle dE, H \rangle_{L^{2,q+1}(\Omega)} = -\langle E, \delta H \rangle_{L^{2,q}(\Omega)} \quad \forall (E, H) \in \mathring{C}^{\infty,q,q+1}(\Omega)$$

(with an obvious notation) we can define weak versions of the exterior derivative and the co-derivative. Hence we can introduce the Hilbert spaces (equipped with

[‡]Here 'generalized' means using the calculus of alternating differential forms on Riemannian manifolds.

their natural graph norms)

$$\begin{aligned} D^q(\Omega) &:= \{E \in L^{2,q}(\Omega) : dE \in L^{2,q+1}(\Omega)\} \quad , \\ \Delta^{q+1}(\Omega) &:= \{H \in L^{2,q+1}(\Omega) : \delta H \in L^{2,q}(\Omega)\} \end{aligned}$$

and their closed subspaces

$$\begin{aligned} {}_0D^q(\Omega) &:= \{E \in L^{2,q}(\Omega) : dE = 0\} \quad , \\ {}_0\Delta^{q+1}(\Omega) &:= \{H \in L^{2,q+1}(\Omega) : \delta H = 0\} \quad . \end{aligned}$$

Using charts we may define the usual Sobolev spaces $H^{m,q}(\Omega)$ of real order $m \geq 0$. For this we need a finite chart family (V_ℓ, h_ℓ) , $\ell = 1, \dots, L$, covering the compact set $\bar{\Omega}$. Then we write $E \in H^{m,q}(\Omega)$, if and only if $E_I^\ell \in H^m(h_\ell(V_\ell \cap \Omega))$ for all I and

$$\|E\|_{H^{m,q}(\Omega)} := \left(\sum_{\ell=1}^L \sum_I \|E_I^\ell\|_{H^m(h_\ell(V_\ell \cap \Omega))}^2 \right)^{1/2} < \infty \quad ,$$

where E_I^ℓ denote the component functions of $(h_\ell^{-1})^*E = E_I^\ell dx^I$ (sum convention) with respect to Cartesian coordinates. Here we introduced an obvious (ordered) multi index notation $dx^I = dx^{i_1} \wedge \dots \wedge dx^{i_q}$ for $I := (i_1, \dots, i_q) \in \{1, \dots, N\}^q$. Transformation theorems and [22, Satz 4.1] for scalar functions show that this definition is independent of the chosen charts. Another covering yields the same Sobolev space but with an equivalent norm. Furthermore, for all $m \in \mathbb{N}_0$ and any C^{m+1} -diffeomorphism $\tau : \tilde{\Omega} \rightarrow \Omega$ there exists a constant $c > 0$, such that

$$c^{-1} \|E\|_{H^{m,q}(\Omega)} \leq \|\tau^* E\|_{H^{m,q}(\tilde{\Omega})} \leq c \|E\|_{H^{m,q}(\Omega)} \quad (2.1)$$

holds for all $E \in H^{m,q}(\Omega)$.

Definition 2.1 *Let $m \in \mathbb{N}_0$. We call $\partial\Omega$ a ‘ C^m -boundary’, if $\partial\Omega$ is a $(N-1)$ -dimensional C^m -submanifold of M , i.e. for each $x \in \partial\Omega$ there exists a C^m -boundary chart (V, h) with $h(x) = 0$ and $h(\bar{V}) = \bar{U}_1$, such that*

$$h(\partial\Omega \cap V) = U_1^0 \quad , \quad h(\Omega \cap V) = U_1^- \quad , \quad h((M \setminus \bar{\Omega}) \cap V) = U_1^+$$

and $h \circ k^{-1} \in C^m(k(\tilde{V} \cap V), \mathbb{R}^N)$ hold for all charts (\tilde{V}, k) of $x \in \partial\Omega$.

Here $U_r \subset \mathbb{R}^N$ denotes the open ball centered at the origin with radius $r > 0$ and we define

$$U_r^\pm := \{x \in U_r : \pm x_N > 0\} \quad , \quad U_r^0 := \{x \in U_r : x_N = 0\} \quad .$$

Using sufficiently smooth restricted boundary charts and following the ideas of the definition of $H^{m,q}(\Omega)$ we may also introduce for all $m \in [0, \infty)$ the Sobolev spaces $H^{m,q}(\partial\Omega)$. We also define $H^{-m,q}(\partial\Omega)$ for $m \in (0, \infty)$ as the dual space

of $\mathring{H}^{m,q}(\partial\Omega) = H^{m,q}(\partial\Omega)$ and introduce the exterior derivative, co-derivative and star-operator on $H^{-m,q}(\partial\Omega)$ using weak formulations. Using boundary charts, (2.1) and the corresponding results for scalar Sobolev spaces, e.g. [22, Satz 8.7, Satz 8.8], which will be applied componentwise to q -forms in \mathbb{R}^N , we obtain the following lemma:

Lemma 2.2 *Let $m \in \mathbb{N}$ as well as Ω possess a C^{m+1} -boundary. Moreover, let $\iota : \partial\Omega \hookrightarrow \bar{\Omega} \subset M$ denote the natural embedding. Then there exists a linear and continuous tangential trace operator*

$$\gamma_t : H^{m,q}(\Omega) \rightarrow H^{m-1/2,q}(\partial\Omega)$$

satisfying $\gamma_t \Phi = \iota^* \Phi$ and $d_{\partial\Omega} \gamma_t \Phi = \gamma_t d\Phi$ for all $\Phi \in C^{\infty,q}(\bar{\Omega})$, the vector space of all $C^{\infty,q}(M)$ -forms restricted to Ω . Moreover, γ_t is surjective, i.e. there exists a linear and continuous tangential extension operator

$$\tilde{\gamma}_t : H^{m-1/2,q}(\partial\Omega) \rightarrow H^{m,q}(\Omega)$$

with the property $\gamma_t \tilde{\gamma}_t = \text{id}$ (right inverse).

By the star operator we define linear and continuous normal trace and extension operators by

$$\begin{aligned} \gamma_n &:= (-1)^{(q-1)N} *_{\partial\Omega} \gamma_t * : H^{m,q}(\Omega) \longrightarrow H^{m-1/2,q-1}(\partial\Omega) \quad , \\ \tilde{\gamma}_n &:= (-1)^{q(N-q)} * \tilde{\gamma}_t *_{\partial\Omega} : H^{m-1/2,q-1}(\partial\Omega) \longrightarrow H^{m,q}(\Omega) \quad , \end{aligned}$$

which possess the corresponding properties. By Stokes' theorem we obtain

$$\langle dE, H \rangle_{L^2,q+1(\Omega)} + \langle E, \delta H \rangle_{L^2,q(\Omega)} = \langle \gamma_t E, \gamma_n H \rangle_{L^2,q(\partial\Omega)} \quad (2.2)$$

for $(E, H) \in H^{1,q,q+1}(\Omega)$, if Ω has a C^2 -boundary.

It is well known that this suggests to define the tangential trace

$$\gamma_t E \in H^{-1/2,q}(\partial\Omega)$$

of a q -form $E \in D^q(\Omega)$ by

$$\gamma_t E(\varphi) = \langle \gamma_t E, \varphi \rangle_{H^{-1/2,q}(\partial\Omega)} := \langle dE, \tilde{\gamma}_n \varphi \rangle_{L^2,q+1(\Omega)} + \langle E, \delta \tilde{\gamma}_n \varphi \rangle_{L^2,q(\Omega)} \quad (2.3)$$

for all $\varphi \in H^{1/2,q}(\partial\Omega)$. Clearly acting on $E \in H^{1,q}(\Omega)$ it satisfies

$$\langle \gamma_t E, \varphi \rangle_{H^{-1/2,q}(\partial\Omega)} = \langle \gamma_t E, \varphi \rangle_{L^2,q(\partial\Omega)} \quad (2.4)$$

for all $\varphi \in H^{1/2,q}(\partial\Omega)$. Hence in this case we have $\gamma_t E = \langle \gamma_t E, \cdot \rangle_{L^2,q(\partial\Omega)}$ and we identify the continuous linear functional $\gamma_t E$ with the element $\gamma_t E \in H^{1/2,q}(\partial\Omega)$. We note that γ_t still commutes with the exterior derivative and that the mapping

$$\gamma_t : D^q(\Omega) \longrightarrow \mathcal{D}^q(\partial\Omega) := \{e \in H^{-1/2,q}(\partial\Omega) : d_{\partial\Omega} e \in H^{-1/2,q+1}(\partial\Omega)\}$$

is continuous. Moreover, we have for all $E \in D^q(\Omega)$

$$\gamma_t E = 0 \quad \iff \quad E \in \mathring{D}^q(\Omega) \quad , \quad (2.5)$$

where we set

$$\mathring{D}^q(\Omega) := \overline{\mathring{C}^{\infty,q}(\Omega)} \quad ,$$

taking the closure in $D^q(\Omega)$. We note

$$\mathring{D}^q(\Omega) = \{E \in D^q(\Omega) : \forall H \in \Delta^{q+1}(\Omega) \quad \langle dE, H \rangle_{L^2,q+1(\Omega)} + \langle E, \delta H \rangle_{L^2,q(\Omega)} = 0\}$$

and define ${}_0\mathring{D}^q(\Omega) := \mathring{D}^q(\Omega) \cap {}_0D^q(\Omega)$.

Definition 2.3 *Let $m \in \mathbb{N}_0$. We call a transformation ε admissible, if and only if*

- $\varepsilon(x)$ is a linear mapping on q -forms for all $x \in \Omega$,
- ε possesses real $L^\infty(\Omega)$ -coefficients, i.e. the matrix representation of ε corresponding to an arbitrary chart basis $\{dh^I\}$ has $L^\infty(\Omega, \mathbb{R})$ -entries,
- ε is symmetric, i.e. for all $E, H \in L^{2,q}(\Omega)$ we have

$$\langle \varepsilon E, H \rangle_{L^2,q(\Omega)} = \langle E, \varepsilon H \rangle_{L^2,q(\Omega)} \quad ,$$

- ε is uniformly positive definite, i.e.

$$\exists c > 0 \quad \forall E \in L^{2,q}(\Omega) \quad \langle \varepsilon E, E \rangle_{L^2,q(\Omega)} \geq c \|E\|_{L^2,q(\Omega)}^2 \quad .$$

We call ε C^m -admissible, if and only if ε is admissible and has $C^m(\Omega)$ -coefficients, which are bounded together with all their derivatives up to the boundary. Here we mean componentwise differentiation and write $\partial^\alpha \varepsilon$ for $|\alpha| \leq m$.

We note that admissible transformations ε generate an equivalent scalar product on $L^{2,q}(\Omega)$ by

$$(E, H) \longmapsto \langle \varepsilon E, H \rangle_{L^2,q(\Omega)} \quad .$$

Of course most of these concepts extend to manifolds, whose closures are not compact. Particularly we may consider the special case of $M := \mathbb{R}^N$ as a smooth Riemannian manifold of dimension $N \in \mathbb{N}$ and an exterior domain $\Omega \subset \mathbb{R}^N$, i.e. Ω is connected and $\mathbb{R}^N \setminus \Omega$ compact. The definitions of spaces carry over to exterior domains as long as the compactness of $\overline{\Omega}$ is not necessary.

Using the weight function

$$\rho := (1 + r^2)^{1/2} \quad , \quad r(x) := |x|$$

we introduce for $m \in \mathbb{N}_0$ and $s \in \mathbb{R}$ the scalar weighted Sobolev spaces

$$\begin{aligned} \mathbf{H}_s^m(\Omega) &:= \{u \in L_{\text{loc}}^2(\Omega) : \rho^{s+|\alpha|} \partial^\alpha u \in L^2(\Omega) \text{ for all } |\alpha| \leq m\} \quad , \\ \mathbf{C} \mathbf{H}_s^m(\Omega) &:= \{u \in L_{\text{loc}}^2(\Omega) : \rho^s \partial^\alpha u \in L^2(\Omega) \text{ for all } |\alpha| \leq m\} \end{aligned}$$

utilizing the usual multi index notation for partial derivatives. (To distinguish between these different polynomially weighted Sobolev spaces of exterior domains we will use roman and bold roman letters simultaneously.) Equipped with their natural scalar products these are Hilbert spaces.

Now we have a global chart (Ω, id) and Ω becomes naturally a N -dimensional smooth Riemannian manifold with Cartesian coordinates $\{x_1, \dots, x_N\}$. As before with componentwise partial derivatives $\partial^\alpha u = (\partial^\alpha u_I) dx^I$, if $u = u_I dx^I$, we introduce for $m \in \mathbb{N}_0$ and $s \in \mathbb{R}$ componentwise the Sobolev spaces $H_s^{m,q}(\Omega)$ resp. $\mathbf{H}_s^{m,q}(\Omega)$ of q -forms. In the special case $m = 0$ we define

$$L_s^{2,q}(\Omega) := H_s^{0,q}(\Omega) \quad .$$

Then for $f = f_I dx^I, g = g_I dx^I \in L_s^{2,q}(\Omega)$ we have the scalar product

$$\langle f, g \rangle_{L_s^{2,q}(\Omega)} = \int_{\Omega} \rho^{2s} \underbrace{f \wedge * \bar{g}}_{=: * \langle f, g \rangle_q} = \int_{\Omega} \rho^{2s} \langle f, g \rangle_q d\lambda = \int_{\Omega} \rho^{2s} f_I \bar{g}_I d\lambda \quad ,$$

where λ denotes Lebesgue's measure in \mathbb{R}^N .

Furthermore, for $s \in \mathbb{R}$ we need some special weighted Sobolev spaces suited for the exterior derivative and co-derivative:

$$\begin{aligned} \mathbf{D}_s^q(\Omega) &:= \{E \in L_s^{2,q}(\Omega) : dE \in L_{s+1}^{2,q+1}(\Omega)\} \\ \mathbf{D}_s^q(\Omega) &\subset \mathbf{D}_s^q(\Omega) := \{E \in L_s^{2,q}(\Omega) : dE \in L_s^{2,q+1}(\Omega)\} \\ \Delta_s^q(\Omega) &:= \{H \in L_s^{2,q}(\Omega) : \delta H \in L_{s+1}^{2,q-1}(\Omega)\} \\ \Delta_s^q(\Omega) &\subset \Delta_s^q(\Omega) := \{H \in L_s^{2,q}(\Omega) : \delta H \in L_s^{2,q-1}(\Omega)\} \end{aligned}$$

Equipped with their natural graph norms these are all Hilbert spaces. To generalize the homogeneous tangential boundary condition we introduce again $\mathring{\mathbf{D}}_s^q(\Omega)$ resp. $\mathring{\mathbf{D}}_s^q(\Omega)$ as the closure of all test functions $\mathring{C}^{\infty,q}(\Omega)$ with respect to the corresponding graph norm $\|\cdot\|_{\mathbf{D}_s^q(\Omega)}$ resp. $\|\cdot\|_{\mathbf{D}_s^q(\Omega)}$. The spaces $\mathbf{D}_s^q(\Omega)$, $\Delta_s^q(\Omega)$ and even $\mathring{\mathbf{D}}_s^q(\Omega)$ are invariant under multiplication by bounded smooth functions. As in the last section a subscript 0 at the lower left corner indicates vanishing exterior derivative resp. co-derivative, e.g.

$${}_0\mathring{\mathbf{D}}_s^q(\Omega) = \{E \in \mathring{\mathbf{D}}_s^q(\Omega) : dE = 0\} = {}_0\mathring{\mathbf{D}}_s^q(\Omega) \quad .$$

The properties ‘admissible’ and ‘ C^m -admissible’ extend analogously to our exterior domain case as well. Nevertheless we need some additional decay properties of our transformations.

Definition 2.4 *Let $m \in \mathbb{N}_0$ and $\tau \geq 0$. We call ε τ - C^m -admissible of first resp. second kind, if and only if $\varepsilon = \varepsilon_0 + \hat{\varepsilon}$ with some $\varepsilon_0 > 0$ is C^m -admissible and the perturbation $\hat{\varepsilon}$ satisfies*

$$\forall |\alpha| \leq m \quad \partial^\alpha \hat{\varepsilon} = \mathcal{O}(r^{-\tau}) \quad \text{resp.} \quad \mathcal{O}(r^{-(\tau+|\alpha|)}) \quad \text{as} \quad r \rightarrow \infty \quad .$$

In each case we call τ the order of decay of the perturbation $\hat{\varepsilon}$. Without loss of generality we may assume $\varepsilon_0 = 1$, i.e. $\varepsilon = \text{id} + \hat{\varepsilon}$, throughout this paper.

We note that a transformation is 0 - C^m -admissible of first kind, if and only if it is C^m -admissible.

Finally if the exterior domain Ω has got a C^2 -boundary there exist adequate trace and extension operators as well. By obvious restriction, extension by zero and cutting techniques we obtain linear and continuous tangential trace and extension operators

$$\bigcup_{s \in \mathbb{R}} \mathbf{H}_s^{m,q}(\Omega) \xrightarrow{\gamma_t} \mathbf{H}^{m-1/2,q}(\partial\Omega) \xrightarrow{\check{\gamma}_t} \bigcap_{s \in \mathbb{R}} \mathbf{H}_s^{m,q}(\Omega) \quad , \quad \gamma_t \check{\gamma}_t = \text{id} \quad ,$$

where $\check{\gamma}_t$ even maps to compactly supported forms and γ_t even operates on $\mathbf{H}_{\text{loc}}^{m,q}(\Omega)$. Here continuous is to be understood in the sense of

$$\mathbf{H}_s^{m,q}(\Omega) \xrightarrow{\gamma_t} \mathbf{H}^{m-1/2,q}(\partial\Omega) \xrightarrow{\check{\gamma}_t} \mathbf{H}_s^{m,q}(\Omega) \quad (2.6)$$

for all $s \in \mathbb{R}$. Again by the star operator we get the corresponding linear and continuous normal trace and extension operators $\gamma_n := \pm *_{\partial\Omega} \gamma_t *$, $\check{\gamma}_n := \pm * \check{\gamma}_t *_{\partial\Omega}$. As indicated above by Stokes' theorem (2.2) we then get for all $s \in \mathbb{R}$ a linear and continuous tangential trace operator $\gamma_t : \mathbf{D}_s^q(\Omega) \longrightarrow \mathbf{H}^{-1/2,q}(\partial\Omega)$, which is (well) defined by

$$\gamma_t E(\varphi) = \langle \gamma_t E, \varphi \rangle_{\mathbf{H}^{-1/2,q}(\partial\Omega)} := \langle dE, \check{\gamma}_n \varphi \rangle_{L^2,q+1(\Omega)} + \langle E, \delta \check{\gamma}_n \varphi \rangle_{L^2,q(\Omega)}$$

for all $E \in \mathbf{D}_s^q(\Omega)$ and $\varphi \in \mathbf{H}^{1/2,q}(\partial\Omega)$. Once more for $E \in \mathbf{H}_s^{m,q}(\Omega)$ we identify the continuous linear functional $\gamma_t E$ with the element $\gamma_t E \in \mathbf{H}^{1/2,q}(\partial\Omega)$ and of course the mapping

$$\gamma_t : \mathbf{D}_s^q(\Omega) \longrightarrow \mathcal{D}^q(\partial\Omega)$$

is continuous as well. We still have for all $s \in \mathbb{R}$ and all $E \in \mathbf{D}_s^q(\Omega)$

$$\gamma_t E = 0 \quad \iff \quad E \in \mathring{\mathbf{D}}_s^q(\Omega) \quad . \quad (2.7)$$

3 Regularity theorems

Theorem 3.1 *Let $m \in \mathbb{N}_0$, Ω be a connected open subset with compact closure and C^{m+2} -boundary of some smooth Riemannian manifold M as well as ε be some C^{m+1} -admissible transformation. Furthermore, let*

$$E \in \mathbf{D}^q(\Omega) \cap \varepsilon^{-1} \Delta^q(\Omega)$$

with

$$dE \in \mathbf{H}^{m,q+1}(\Omega) \quad , \quad \delta \varepsilon E \in \mathbf{H}^{m,q-1}(\Omega) \quad , \quad \gamma_t E \in \mathbf{H}^{m+1/2,q}(\partial\Omega) \quad .$$

Then $E \in \mathbf{H}^{m+1,q}(\Omega)$ and there exists a positive constant c independent of E , such that

$$\begin{aligned} & \|E\|_{\mathbf{H}^{m+1,q}(\Omega)} \\ & \leq c \left(\|E\|_{L^2,q(\Omega)} + \|dE\|_{\mathbf{H}^{m,q+1}(\Omega)} + \|\delta \varepsilon E\|_{\mathbf{H}^{m,q-1}(\Omega)} + \|\gamma_t E\|_{\mathbf{H}^{m+1/2,q}(\partial\Omega)} \right) \quad . \end{aligned}$$

Theorem 3.2 *Let $s \in \mathbb{R}$, $m \in \mathbb{N}_0$, $\Omega \subset \mathbb{R}^N$ be an exterior domain with C^{m+2} -boundary and ε be some C^{m+1} -admissible transformation. Furthermore, let*

$$E \in \mathbf{D}_s^q(\Omega) \cap \varepsilon^{-1} \mathbf{\Delta}_s^q(\Omega) \quad \text{with} \quad \gamma_t E \in \mathbf{H}^{m+1/2,q}(\partial\Omega) \quad .$$

- (i) *Then $dE \in \mathbf{H}_s^{m,q+1}(\Omega)$ and $\delta\varepsilon E \in \mathbf{H}_s^{m,q-1}(\Omega)$ imply $E \in \mathbf{H}_s^{m+1,q}(\Omega)$ and with some constant $c > 0$*

$$\begin{aligned} & \|E\|_{\mathbf{H}_s^{m+1,q}(\Omega)} \\ & \leq c(\|E\|_{\mathbf{L}_s^{2,q}(\Omega)} + \|dE\|_{\mathbf{H}_s^{m,q+1}(\Omega)} + \|\delta\varepsilon E\|_{\mathbf{H}_s^{m,q-1}(\Omega)} + \|\gamma_t E\|_{\mathbf{H}^{m+1/2,q}(\partial\Omega)}) \end{aligned}$$

holds uniformly with respect to E .

- (ii) *If additionally ε is 0 - C^{m+1} -admissible of second kind and τ - C^0 -admissible of first kind with some $\tau > 0$ then $dE \in \mathbf{H}_{s+1}^{m,q+1}(\Omega)$ and $\delta\varepsilon E \in \mathbf{H}_{s+1}^{m,q-1}(\Omega)$ imply $E \in \mathbf{H}_s^{m+1,q}(\Omega)$ and there exists some positive constant c , such that the estimate*

$$\begin{aligned} & \|E\|_{\mathbf{H}_s^{m+1,q}(\Omega)} \\ & \leq c(\|E\|_{\mathbf{L}_s^{2,q}(\Omega)} + \|dE\|_{\mathbf{H}_{s+1}^{m,q+1}(\Omega)} + \|\delta\varepsilon E\|_{\mathbf{H}_{s+1}^{m,q-1}(\Omega)} + \|\gamma_t E\|_{\mathbf{H}^{m+1/2,q}(\partial\Omega)}) \end{aligned}$$

holds uniformly with respect to E .

Remark 3.3 *Utilizing the transformation $E \rightsquigarrow \varepsilon E$ and/or the Hodge star-operator we obtain similar results for spaces like $\varepsilon^{-1} \mathbf{D}^q(\Omega) \cap \mathbf{\Delta}^q(\Omega)$ and/or with prescribed normal traces γ_n .*

4 Proofs

4.1 Riemannian manifolds with compact closure

We prove Theorem 3.1. Extending the boundary form $\gamma_t E$ to Ω by Lemma 2.2 via

$$\check{E} := \check{\gamma}_t \gamma_t E \in \mathbf{H}^{m+1,q}(\Omega)$$

yields that $\tilde{E} := E - \check{E}$ is an element of $\mathring{\mathbf{D}}^q(\Omega) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\Omega)$ and still satisfies

$$d\tilde{E} \in \mathbf{H}^{m,q+1}(\Omega) \quad , \quad \delta\varepsilon \tilde{E} \in \mathbf{H}^{m,q-1}(\Omega) \quad .$$

Hence our problem is reduced to the discussion of forms with homogeneous tangential trace.

The classical case $N = 3$, $q = 1$ and Ω is some bounded domain in \mathbb{R}^3 has been proved by Weber in [20] using the natural regularity of $(q-1=0)$ - resp. $(q+2=3)$ -forms, i.e. scalar functions. Here in the generalized case we have to deal with some additional difficulties.

Using a partition of unity we localize our problem and only consider the more difficult case of boundary charts. (A very simple proof of inner regularity utilizing Fourier's transformation is presented in section 4.2.) By (2.1) and Lemma A.8 we transform our problem to the special domain $U_1^- \subset U_1 \subset \mathbb{R}^N$ using a C^{m+2} -boundary chart. Hence we have to show the following assertion for the model problem:

Lemma 4.1 *Let ε be C^{m+1} -admissible (in U_1^-) and $E \in \mathring{D}^q(U_1^-) \cap \varepsilon^{-1}\Delta^q(U_1^-)$ with $\text{supp } E \subset \overline{U_\varrho^-}$ for some $\varrho \in (0, 1)$ as well as*

$$dE \in H^{m,q+1}(U_1^-) \quad , \quad \delta\varepsilon E \in H^{m,q-1}(U_1^-) \quad .$$

Then $E \in H^{m+1,q}(U_1^-)$ and there exists a positive constant c , such that

$$\|E\|_{H^{m+1,q}(U_1^-)} \leq c(\|E\|_{L^{2,q}(U_1^-)} + \|dE\|_{H^{m,q+1}(U_1^-)} + \|\delta\varepsilon E\|_{H^{m,q-1}(U_1^-)})$$

holds uniformly with respect to E .

Proof: First let us discuss the case $N \geq 3$ by induction over q and m . Since we have $\mathring{D}^0(U_1^-) = \mathring{H}^1(U_1^-)$ (d acts as ∇ !) the case $q = 0$ is trivial. Because of $\Delta^N(U_1^-) = H^1(U_1^-)$ (δ acts as ∇ !) the case $q = N$ is trivial as well. Thus we may assume $1 \leq q \leq N - 1$ and that the assertion is valid for $q - 1$. Let $m = 0$. First we take care about the tangential derivatives and show

$$\begin{aligned} \partial_i E &\in L^{2,q}(U_1^-) \quad , \\ \|\partial_i E\|_{L^{2,q}(U_1^-)} &\leq c\|E\|_{D^q(U_1^-) \cap \varepsilon^{-1}\Delta^q(U_1^-)} \end{aligned} \quad (4.1)$$

for $i = 1, \dots, N - 1$. By symmetry it is sufficient to consider $i = 1$. We choose some $\theta \in (0, 1)$ satisfying $\varrho + 4\theta < 1$ and put $\varrho_j := \varrho + j\theta$, $j = 1, \dots, 4$. For $0 < |h| < \theta$ we introduce the mappings

$$\begin{aligned} \tau_h &: \mathbb{R}_-^N \longrightarrow \mathbb{R}_-^N \\ x &\longmapsto (x_1 + h, x_2, \dots, x_N) \quad , \quad \delta_h := \frac{1}{h}(\tau_h - \text{id}) \quad , \end{aligned}$$

where $\mathbb{R}_-^N := \{x \in \mathbb{R}^N : x_N < 0\}$. The pullback δ_h^* of the latter operator acts componentwise as the differential quotient and commutes with d , $*$ and thus also with δ . For all $F, G \in L^{2,q}(U_1^-)$ with support in $\overline{U_{\varrho_3}^-}$ we have with some constant $c > 0$ independent of h or F

$$\begin{aligned} \langle \delta_h^* F, G \rangle_{L^{2,q}(U_1^-)} &= -\langle F, \delta_{-h}^* G \rangle_{L^{2,q}(U_1^-)} \quad , \\ \delta_h^* \varepsilon F &= \varepsilon \delta_h^* F + (\delta_h \varepsilon) \tau_h^* F \quad , \\ \|\tau_h^* F\|_{L^{2,q}(U_1^-)} &\leq c\|F\|_{L^{2,q}(U_1^-)} \quad , \\ \|(\delta_h \varepsilon) F\|_{L^{2,q}(U_1^-)} &\leq c\|F\|_{L^{2,q}(U_1^-)} \quad , \end{aligned} \quad (4.2)$$

where $(\delta_h \varepsilon)\Phi(x) := (\delta_h \varepsilon_{J,I}(x))\Phi_I(x)dx^J$ with the matrix entries $\varepsilon_{I,J}$ of the transformation ε and $\Phi(x) = \Phi_I(x)dx^I$. Following in straight lines [1, Theorem 3.13] we obtain for $m \in \mathbb{N}$ and all $F \in H^{m,q}(U_1^-)$ supported in $\overline{U_{\varrho_3}^-}$

$$\|\delta_h^* F\|_{H^{m-1,q}(U_1^-)} \leq \|F\|_{H^{m,q}(U_1^-)} \quad .$$

To show (4.1) by [1, Theorem 3.15] it suffices to prove

$$\|\delta_h^* E\|_{L^{2,q}(U_{\varrho_1}^-)} \leq c\|E\|_{D^q(U_1^-) \cap \varepsilon^{-1}\Delta^q(U_1^-)} \quad ,$$

where $c > 0$ is independent of h , ϱ or E . In turn this estimate follows by the even stronger estimate

$$|\langle \varepsilon \delta_h^* E, \Phi \rangle_{L^2, q(U_{\varrho_1}^-)}| \leq c \|E\|_{D^q(U_1^-) \cap \varepsilon^{-1} \Delta^q(U_1^-)} \|\Phi\|_{L^2, q(U_{\varrho_1}^-)} \quad (4.3)$$

for all $\Phi \in L^2, q(U_{\varrho_1}^-)$, where $c > 0$ is independent of h , ϱ , E or Φ . Thus let $\Phi \in L^2, q(U_{\varrho_1}^-)$. According to Lemma A.1 we decompose Φ (actually the extension by zero to U_1^- of Φ) orthogonally in $L^2, q(U_1^-)$

$$\Phi = \Phi_1 + \varepsilon^{-1} \Phi_2 \quad ,$$

where $\Phi_1 \in \overline{d\mathring{D}^{q-1}(U_1^-)}$ and $\Phi_2 \in \overline{\delta\Delta^{q+1}(U_1^-)}$ (closures in $L^2, q(U_1^-)$), since $\mathcal{H}^q(U_1^-)$ vanishes by [9, Satz 1, Satz 2] and thus $\varepsilon\mathcal{H}^q(U_1^-) = \{0\}$ as well. Moreover, by (A.4), (A.5) we may assume $\Phi_1 = d\Psi_1$ and $\Phi_2 = \delta\Psi_2$ with some differential forms $\Psi_1 \in \mathring{D}^{q-1}(U_1^-) \cap \mathring{\Delta}^{q-1}(U_1^-)$ and $\Psi_2 \in \Delta^{q+1}(U_1^-) \cap \mathring{D}^{q+1}(U_1^-)$. Furthermore, (A.3) yields a constant $c > 0$ independent of Φ , Φ_ℓ , Ψ_ℓ , such that

$$\|\Psi_1\|_{D^{q-1}(U_1^-)} + \|\Psi_2\|_{\Delta^{q+1}(U_1^-)} \leq c \|\Phi\|_{L^2, q(U_{\varrho_1}^-)}$$

holds. Let $\chi \in \mathring{C}^\infty(U_{\varrho_2})$ with $\chi|_{U_{\varrho_1}^-} = 1$. Then the assumption of the induction for $\varepsilon = \text{id}$ yields $\Psi_1, \chi\Psi_1 \in H^{1, q-1}(U_1^-)$ and

$$\|\chi\Psi_1\|_{H^{1, q-1}(U_1^-)} \leq c \|\Psi_1\|_{H^{1, q-1}(U_1^-)} \leq c \|\Psi_1\|_{D^{q-1}(U_1^-)} \leq c \|\Phi\|_{L^2, q(U_{\varrho_1}^-)} \quad .$$

Clearly the form $\chi\Psi_2$ possesses compact support in $U_{\varrho_2}^- \cup U_{\varrho_2}^0$ and by Lemma A.9 and (A.18) the extension by zero of $S_\delta \chi\Psi_2$ to \mathbb{R}^N is an element of $\Delta^{q+1}(\mathbb{R}^N)$. Hence we have $\tilde{\Phi}_2 := \delta S_\delta \chi\Psi_2 \in \mathring{\Delta}^q(\mathbb{R}^N)$ with $\text{supp } \tilde{\Phi}_2 \subset U_{\varrho_2}$ and $\tilde{\Phi}_2|_{U_{\varrho_1}^-} = \Phi_2$. Lemma A.10 yields some $H \in \mathbf{H}^{1, q+1}(\mathbb{R}^N)$ satisfying $\delta H = \tilde{\Phi}_2$ and furthermore the estimate $\|H\|_{\mathbf{H}^{1, q+1}(\mathbb{R}^N)} \leq c \|\Phi\|_{L^2, q(U_{\varrho_1}^-)}$. Using $\Phi = d\chi\Psi_1 + \varepsilon^{-1} \delta\chi H$ in $U_{\varrho_1}^-$ and (4.2) as well as $\delta_{-h}^*(\chi\Psi_1) \in \mathring{D}^{q-1}(U_1^-)$, $E \in \mathring{D}^q(U_1^-)$ we get

$$\begin{aligned} & \langle \varepsilon \delta_h^* E, \Phi \rangle_{L^2, q(U_{\varrho_1}^-)} \\ &= \langle \delta_h^*(\varepsilon E), \Phi \rangle_{L^2, q(U_{\varrho_1}^-)} - \langle (\delta_h \varepsilon) \tau_h^* E, \Phi \rangle_{L^2, q(U_{\varrho_1}^-)} \\ &= -\langle \varepsilon E, d\delta_{-h}^*(\chi\Psi_1) \rangle_{L^2, q(U_1^-)} - \langle E, \delta\delta_{-h}^*(\chi H) \rangle_{L^2, q(U_1^-)} \\ & \quad - \langle \varepsilon E, (\delta_{-h} \varepsilon^{-1}) \tau_{-h}^* \delta\chi H \rangle_{L^2, q(U_1^-)} - \langle (\delta_h \varepsilon) \tau_h^* E, \Phi \rangle_{L^2, q(U_{\varrho_1}^-)} \\ &= \langle \delta\varepsilon E, \delta_{-h}^*(\chi\Psi_1) \rangle_{L^2, q(U_1^-)} + \langle dE, \delta_{-h}^*(\chi H) \rangle_{L^2, q(U_1^-)} \\ & \quad - \langle \varepsilon E, (\delta_{-h} \varepsilon^{-1}) \tau_{-h}^* \delta\chi H \rangle_{L^2, q(U_1^-)} - \langle (\delta_h \varepsilon) \tau_h^* E, \Phi \rangle_{L^2, q(U_{\varrho_1}^-)} \quad , \end{aligned}$$

which immediately implies (4.3). Hence (4.1) is proved.

The normal partial derivative $\partial_N E$ may be discussed as follows. By the usual formula

$$dE = d(E_I dx^I) = \partial_j E_I dx^j \wedge dx^I = (\pm \partial_j E_I) dx^{I+j}$$

we get

$$\pm \partial_N E_I = (dE)_{I+N} - \sum_{I \ni j=1}^{N-1} \pm \partial_j E_{I+N-j} \in L^2(U_1^-) \quad (4.4)$$

for all $I \not\ni N$ and thus $E^\tau \in H^{1,q}(U_1^-)$ with the decomposition from (A.19). The usual formula for the co-derivative reads

$$\delta H = \delta(H_I dx^I) = (\pm \partial_j H_I) * (dx^j \wedge *dx^I) = (\pm \partial_j H_I) dx^{I-j} \quad .$$

By $\partial_i(\varepsilon E) = (\partial_i \varepsilon)E + \varepsilon \partial_i E$ we obtain $\partial_i(\varepsilon E) \in L^{2,q}(U_1^-)$ for $i = 1, \dots, N-1$ and hence

$$\pm \partial_N(\varepsilon E)_I = (\delta \varepsilon E)_{I-N} - \sum_{I \not\ni j=1}^{N-1} \pm \partial_j(\varepsilon E)_{I-N+j} \in L^2(U_1^-) \quad (4.5)$$

for all $I \ni N$. Therefore, $(\varepsilon E)^\rho \in H^{1,q}(U_1^-)$.

Now Lemma A.11 yields $E \in H^{1,q}(U_1^-)$ and the case $m = 0$ is proved.

Let $m \geq 1$ and our assertions be valid for $m-1$ as well as the assumptions be given for m . We consider $E, \varepsilon E \in H^{m,q}(U_1^-)$ with $E \in \mathring{D}^q(U_1^-) \cap \varepsilon^{-1} \Delta^q(U_1^-)$, $\text{supp } E \subset \overline{U_\varrho^-}$ and

$$dE \in H^{m,q+1}(U_1^-) \quad , \quad \delta \varepsilon E \in H^{m,q-1}(U_1^-) \quad .$$

Moreover, we have the estimate

$$\|E\|_{H^{m,q}(U_1^-)} \leq c(\|E\|_{L^{2,q}(U_1^-)} + \|dE\|_{H^{m-1,q+1}(U_1^-)} + \|\delta \varepsilon E\|_{H^{m-1,q-1}(U_1^-)}) \quad .$$

For sufficiently small h we have $\delta_h^* E \in \mathring{D}^q(U_1^-)$ and $\delta_h^* E$ resp. $\delta_h^* dE$ converges weakly to $\partial_1 E$ resp. $\partial_1 dE$ in $L^{2,q}(U_1^-)$ resp. $L^{2,q+1}(U_1^-)$ as $h \rightarrow 0$. Thus $\partial_1 E \in \mathring{D}^q(U_1^-)$ and $d\partial_1 E = \partial_1 dE$. Analogously we get $\partial_i E \in \mathring{D}^q(U_1^-)$ and $d\partial_i E = \partial_i dE$ for $i = 2, \dots, N-1$. Hence all tangential derivatives $\partial_i E \in \mathring{D}^q(U_1^-) \cap \varepsilon^{-1} \Delta^q(U_1^-)$, $i = 1, \dots, N-1$, satisfy

$$\begin{aligned} d\partial_i E &= \partial_i dE \in H^{m-1,q+1}(U_1^-) \quad , \\ \delta \varepsilon \partial_i E &= \partial_i \delta \varepsilon E - \delta(\partial_i \varepsilon)E \in H^{m-1,q-1}(U_1^-) \quad , \end{aligned}$$

which implies $\partial_i E \in H^{m,q}(U_1^-)$ and also $\partial_i(\varepsilon E) \in H^{m,q}(U_1^-)$ by assumption. By equations (4.4) and (4.5) we obtain $\partial_N E^\tau, \partial_N(\varepsilon E)^\rho \in H^{m,q}(U_1^-)$ and thus also $E^\tau, (\varepsilon E)^\rho \in H^{m+1,q}(U_1^-)$. Finally we achieve by Lemma A.11 $E \in H^{m+1,q}(U_1^-)$, which completes the induction and hence the proof for $N \geq 3$.

The only non trivial remaining case is $N = 2, q = 1$. But this case can be proved similarly to the case $N \geq 3$ without using Lemma A.10, since then even $\Psi_2 \in \Delta^2(U_1^-) = H^{1,2}(U_1^-)$ holds. \blacksquare

4.2 Exterior domains

We prove Theorem 3.2. Extending the boundary form $\gamma_t E$ to Ω by (2.6) via

$$\check{E} := \check{\gamma}_t \gamma_t E \in H_s^{m+1,q}(\Omega) \quad , \quad \text{supp } \check{E} \text{ compact}$$

yields that $\tilde{E} := E - \check{E}$ satisfies the assumptions of Theorem 3.2 with homogeneous tangential trace. Hence we may assume $\gamma_t E = 0$, i.e. $E \in \mathring{\mathbf{D}}_s^q(\Omega) \cap \varepsilon^{-1} \mathbf{\Delta}_s^q(\Omega)$, since $\check{\gamma}_t$ is continuous.

Let us assume for a moment that Theorem 3.2 holds in the special case $\Omega = \mathbb{R}^N$. Moreover, let η denote a smooth cut-off function, which vanishes near $\partial\Omega$ and equals 1 near infinity. Then by Theorem 3.2 in the whole space case $\eta E \in \mathbf{H}_s^{m+1,q}(\mathbb{R}^N)$ resp. $\eta E \in H_s^{m+1,q}(\mathbb{R}^N)$. Furthermore, Theorem 3.1 may be applied to the truncated form $(1 - \eta)E \in \mathring{\mathbf{D}}^q(\Omega_b) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\Omega_b)$ with some adequate bounded subdomain $\Omega_b \subset \Omega$ yielding $(1 - \eta)E \in H^{m+1,q}(\Omega_b)$. Extending $(1 - \eta)E$ by zero leads to $(1 - \eta)E \in H_s^{m+1,q}(\Omega)$. The estimates then follow by induction. Hence our proof is reduced to the following assertion for the special model case $\Omega = \mathbb{R}^N$:

Lemma 4.2 *Let $s \in \mathbb{R}$, $m \in \mathbb{N}_0$ and ε be some C^{m+1} -admissible transformation as well as $E \in \mathbf{D}_s^q(\mathbb{R}^N) \cap \varepsilon^{-1} \mathbf{\Delta}_s^q(\mathbb{R}^N)$.*

- (i) *Then $dE \in \mathbf{H}_s^{m,q+1}(\mathbb{R}^N)$ and $\delta\varepsilon E \in \mathbf{H}_s^{m,q-1}(\mathbb{R}^N)$ imply $E \in \mathbf{H}_s^{m+1,q}(\mathbb{R}^N)$ and with some constant $c > 0$*

$$\|E\|_{\mathbf{H}_s^{m+1,q}(\mathbb{R}^N)} \leq c(\|E\|_{L_s^{2,q}(\mathbb{R}^N)} + \|dE\|_{\mathbf{H}_s^{m,q+1}(\mathbb{R}^N)} + \|\delta\varepsilon E\|_{\mathbf{H}_s^{m,q-1}(\mathbb{R}^N)})$$

holds uniformly with respect to E .

- (ii) *If additionally ε is 0 - C^{m+1} -admissible of second kind and τ - C^0 -admissible of first kind with some $\tau > 0$ then $dE \in \mathbf{H}_{s+1}^{m,q+1}(\mathbb{R}^N)$ and $\delta\varepsilon E \in \mathbf{H}_{s+1}^{m,q-1}(\mathbb{R}^N)$ imply $E \in \mathbf{H}_s^{m+1,q}(\mathbb{R}^N)$ and there exists some positive constant c , such that the estimate*

$$\|E\|_{\mathbf{H}_s^{m+1,q}(\mathbb{R}^N)} \leq c(\|E\|_{L_s^{2,q}(\mathbb{R}^N)} + \|dE\|_{\mathbf{H}_{s+1}^{m,q+1}(\mathbb{R}^N)} + \|\delta\varepsilon E\|_{\mathbf{H}_{s+1}^{m,q-1}(\mathbb{R}^N)})$$

holds uniformly with respect to E .

Proof: Our induction over m starts with $m = 0$. First we prove

Lemma 4.3 *Let ε be C^1 -admissible. Then $\mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\mathbb{R}^N) = \mathbf{H}^{1,q}(\mathbb{R}^N)$ holds with equivalent norms depending on ε .*

Proof: Partial integration, i.e. Stokes' theorem, and the well known formula $d\delta + \delta d = \Delta$ (Here the Laplacian Δ acts componentwise with respect to Euclidean coordinates.) yield

$$\forall \Phi \in \mathring{C}^{\infty,q}(\mathbb{R}^N) \quad \sum_{n=1}^N \|\partial_n \Phi\|_{L^{2,q}(\mathbb{R}^N)}^2 = \|d\Phi\|_{L^{2,q+1}(\mathbb{R}^N)}^2 + \|\delta\Phi\|_{L^{2,q-1}(\mathbb{R}^N)}^2 \quad . \quad (4.6)$$

A combination of this identity and Fourier's transformation, i.e. (A.15)-(A.17) and (A.7), implies

$$\mathbf{D}^q(\mathbb{R}^N) \cap \mathbf{\Delta}^q(\mathbb{R}^N) = \mathbf{H}^{1,q}(\mathbb{R}^N) \quad (4.7)$$

with equal norms, since $\mathring{C}^{\infty,q}(\mathbb{R}^N)$ is dense in $\mathbf{H}^{1,q}(\mathbb{R}^N)$.

Now let $E \in \mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\mathbb{R}^N)$. By [10, Lemma 1, Lemma 7] (See also [14] as well as Appendix A.2 and A.3.) we decompose $E = d\Phi + \Psi$ according to

$$L^{2,q}(\mathbb{R}^N) = \overline{d\mathbf{D}^{q-1}(\mathbb{R}^N)} \oplus {}_0\Delta^q(\mathbb{R}^N) = d(\mathbf{D}_{-1}^{q-1}(\mathbb{R}^N) \cap {}_0\Delta_{-1}^{q-1}(\mathbb{R}^N)) \oplus {}_0\Delta^q(\mathbb{R}^N)$$

observing $d\Psi = dE$ and $\delta\Psi = 0$. By (4.7) we obtain $\Psi \in \mathbf{H}^{1,q}(\mathbb{R}^N)$ and the estimate $\|\Psi\|_{\mathbf{H}^{1,q}(\mathbb{R}^N)} \leq c\|E\|_{\mathbf{D}^q(\mathbb{R}^N)}$ with some constant $c > 0$. Hence $\varepsilon\Psi \in \mathbf{H}^{1,q}(\mathbb{R}^N)$ and Φ solves the elliptic system

$$\delta\varepsilon d\Phi = \delta\varepsilon E - \delta\varepsilon\Psi =: F \in L^{2,q-1}(\mathbb{R}^N) \quad , \quad \delta\Phi = 0 \quad ,$$

where $\|F\|_{L^{2,q}(\mathbb{R}^N)} \leq c\|E\|_{\mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\mathbb{R}^N)}$. Using the operators

$$\tau_{h,i} : \mathbb{R}^N \longrightarrow \mathbb{R}^N \\ x \longmapsto (x_1, \dots, x_{i-1}, x_i + h, x_{i+1}, \dots, x_N) \quad ,$$

$$\delta_{h,i} := \frac{1}{h}(\tau_{h,i} - \text{id})$$

for $i = 1, \dots, N$ and $h > 0$ defined on \mathbb{R}^N corresponding to $\tau_h = \tau_{h,1}$ and $\delta_h = \delta_{h,1}$ defined on \mathbb{R}_-^N from the proof of Theorem 3.1 as well as the identity

$$\|\tau_{h,i}^* \phi\|_{L^{2,q}(\mathbb{R}^N)} = \|\phi\|_{L^{2,q}(\mathbb{R}^N)}$$

and the estimates

$$\|\delta_{h,i}^* \phi\|_{L^{2,q}(\mathbb{R}^N)} \leq \|\partial_i \phi\|_{L^{2,q}(\mathbb{R}^N)} \quad , \quad \|d\phi\|_{L^{2,q+1}(\mathbb{R}^N)} \leq \sum_{n=1}^N \|\partial_n \phi\|_{L^{2,q}(\mathbb{R}^N)}$$

we get

$$\langle \varepsilon \delta_{h,i}^* d\Phi, d\phi \rangle_{L^{2,q}(\mathbb{R}^N)} = \langle \delta\varepsilon d\Phi, \delta_{-h,i}^* \phi \rangle_{L^{2,q-1}(\mathbb{R}^N)} - \langle d\Phi, (\delta_{-h,i} \varepsilon) \tau_{-h,i}^* d\phi \rangle_{L^{2,q}(\mathbb{R}^N)}$$

and thus by (4.6) uniformly with respect to ϕ and h

$$\begin{aligned} \left| \langle \varepsilon \delta_{h,i}^* d\Phi, d\phi \rangle_{L^{2,q}(\mathbb{R}^N)} \right| &\leq c\|E\|_{\mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\mathbb{R}^N)} \sum_{n=1}^N \|\partial_n \phi\|_{L^{2,q-1}(\mathbb{R}^N)} \\ &\leq c\|E\|_{\mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\mathbb{R}^N)} \left(\|d\phi\|_{L^{2,q}(\mathbb{R}^N)} + \|\delta\phi\|_{L^{2,q-2}(\mathbb{R}^N)} \right) \end{aligned}$$

for all $\phi \in \mathring{C}^{\infty,q-1}(\mathbb{R}^N)$. Since $\mathring{C}^{\infty,q-1}(\mathbb{R}^N)$ is dense in $\mathbf{D}_{-1}^{q-1}(\mathbb{R}^N) \cap \mathbf{\Delta}_{-1}^{q-1}(\mathbb{R}^N)$ this estimate yields

$$\|\delta_{h,i}^* d\Phi\|_{L^{2,q}(\mathbb{R}^N)} \leq c\|E\|_{\mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1} \mathbf{\Delta}^q(\mathbb{R}^N)} \quad ,$$

where the constant $c > 0$ is independent of h . Therefore, $d\Phi \in \mathbf{H}^{1,q}(\mathbb{R}^N)$ and the estimates $\|\partial_i d\Phi\|_{L^{2,q}(\mathbb{R}^N)} \leq c\|E\|_{\mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1}\Delta^q(\mathbb{R}^N)}$, $i = 1, \dots, N$, hold, which completes the proof. \blacksquare

Now we may proceed with the induction start. Let $E \in \mathbf{D}_s^q(\mathbb{R}^N) \cap \varepsilon^{-1}\Delta_s^q(\mathbb{R}^N)$. We have $\rho^s E \in L^{2,q}(\mathbb{R}^N)$ and by (A.9)

$$\begin{aligned} d(\rho^s E) &= \rho^s dE + s\rho^{s-2}RE \in L^{2,q+1}(\mathbb{R}^N) \quad , \\ \delta(\rho^s \varepsilon E) &= \rho^s \delta \varepsilon E + s\rho^{s-2}T\varepsilon E \in L^{2,q-1}(\mathbb{R}^N) \quad . \end{aligned}$$

Thus, using Lemma 4.3 $\rho^s E \in \mathbf{D}^q(\mathbb{R}^N) \cap \varepsilon^{-1}\Delta^q(\mathbb{R}^N) = \mathbf{H}^{1,q}(\mathbb{R}^N)$ follows and

$$\partial_n(\rho^s E) = \rho^s \partial_n E + s\rho^{s-2}\mathcal{X}_n E \in L^{2,q}(\mathbb{R}^N)$$

yields **(i)** with the desired estimates. Looking at

$$E \in \mathbf{D}_s^q(\mathbb{R}^N) \cap \varepsilon^{-1}\Delta_s^q(\mathbb{R}^N) \subset \mathbf{D}_s^q(\mathbb{R}^N) \cap \varepsilon^{-1}\Delta_s^q(\mathbb{R}^N)$$

we obtain $E \in \mathbf{H}_s^{1,q}(\mathbb{R}^N)$ by **(i)**. Therefore, it only remains to show $\partial_n E \in L_{s+1}^{2,q}(\mathbb{R}^N)$ for $n = 1, \dots, N$. We choose a real smooth cut-off function φ with $\varphi = 1$ on $(-\infty, 1]$ and $\varphi = 0$ on $[2, \infty)$ and set $\eta_t := \varphi(r/t)$. Then we calculate with (4.6) or (4.7) uniformly with respect to $t \in \mathbb{R}_+$

$$\begin{aligned} & \left\| \partial_n(\eta_t E) \right\|_{L_{s+1}^{2,q}(\mathbb{R}^N)} \\ & \leq c \left(\left\| \partial_n(\underbrace{\rho^{s+1}\eta_t E}_{\in \mathbf{H}^{1,q}(\mathbb{R}^N)}) \right\|_{L^{2,q}(\mathbb{R}^N)} + \left\| (s+1)\rho^{s-1}\mathcal{X}_n \eta_t E \right\|_{L^{2,q}(\mathbb{R}^N)} \right) \\ & \leq c \left(\left\| d(\rho^{s+1}\eta_t E) \right\|_{L^{2,q+1}(\mathbb{R}^N)} + \left\| \delta(\rho^{s+1}\eta_t E) \right\|_{L^{2,q-1}(\mathbb{R}^N)} + \left\| \eta_t E \right\|_{L_s^{2,q}(\mathbb{R}^N)} \right) \\ & \leq c \left(\left\| \eta_t E \right\|_{\mathbf{D}_s^q(\mathbb{R}^N) \cap \varepsilon^{-1}\Delta_s^q(\mathbb{R}^N)} + \left\| \delta(\eta_t \hat{\varepsilon} E) \right\|_{L_{s+1}^{2,q-1}(\mathbb{R}^N)} \right) \\ & \leq c \left(\left\| \eta_t E \right\|_{\mathbf{D}_s^q(\mathbb{R}^N) \cap \varepsilon^{-1}\Delta_s^q(\mathbb{R}^N)} + \sum_{m=1}^N \left\| \partial_m(\eta_t E) \right\|_{L_{s+1-\tau}^{2,q}(\mathbb{R}^N)} \right) \quad . \end{aligned}$$

Since $\tau > 0$ and decomposing $\mathbb{R}^N = \overline{U_\vartheta} \cup A_\vartheta$ we get for all $\vartheta \in \mathbb{R}_+$

$$\begin{aligned} & \left\| \partial_m(\eta_t E) \right\|_{L_{s+1-\tau}^{2,q}(\mathbb{R}^N)}^2 \\ & \leq c_\vartheta \left\| \partial_m(\eta_t E) \right\|_{L_s^{2,q}(\mathbb{R}^N)}^2 + (1 + \vartheta^2)^{-\tau} \left\| \partial_m(\eta_t E) \right\|_{L_{s+1}^{2,q}(\mathbb{R}^N)}^2 \end{aligned} \quad (4.8)$$

with some constant $c_\vartheta > 0$ depending only on ϑ and τ . Here we have used the exterior domain of a ball $A_\vartheta := \mathbb{R}^N \setminus \overline{U_\vartheta} = \{x \in \mathbb{R}^N : |x| > \vartheta\}$. A combination of the latter two estimates yields for some sufficient large ϑ and with **(i)** the estimate

$$\begin{aligned}
& \sum_{n=1}^N \left\| \partial_n (\eta_t E) \right\|_{L_{s+1}^{2,q}(\mathbb{R}^N)} \\
& \leq c \left(\left\| \eta_t E \right\|_{\mathbf{H}_s^{1,q}(\mathbb{R}^N)} + \left\| d(\eta_t E) \right\|_{L_{s+1}^{2,q+1}(\mathbb{R}^N)} + \left\| \delta(\eta_t \varepsilon E) \right\|_{L_{s+1}^{2,q-1}(\mathbb{R}^N)} \right) \\
& \leq c \left(\left\| E \right\|_{D_s^q(\mathbb{R}^N) \cap \varepsilon^{-1} \Delta_s^q(\mathbb{R}^N)} + \left\| t^{-1} r^{-1} R E \right\|_{L_{s+1}^{2,q+1}(Z_{t,2t})} + \left\| t^{-1} r^{-1} T \varepsilon E \right\|_{L_{s+1}^{2,q-1}(Z_{t,2t})} \right) ,
\end{aligned}$$

where $Z_{t,T} := A_t \cap U_T = \{x \in \mathbb{R}^N : t < |x| < T\}$. Using $t^{-1} \leq 2r^{-1}$ in $Z_{t,2t}$ we finally obtain

$$\sum_{n=1}^N \left\| \partial_n E \right\|_{L_{s+1}^{2,q}(U_t)} \leq \sum_{n=1}^N \left\| \partial_n (\eta_t E) \right\|_{L_{s+1}^{2,q}(\mathbb{R}^N)} \leq c \left\| E \right\|_{D_s^q(\mathbb{R}^N) \cap \varepsilon^{-1} \Delta_s^q(\mathbb{R}^N)} ,$$

which holds uniformly with respect to t . Thus, letting $t \rightarrow \infty$ the monotone convergence theorem implies $E \in \mathbf{H}_s^{1,q}(\mathbb{R}^N)$ and the desired estimate. Hence **(ii)** is proved and thus the case $m = 0$ is completed.

For the induction step we assume ε to be C^{m+1} -admissible and

$$d E \in \mathbf{H}_s^{m,q+1}(\mathbb{R}^N) \quad , \quad \delta \varepsilon E \in \mathbf{H}_s^{m,q-1}(\mathbb{R}^N) .$$

The assertion for $m - 1$ yields $E \in \mathbf{H}_s^{m,q}(\mathbb{R}^N)$ and the corresponding estimate. Then for $n = 1, \dots, N$ we get $\partial_n E \in L_s^{2,q}(\mathbb{R}^N)$, $d \partial_n E \in \mathbf{H}_s^{m-1,q+1}(\mathbb{R}^N)$ and

$$\delta(\varepsilon \partial_n E) = \partial_n \delta \varepsilon E - \delta((\partial_n \varepsilon) E) \in \mathbf{H}_s^{m-1,q-1}(\mathbb{R}^N) .$$

Using once again the assumption for $m - 1$ we obtain $\partial_n E \in \mathbf{H}_s^{m,q}(\mathbb{R}^N)$ and

$$\begin{aligned}
& \left\| \partial_n E \right\|_{\mathbf{H}_s^{m,q}(\mathbb{R}^N)} \\
& \leq c \left(\left\| \partial_n E \right\|_{L_s^{2,q}(\mathbb{R}^N)} + \left\| d \partial_n E \right\|_{\mathbf{H}_s^{m-1,q+1}(\mathbb{R}^N)} + \left\| \delta(\varepsilon \partial_n E) \right\|_{\mathbf{H}_s^{m-1,q-1}(\mathbb{R}^N)} \right)
\end{aligned}$$

for $n = 1, \dots, N$. Hence $E \in \mathbf{H}_s^{m+1,q}(\mathbb{R}^N)$ and

$$\begin{aligned}
\left\| E \right\|_{\mathbf{H}_s^{m+1,q}(\mathbb{R}^N)} & \leq c \left(\left\| E \right\|_{\mathbf{H}_s^{m,q}(\mathbb{R}^N)} + \sum_{n=1}^N \left\| \partial_n E \right\|_{\mathbf{H}_s^{m,q}(\mathbb{R}^N)} \right) \\
& \leq c \left(\left\| E \right\|_{\mathbf{H}_s^{m,q}(\mathbb{R}^N)} + \left\| d E \right\|_{\mathbf{H}_s^{m,q+1}(\mathbb{R}^N)} + \left\| \delta \varepsilon E \right\|_{\mathbf{H}_s^{m,q-1}(\mathbb{R}^N)} \right) .
\end{aligned}$$

This shows **(i)**. Similarly we prove **(ii)** paying attention to the fact that the weights in the $\|\cdot\|_{\mathbf{H}_s^{m,q}(\mathbb{R}^N)}$ -norms grow with the number of derivatives and that this effect is compensated by the decay properties of $\hat{\varepsilon}$ and its derivatives. \blacksquare

Remark 4.4 *Lemma 4.3 and Lemma 4.2 as well as an obvious cutting technique easily yield inner regularity results. These even include weighted inner regularity in exterior domains.*

A Appendix

As above let M be a N -dimensional smooth Riemannian manifold and $\Omega \subset M$ denote some connected open subset with compact closure in M or some exterior domain of $M = \mathbb{R}^N$. Moreover, throughout this appendix ν denotes some admissible transformation.

A.1 Density results

Let $\Omega \subset M$ be a connected open subset with compact closure of the N -dimensional smooth Riemannian manifold M . Using charts and the results and techniques known from the scalar cases, i.e. mollifiers, we get the following assertions for $m \in \mathbb{N}_0$:

$$C^{\infty,q}(\Omega) \cap \mathbf{H}^{m,q}(\Omega) \quad \text{is dense in} \quad \mathbf{H}^{m,q}(\Omega) \quad .$$

If Ω has the ‘segment property’, i.e. for each $x \in \partial\Omega$ there exist a chart (V, h) , some $\varrho \in (0, 1)$ and some vector $v \in \mathbb{R}^N$ with $h(x) = 0$, $h(\bar{V}) = \bar{U}_1$ and

$$U_\varrho \cap \overline{h(\Omega \cap V)} + \tau v \subset h(\Omega \cap V)$$

for all $\tau \in (0, 1)$ (Please compare to [1, Definition 2.1] for the classical segment property. We note that manifolds with C^1 -boundary possess the segment property.), we can adopt more properties from the scalar cases. For example,

$$C^{\infty,q}(\bar{\Omega}) \quad \text{is dense in} \quad \mathbf{H}^{m,q}(\Omega) \quad (\text{A.1})$$

as well as $E \in \mathring{\mathbf{H}}^{m,q}(\Omega)$ for some $E \in \mathbf{H}^{m,q}(\Omega)$, if and only if its extension by zero into $\tilde{\Omega}$ is an element of $\mathbf{H}^{m,q}(\tilde{\Omega})$ for any open set $\tilde{\Omega}$ with $\Omega \subset \bar{\Omega} \subset \tilde{\Omega} \subset \bar{\tilde{\Omega}} \subset M$. The first assertion may be proved analogously to [22, Theorem 3.6] or [1, Theorem 2.1] and the second analogously to [22, Theorem 3.7]. The same techniques yield finally

$$C^{\infty,q}(\bar{\Omega}) \quad \text{is dense in} \quad \mathbf{D}^q(\Omega) \quad \text{resp.} \quad \mathbf{\Delta}^q(\Omega) \quad . \quad (\text{A.2})$$

Especially for $\Omega = M = \mathbb{R}^N$ we also have for all $s \in \mathbb{R}$ that $\mathring{C}^{\infty,q}(\mathbb{R}^N)$ is dense in $\mathbf{D}_s^q(\mathbb{R}^N)$, $\mathbf{D}_s^q(\mathbb{R}^N)$, $\mathbf{\Delta}_s^q(\mathbb{R}^N)$, $\mathbf{\Delta}_s^q(\mathbb{R}^N)$, $\mathbf{D}_s^q(\mathbb{R}^N) \cap \mathbf{\Delta}_s^q(\mathbb{R}^N)$, $\mathbf{D}_s^q(\mathbb{R}^N) \cap \mathbf{\Delta}_s^q(\mathbb{R}^N)$, $\mathbf{H}_s^{m,q}(\mathbb{R}^N)$ and $\mathbf{H}_s^{m,q}(\mathbb{R}^N)$.

A.2 Hodge-Helmholtz decompositions

By the projection theorem, the $L^{2,q}(\Omega)$ -orthogonality of $d\mathring{\mathbf{D}}^{q-1}(\Omega)$ and ${}_0\Delta^q(\Omega)$ as well as $\delta\mathbf{\Delta}^{q+1}(\Omega)$ and ${}_0\mathring{\mathbf{D}}^q(\Omega)$ and the obvious inclusions $d\mathring{\mathbf{D}}^{q-1}(\Omega) \subset {}_0\mathring{\mathbf{D}}^q(\Omega)$ as well as $\delta\mathbf{\Delta}^{q+1}(\Omega) \subset {}_0\Delta^q(\Omega)$ we get the following Hodge-Helmholtz decompositions (For details please see [10, Lemma 1], [14, Lemma 1] or in the classical case [11, p. 168], [15, Lemma 3.13].):

Lemma A.1 *The following $\langle \varepsilon \cdot, \cdot \rangle_{L^{2,q}(\Omega)}$ -orthogonal (denoted by \oplus_ε) decompositions hold for admissible transformations ε :*

$$\begin{aligned}
\text{(i)} \quad L^{2,q}(\Omega) &= \overline{d\mathring{\mathbf{D}}^{q-1}(\Omega) \oplus_\varepsilon \varepsilon^{-1} {}_0\Delta^q(\Omega)} = \overline{{}_0\mathring{\mathbf{D}}^q(\Omega) \oplus_\varepsilon \varepsilon^{-1} \delta \mathbf{\Delta}^{q+1}(\Omega)} \\
&= \overline{\varepsilon^{-1} d\mathring{\mathbf{D}}^{q-1}(\Omega) \oplus_\varepsilon {}_0\Delta^q(\Omega)} = \overline{\varepsilon^{-1} {}_0\mathring{\mathbf{D}}^q(\Omega) \oplus_\varepsilon \delta \mathbf{\Delta}^{q+1}(\Omega)} \\
\text{(ii)} \quad L^{2,q}(\Omega) &= \overline{d\mathring{\mathbf{D}}^{q-1}(\Omega) \oplus_\varepsilon \varepsilon \mathcal{H}^q(\Omega) \oplus_\varepsilon \varepsilon^{-1} \delta \mathbf{\Delta}^{q+1}(\Omega)} \\
&= \overline{\varepsilon^{-1} d\mathring{\mathbf{D}}^{q-1}(\Omega) \oplus_\varepsilon \varepsilon^{-1} \varepsilon^{-1} \mathcal{H}^q(\Omega) \oplus_\varepsilon \delta \mathbf{\Delta}^{q+1}(\Omega)}
\end{aligned}$$

All closures are taken in $L^{2,q}(\Omega)$.

Here we introduced the ‘(harmonic) Dirichlet forms’ by

$${}_\varepsilon \mathcal{H}^q(\Omega) := \overline{{}_0\mathring{\mathbf{D}}^q(\Omega) \cap \varepsilon^{-1} {}_0\Delta^q(\Omega)}$$

and we denote them by $\mathcal{H}^q(\Omega)$, if $\varepsilon = \text{id}$. An easy application of the latter lemma shows that the orthogonal projection

$$\pi : \nu \mathcal{H}^q(\Omega) \longrightarrow {}_\varepsilon \mathcal{H}^q(\Omega)$$

on $\varepsilon^{-1} {}_0\Delta^q(\Omega)$ along $d\mathring{\mathbf{D}}^{q-1}(\Omega)$ is well defined, linear, continuous and injective. Therefore, by symmetry we obtain $\dim \nu \mathcal{H}^q(\Omega) = \dim {}_\varepsilon \mathcal{H}^q(\Omega)$ and hence this dimension is independent of transformations, i.e.

$$\dim {}_\varepsilon \mathcal{H}^q(\Omega) = \dim \mathcal{H}^q(\Omega) \quad .$$

A.3 Compact embedding

Definition A.2 *Ω possesses the*

(i) ‘Maxwell compactness property’ (MCP), *if and only if the embeddings*

$$\overline{{}_0\mathring{\mathbf{D}}^q(\Omega) \cap \mathbf{\Delta}^q(\Omega)} \hookrightarrow L^{2,q}(\Omega) \quad ;$$

(ii) ‘Maxwell local compactness property’ (MLCP), *if and only if the embeddings*

$$\overline{{}_0\mathring{\mathbf{D}}^q(\Omega) \cap \mathbf{\Delta}^q(\Omega)} \hookrightarrow L_{\text{loc}}^{2,q}(\overline{\Omega})$$

are compact for all q .

The MCP and MLCP are a properties of the boundary. We will shortly present some results.

There exists a large amount of literature about the MCP, which can only hold for sub-manifolds Ω with compact closure, which may be assumed by now. The

first idea was to use Gaffney's inequality, i.e. to estimate the $H^{1,q}(\Omega)$ -norm by the $D^q(\Omega) \cap \Delta^q(\Omega)$ -norm, and then to apply Rellich's selection theorem. To do this one needs smooth boundaries, which for instance may be seen in [3, Theorem 8.6]. If $q = 0$ we even have

$$\mathring{D}^0(\Omega) \cap \Delta^0(\Omega) = \mathring{D}^0(\Omega) = \mathring{H}^{1,0}(\Omega) \quad .$$

In 1972 Weck [16] resp. [17] presented for the first time a proof of the MCP for manifolds with nonsmooth boundaries ('cone-property'). Further proofs of the MCP were given by Picard [13] ('Lipschitz-domains') and in the classical case by Weber [19] (another 'cone-property') and Witsch [21] (' p -cusp-property'). A proof of the MCP in the classical case for bounded domains handling the largest known class of boundaries was given by Picard, Weck and Witsch in [15]. They combine the techniques from [17], [13] and [21].

We note that the MCP is independent of transformations. More precisely: Let ε_q be admissible transformations for all q . Then Ω possesses the MCP, if and only if the embeddings

$$\mathring{D}^q(\Omega) \cap \varepsilon_q^{-1} \Delta^q(\Omega) \hookrightarrow L^{2,q}(\Omega)$$

are compact for all q . Moreover, the MCP yields the finite dimension of the space of Dirichlet forms $\mathcal{H}^q(\Omega)$. In fact, the dimension is determined by topological properties of Ω , i.e. $\dim \mathcal{H}^q(\Omega) = \beta_{N-q}$, the $(N - q)$ -th Betti number of Ω . Furthermore, for admissible transformations the MCP implies (by an indirect argument) the existence of a positive constant c , such that the estimate

$$\|E\|_{L^{2,q}(\Omega)} \leq c(\|dE\|_{L^{2,q+1}(\Omega)} + \|\delta\varepsilon E\|_{L^{2,q-1}(\Omega)}) \quad (\text{A.3})$$

holds uniformly with respect to $E \in \mathring{D}^q(\Omega) \cap \varepsilon^{-1} \Delta^q(\Omega) \cap \varepsilon \mathcal{H}^q(\Omega)^\perp$. Here we denote the orthogonality with respect to the $\langle \cdot, \cdot \rangle_{L^{2,q}(\Omega)}$ -scalar product by \perp . This estimate implies the closedness of $d\mathring{D}^q(\Omega)$ resp. $\delta\Delta^q(\Omega)$ in $L^{2,q+1}(\Omega)$ resp. $L^{2,q-1}(\Omega)$. We even have

$$\overline{d\mathring{D}^q(\Omega)} = d\mathring{D}^q(\Omega) = d(\mathring{D}^q(\Omega) \cap \varepsilon^{-1} \Delta^q(\Omega) \cap \varepsilon \mathcal{H}^q(\Omega)^\perp) \quad , \quad (\text{A.4})$$

$$\overline{\delta\Delta^q(\Omega)} = \delta\Delta^q(\Omega) = \delta(\Delta^q(\Omega) \cap \varepsilon^{-1} \mathring{D}^q(\Omega) \cap \varepsilon^{-1} \mathcal{H}^q(\Omega)^\perp) \quad , \quad (\text{A.5})$$

which was shown in [10] in the case $\varepsilon = \nu = \text{id}$. Here we denote the orthogonality with respect to the $\langle \nu \cdot, \cdot \rangle_{L^{2,q}(\Omega)}$ -scalar product by \perp_ν and put $\perp := \perp_{\text{id}}$.

For an exterior domain Ω with the MLCP we have similar results. We will present them in the following.

Lemma A.3 *The following assertions are equivalent:*

- (i) Ω possesses the MLCP.
- (ii) $\Omega \cap U_t$ possesses the MCP for all $r \geq r_0$ with $\mathbb{R}^N \setminus \Omega \subset U_{r_0}$.
- (iii) *The embeddings*

$$\mathring{D}_s^q(\Omega) \cap \Delta_s^q(\Omega) \hookrightarrow L_t^{2,q}(\Omega)$$

are compact for all $t, s \in \mathbb{R}$ with $t < s$ and all q .

- (iv) *For all $t, s \in \mathbb{R}$ with $t < s$, all q and all admissible transformations ε_q the embeddings*

$$\mathring{D}_s^q(\Omega) \cap \varepsilon_q^{-1} \Delta_s^q(\Omega) \hookrightarrow L_t^{2,q}(\Omega)$$

are compact.

From [10] and [12] we obtain $\dim \mathcal{H}^q(\Omega) = \dim \mathcal{H}_{-1}^q(\Omega) = \beta_{N-q} < \infty$. Here we introduced the ‘(weighted harmonic) Dirichlet forms’

$${}_\varepsilon \mathcal{H}_t^q(\Omega) := \mathring{D}_t^q(\Omega) \cap \varepsilon^{-1} \Delta_t^q(\Omega)$$

and again neglect the transformation or the weight in the notation for $\varepsilon = \text{id}$ or $t = 0$.

Now let ε be an admissible transformation, which is τ - C^1 -admissible of second kind in A_r for an arbitrary $r \geq r_0$ with some order of decay $\tau > 0$ (and r_0 from Lemma A.3).

We need a fundamental Poincare-like estimate:

Lemma A.4 *There exists some constant $c > 0$ and some compact set $K \subset \mathbb{R}^N$, such that*

$$\|E\|_{L_{-1}^{2,q}(\Omega)} \leq c(\|dE\|_{L^{2,q+1}(\Omega)} + \|\delta\varepsilon E\|_{L^{2,q-1}(\Omega)} + \|E\|_{L^{2,q}(\Omega \cap K)})$$

holds true for all $E \in D_{-1}^q(\Omega) \cap \varepsilon^{-1} \Delta_{-1}^q(\Omega)$.

Proof: By a usual cutting technique we may restrict our considerations to the special case $\Omega = \mathbb{R}^N$ and ε is τ - C^1 -admissible of second kind in \mathbb{R}^N . Picking some $E \in D_{-1}^q(\mathbb{R}^N) \cap \varepsilon^{-1} \Delta_{-1}^q(\mathbb{R}^N)$ by Lemma 4.2 (ii) we get $E \in H_{-t}^{1,q}(\mathbb{R}^N)$ for all $t \geq 1$ and the estimate (with c depending on t but not on E)

$$\|E\|_{H_{-t}^{1,q}(\mathbb{R}^N)} \leq c(\|E\|_{L_{-t}^{2,q}(\mathbb{R}^N)} + \|dE\|_{L_{1-t}^{2,q+1}(\mathbb{R}^N)} + \|\delta\varepsilon E\|_{L_{1-t}^{2,q-1}(\mathbb{R}^N)}) \quad . \quad (\text{A.6})$$

From [[10], Lemma 5] we receive a compact set K , such that

$$\|E\|_{L_{-1}^{2,q}(\mathbb{R}^N)} \leq c(\|dE\|_{L^{2,q+1}(\mathbb{R}^N)} + \|\delta\varepsilon E\|_{L^{2,q-1}(\mathbb{R}^N)} + \|E\|_{L^{2,q}(K)}) \quad .$$

Then (A.6) (for $t = 1$) and the latter estimate yield with $\text{id} = \varepsilon - \hat{\varepsilon}$

$$\|E\|_{H_{-1}^{1,q}(\mathbb{R}^N)} \leq c(\|dE\|_{L^{2,q+1}(\mathbb{R}^N)} + \|\delta\varepsilon E\|_{L^{2,q-1}(\mathbb{R}^N)} + \|E\|_{L^{2,q}(K)} + \|E\|_{H_{-1-\tau}^{1,q}(\mathbb{R}^N)}) \quad .$$

Again utilizing (A.6) (for $t = 1 + \tau$) the term $\|E\|_{\mathbf{H}_{-1-\tau}^{1,q}(\mathbb{R}^N)}$ may be replaced by $\|E\|_{\mathbf{L}_{-1-\tau}^{2,q}(\mathbb{R}^N)}$. Since $\tau > 0$ and using the trick from (4.8) this one can be swallowed by the left hand side, which might produce some other compact set $\tilde{K} \supset K$. ■

We note that we did not need the MLCP for the proof of this lemma. But this lemma and the MLCP yield directly (by an indirect argument)

Corollary A.5 ${}_{\varepsilon}\mathcal{H}_{-1}^q(\Omega)$ is finite dimensional and there exists some positive constant c , such that

$$\|E\|_{\mathbf{L}_{-1}^{2,q}(\Omega)} \leq c(\|dE\|_{\mathbf{L}^{2,q+1}(\Omega)} + \|\delta\varepsilon E\|_{\mathbf{L}^{2,q-1}(\Omega)})$$

holds for all $E \in \mathring{\mathbf{D}}_{-1}^q(\Omega) \cap \varepsilon^{-1}\Delta_{-1}^q(\Omega) \cap {}_{\varepsilon}\mathcal{H}_{-1}^q(\Omega)^{\perp_{-1,\nu}}$. Here we denote by $\perp_{-1,\nu}$ the orthogonality with respect to the $\langle \nu\rho^{-1} \cdot, \rho^{-1} \cdot \rangle_{\Omega}$ -scalar product.

Corollary A.6 With closures taken in $\mathbf{L}^{2,q\pm 1}(\Omega)$ we have

- (i) $\overline{d\mathring{\mathbf{D}}^q(\Omega)} = d\mathring{\mathbf{D}}_{-1}^q(\Omega) = d(\mathring{\mathbf{D}}_{-1}^q(\Omega) \cap \varepsilon^{-1}{}_0\Delta_{-1}^q(\Omega) \cap {}_{\varepsilon}\mathcal{H}_{-1}^q(\Omega)^{\perp_{-1,\nu}})$,
- (ii) $\overline{\delta\mathbf{\Delta}^q(\Omega)} = \delta\Delta_{-1}^q(\Omega) = \delta(\Delta_{-1}^q(\Omega) \cap \varepsilon^{-1}{}_0\mathring{\mathbf{D}}_{-1}^q(\Omega) \cap {}_{\varepsilon^{-1}}\mathcal{H}_{-1}^q(\Omega)^{\perp_{-1,\nu}})$.

Proof: The proof is analogous to the one of [10, Lemma 7]. Nevertheless, let us briefly indicate how to prove (i). The other assertion follows similarly. Let $(E_n)_{n \in \mathbb{N}} \subset \mathring{\mathbf{D}}^q(\Omega)$ be some sequence with $dE_n \xrightarrow{n \rightarrow \infty} G$ in $\mathbf{L}^{2,q+1}(\Omega)$. Using Lemma A.1 we may assume without loss of generality $E_n \in \mathring{\mathbf{D}}^q(\Omega) \cap \varepsilon^{-1}{}_0\Delta_{-1}^q(\Omega)$. Moreover, by the projection theorem applied in $\mathbf{L}_{-1}^{2,q}(\Omega)$ we may further assume

$$E_n \in \mathring{\mathbf{D}}_{-1}^q(\Omega) \cap \varepsilon^{-1}{}_0\Delta_{-1}^q(\Omega) \cap {}_{\varepsilon}\mathcal{H}_{-1}^q(\Omega)^{\perp_{-1,\nu}} .$$

By Corollary A.5 $(E_n)_{n \in \mathbb{N}}$ is a $\mathbf{L}_{-1}^{2,q}(\Omega)$ -Cauchy sequence and the limit $E \in \mathbf{L}_{-1}^{2,q}(\Omega)$ even is an element of $\mathring{\mathbf{D}}_{-1}^q(\Omega) \cap \varepsilon^{-1}{}_0\Delta_{-1}^q(\Omega) \cap {}_{\varepsilon}\mathcal{H}_{-1}^q(\Omega)^{\perp_{-1,\nu}}$, which completes the proof. ■

Finally we note an immediate and easy conclusion of Corollary A.6 and the bounded inverse theorem, i.e. an electro-magneto static solution theory handling homogeneous tangential boundary data.

Theorem A.7 Let $d^q := \dim {}_{\varepsilon}\mathcal{H}_{-1}^q(\Omega)$ and d^q continuous linear functionals $\Phi_{\varepsilon}^{\ell}$ on $\mathbf{D}_{-1}^q(\Omega) \cap \varepsilon^{-1}\Delta_{-1}^q(\Omega)$ with

$${}_{\varepsilon}\mathcal{H}_{-1}^q(\Omega) \cap \bigcap_{\ell=1}^{d^q} N(\Phi_{\varepsilon}^{\ell}) = \{0\}$$

be given. Then with $\Phi_{\varepsilon} := (\Phi_{\varepsilon}^1, \dots, \Phi_{\varepsilon}^{d^q})$ the linear operator

$$\begin{aligned} \text{Max}_{\varepsilon} : \mathring{\mathbf{D}}_{-1}^q(\Omega) \cap \varepsilon^{-1}\Delta_{-1}^q(\Omega) &\longrightarrow \overline{\delta\mathbf{\Delta}^q(\Omega)} \times \overline{d\mathring{\mathbf{D}}^q(\Omega)} \times \mathbb{C}^{d^q} \\ E &\longmapsto (\delta\varepsilon E, dE, \Phi_{\varepsilon}(E)) \end{aligned}$$

is a topological isomorphism. Here $N(\Phi_{\varepsilon}^{\ell})$ denotes the kernel of $\Phi_{\varepsilon}^{\ell}$.

A.4 Linear transformations

Elementary calculations and estimates show for open subsets $\Omega, \tilde{\Omega}$ with compact closure of smooth Riemannian manifolds M, \tilde{M} :

Lemma A.8 *Let $\tau : \tilde{\Omega} \rightarrow \Omega$ be a C^2 -diffeomorphism respecting orientation and ε be a linear transformation. Then the transformation ε is admissible, if and only if the transformation*

$$\varepsilon_\tau := (-1)^{q(N-q)} * \tau^* * \varepsilon (\tau^*)^{-1}$$

*is admissible. In particular $\text{id}_\tau = (-1)^{q(N-q)} * \tau^* * (\tau^*)^{-1}$ is admissible. Furthermore:*

- (i) $E \in D^q(\Omega)$ resp. $E \in \mathring{D}^q(\Omega)$, if and only if $\tau^* E \in D^q(\tilde{\Omega})$ resp. $\tau^* E \in \mathring{D}^q(\tilde{\Omega})$. Moreover, $d\tau^* E = \tau^* dE$ and there exists a constant $c > 0$ independent of E , such that

$$c^{-1} \|E\|_{D^q(\Omega)} \leq \|\tau^* E\|_{D^q(\tilde{\Omega})} \leq c \|E\|_{D^q(\Omega)} \quad .$$

- (ii) $E \in \varepsilon^{-1} \Delta^q(\Omega)$, if and only if $\tau^* E \in \varepsilon_\tau^{-1} \Delta^q(\tilde{\Omega})$. Moreover, $\delta_{\varepsilon_\tau} \tau^* E = \text{id}_\tau \tau^* \delta \varepsilon E$ and there exists some $c > 0$ independent of E or ε_τ , such that

$$c^{-1} \|E\|_{\varepsilon^{-1} \Delta^q(\Omega)} \leq \|\tau^* E\|_{\varepsilon_\tau^{-1} \Delta^q(\tilde{\Omega})} \leq c \|E\|_{\varepsilon^{-1} \Delta^q(\Omega)} \quad .$$

A.5 Fourier transformation for differential forms

In the special case $M = \mathbb{R}^N$ we have some useful operators from the spherical calculus developed in [18]. For Euclidean coordinates $\{x_1, \dots, x_N\}$ we introduce the pointwise linear operators R, T on q -forms by

$$RE := x_n dx^n \wedge E = r dr \wedge E \quad , \quad TE := (-1)^{(q-1)N} * R * E$$

and recall the formulas

$$RR = 0 \quad , \quad TT = 0 \quad , \quad RT + TR = r^2 \quad (\text{A.7})$$

as well as for q -forms E and $(q+1)$ -forms H

$$RE \wedge *H = E \wedge *TH \quad , \quad TH \wedge *E = H \wedge *RE \quad , \quad (\text{A.8})$$

i.e. $\langle RE, H \rangle_{q+1} = \langle E, TH \rangle_q$ using the pointwise scalar product for differential forms. Then, for example, the differential d resp. δ corresponds to R resp. T in the sense that

$$C_{d, \varphi(r)} E = \varphi'(r) r^{-1} RE \quad \text{resp.} \quad C_{\delta, \varphi(r)} E = \varphi'(r) r^{-1} TE \quad (\text{A.9})$$

holds for $\varphi \in C^1(\mathbb{R})$ and $E \in \mathbf{D}^q(\mathbb{R}^N)$ resp. $E \in \mathbf{\Delta}^q(\mathbb{R}^N)$.

But there is at least one more connection between these operators. Let us present the componentwise (with respect to Euclidean coordinates) Fourier transformation on q -forms \mathcal{F} , which is a unitary mapping on $L^{2,q}(\mathbb{R}^N)$. With $\mathcal{X}(x) := x$ and the well known formula

$$\mathcal{F}(\partial^\alpha u) = i^{|\alpha|} \mathcal{X}^\alpha \mathcal{F}(u)$$

for scalar distributions u we get some formulas for \mathcal{F} operating on q -forms E :

$$\mathcal{F} * E = * \mathcal{F} E \quad (\text{A.10})$$

$$\mathcal{F}(\partial^\alpha E) = i^{|\alpha|} \mathcal{X}^\alpha \mathcal{F}(E) \quad , \quad \partial^\alpha \mathcal{F}(E) = (-i)^{|\alpha|} \mathcal{F}(\mathcal{X}^\alpha E) \quad (\text{A.11})$$

$$\mathcal{F}(dE) = i R \mathcal{F}(E) \quad , \quad d \mathcal{F}(E) = -i \mathcal{F}(RE) \quad (\text{A.12})$$

$$\mathcal{F}(\delta E) = i T \mathcal{F}(E) \quad , \quad \delta \mathcal{F}(E) = -i \mathcal{F}(TE) \quad (\text{A.13})$$

$$\mathcal{F}(\Delta E) = -r^2 \mathcal{F}(E) \quad , \quad \Delta \mathcal{F}(E) = -\mathcal{F}(r^2 E) \quad (\text{A.14})$$

These formulas may be checked for smooth forms from Schwartz' space and hence remain valid for distributional q -forms, i.e. extend to our weak calculus. We note $d\delta + \delta d = \Delta$, where the Laplacian Δ acts on each Euclidean component of E . Utilizing these formulas some Sobolev spaces can be characterized with the aid of the Fourier transformation. We easily get:

$$\mathbf{H}^{m,q}(\mathbb{R}^N) = \{E \in L^{2,q}(\mathbb{R}^N) : \mathcal{F}(E) \in L_m^{2,q}(\mathbb{R}^N)\} \quad , \quad m \in \mathbb{N} \quad (\text{A.15})$$

$$\mathbf{D}^q(\mathbb{R}^N) = \{E \in L^{2,q}(\mathbb{R}^N) : R \mathcal{F}(E) \in L^{2,q+1}(\mathbb{R}^N)\} \quad (\text{A.16})$$

$$\mathbf{\Delta}^q(\mathbb{R}^N) = \{E \in L^{2,q}(\mathbb{R}^N) : T \mathcal{F}(E) \in L^{2,q-1}(\mathbb{R}^N)\} \quad (\text{A.17})$$

In this sense we also may define $\mathbf{H}^{s,q}(\mathbb{R}^N)$, if $s \in \mathbb{R}$.

A.6 Some technical lemmas

Lemma A.9 *Let $r > 0$, $x' := (x_1, \dots, x_{N-1})$ and*

$$\begin{array}{ccc} \tau : U_r^+ & \longrightarrow & U_r^- \\ x & \longmapsto & (x', -x_N) \end{array} \quad .$$

Then the mirror operator

$$S_d : D^q(U_r^-) \rightarrow D^q(U_r)$$

defined by $S_d E|_{U_r^-} := E$ and $S_d E|_{U_r^+} := \tau^ E$ is well defined, linear and continuous. S_d commutes with d and $\|S_d E\|_{L^{2,q}(U_r)} = \sqrt{2} \|E\|_{L^{2,q}(U_r^-)}$ holds. ($\sqrt{2}/2 S_d$ even is an isometry.) Moreover, if $\text{supp } E \subset \overline{U_\varrho^-}$ for some $\varrho < r$, then $\text{supp } S_d E \subset \overline{U_\varrho}$.*

The dual mirror operator

$$S_\delta := (-1)^{q(N-q)} * S_d * : \Delta^q(U_r^-) \rightarrow \Delta^q(U_r) \quad (\text{A.18})$$

has the corresponding properties.

Proof: By density it is enough to show $S_d E \in D^q(U_r)$ and $d S_d E = S_d d E$ for $E \in C^{\infty,q}(\overline{U_r^-})$. The assertions about the continuity and the support follow directly. Let $\iota : U_r^0 \hookrightarrow \overline{U_r^-}$ denote the natural embedding. Observing that τ changes the orientation we get from Stokes' theorem for $\Phi \in \mathring{C}^{\infty,q+1}(U_r)$ (Clearly we identify Φ with its restrictions on U_r^\pm .)

$$\begin{aligned}
\langle S_d E, \delta \Phi \rangle_{L^2, q(U_r)} &= (-1)^{q^2} \int_{U_r^-} E \wedge (d * \bar{\Phi}) + (-1)^{q^2} \int_{U_r^+} (\tau^* E) \wedge (d * \bar{\Phi}) \\
&= (-1)^q \int_{U_r^-} E \wedge d(*\bar{\Phi} - (\tau^{-1})^* * \bar{\Phi}) \\
&= - \int_{U_r^-} (dE) \wedge (*\bar{\Phi} - (\tau^{-1})^* * \bar{\Phi}) \\
&\quad + \int_{U_r^0} (\iota^* E) \wedge \left((\iota^* - \iota^* (\tau^{-1})^*) * \bar{\Phi} \right) .
\end{aligned}$$

By $\iota - \tau^{-1} \circ \iota = 0$ the boundary integral vanishes and we obtain

$$\begin{aligned}
\langle S_d E, \delta \Phi \rangle_{L^2, q(U_r)} &= - \int_{U_r^-} (dE) \wedge * \bar{\Phi} - \int_{U_r^+} (\tau^* dE) \wedge * \bar{\Phi} \\
&= - \langle S_d dE, \Phi \rangle_{L^2, q+1(U_r)} .
\end{aligned}$$

■

Lemma A.10 *Let $N \geq 3$ and $q > 0$. There exists a constant $c > 0$, such that for all $E \in {}_0\Delta^q(\mathbb{R}^N)$ with $\text{supp } E \subset U_\rho$ there exists some $H \in \mathbf{H}^{1, q+1}(\mathbb{R}^N)$ satisfying*

$$\delta H = E \quad , \quad \|H\|_{\mathbf{H}^{1, q+1}(\mathbb{R}^N)} \leq c \|E\|_{L^2, q(\mathbb{R}^N)} .$$

Proof: Let $E \in {}_0\Delta^q(\mathbb{R}^N)$ with $\text{supp } E \subset U_\rho$. By Fourier's transformation we get for the Euclidean components of $E = E_I dx^I$

$$\mathcal{F}E_I \in C^0(\mathbb{R}^N) \quad , \quad \forall x \in \mathbb{R}^N \quad |\mathcal{F}E_I(x)| \leq \lambda(U_\rho)^{1/2} \|E\|_{L^2, q(\mathbb{R}^N)} \quad ,$$

where λ denotes Lebesgue's measure. Hence, all components of $\mathcal{F}E$ are bounded. Let $\hat{H} := r^{-2} R \mathcal{F}E$ with $\hat{H}(0) := 0$. The estimate

$$|\hat{H}_J(x)| \leq c |x|^{-1} \sum_I |\mathcal{F}E_I(x)|$$

holds for all $x \in \mathbb{R}^N \setminus \{0\}$ and all indices J and implies $\mathcal{X}_n \hat{H} \in L^{2, q+1}(\mathbb{R}^N)$. Hence, $\hat{H}, \mathcal{F}^{-1} \hat{H} \in L^{2, q+1}(\mathbb{R}^N)$ since $N \geq 3$. Moreover, we get

$$\|\hat{H}\|_{L^{2, q+1}(\mathbb{R}^N)} + \|r \hat{H}\|_{L^{2, q+1}(\mathbb{R}^N)} \leq c \|E\|_{L^2, q(\mathbb{R}^N)} .$$

Thus by (A.15) $H := -i \mathcal{F}^{-1} \hat{H} \in \mathbf{H}^{1, q+1}(\mathbb{R}^N)$ with $\|H\|_{\mathbf{H}^{1, q+1}(\mathbb{R}^N)} \leq c \|E\|_{L^2, q(\mathbb{R}^N)}$. Using (A.13) as well as (A.7) we finally obtain

$$\delta H = \mathcal{F}^{-1} T \hat{H} = \mathcal{F}^{-1} r^{-2} T R \mathcal{F}E = E$$

because $\delta E = 0$ yields $T\mathcal{F}E = 0$ again by (A.13). \blacksquare

To prepare the final lemma of the appendix let $U \subset \mathbb{R}^N$ and

$$\Phi = \sum_I \Phi_I dx^I \in L^{2,q}(U) \quad .$$

Then $\Phi = \Phi^\tau + \Phi^\rho$ is an (pointwise) orthogonal decomposition, where

$$\Phi^\tau := \sum_{N \notin I} \Phi_I dx^I \quad , \quad \Phi^\rho := \sum_{N \in I} \Phi_I dx^I \quad . \quad (\text{A.19})$$

Lemma A.11 *Let $U \subset \mathbb{R}^N$, $m \in \mathbb{N}$, $E \in L^{2,q}(U)$ and ε be a C^m -admissible transformation. Furthermore, let E^τ and $(\varepsilon E)^\rho$ be elements of $\mathbf{H}^{m,q}(U)$. Then E belongs to $\mathbf{H}^{m,q}(U)$ as well.*

Proof: We have $(\varepsilon E^\rho)^\rho = (\varepsilon E)^\rho - (\varepsilon E^\tau)^\rho \in \mathbf{H}^{m,q}(U)$. Since the restriction $\varepsilon^{\rho,\rho}$ of ε acting on the normal parts, i.e. $\varepsilon^{\rho,\rho} E^\rho = (\varepsilon E^\rho)^\rho$, is pointwise invertible with $C^m(\bar{U})$ entries we obtain $E^\rho \in \mathbf{H}^{m,q}(U)$. \blacksquare

B Translation to the classical electro-magnetic language

Finally we present our results in the classical language of vector analysis, i.e. for the special case $M = \mathbb{R}^3$. By the usual identifications we have the following table:

	$q = 0$	$q = 1$	$q = 2$	$q = 3$
d	grad	curl	div	0
δ	0	div	$-\text{curl}$	grad

Now we deal with the usual Sobolev spaces $\mathbf{H}^m(\Omega)$ and $\mathbf{H}(\text{curl}, \Omega)$, $\mathbf{H}(\text{div}, \Omega)$ as well as the trace spaces $\mathbf{H}^{m-1/2}(\partial\Omega)$. Moreover, we have the weighted Sobolev spaces $\mathbf{H}_s^m(\Omega)$, $\mathbf{H}_s^m(\Omega)$ as well as for $\diamond \in \{\text{curl}, \text{div}\}$

$$\mathbf{H}_s(\diamond, \Omega) := \{E \in L_s^2(\Omega) : \diamond E \in L_s^2(\Omega)\} \quad .$$

	$q = 0$	$q = 1$	$q = 2$	$q = 3$
$\mathbf{D}_s^q(\Omega)$	$\mathbf{H}_s^1(\Omega)$	$\mathbf{H}_s(\text{curl}, \Omega)$	$\mathbf{H}_s(\text{div}, \Omega)$	$L_s^2(\Omega)$
$\mathbf{\Delta}_s^q(\Omega)$	$L_s^2(\Omega)$	$\mathbf{H}_s(\text{div}, \Omega)$	$\mathbf{H}_s(\text{curl}, \Omega)$	$\mathbf{H}_s^1(\Omega)$

Theorem B.1 *Let $m \in \mathbb{N}_0$, Ω be a bounded domain in \mathbb{R}^3 with C^{m+2} -boundary as well as ε be some C^{m+1} -admissible matrix. Furthermore, let*

$$E \in \mathbf{H}(\operatorname{curl}, \Omega) \cap \varepsilon^{-1}\mathbf{H}(\operatorname{div}, \Omega)$$

with

$$\operatorname{curl} E \in \mathbf{H}^m(\Omega) \quad , \quad \operatorname{div} \varepsilon E \in \mathbf{H}^m(\Omega) \quad , \quad \nu \times E \in \mathbf{H}^{m+1/2}(\partial\Omega) \quad .$$

Then $E \in \mathbf{H}^{m+1}(\Omega)$ and there exists a positive constant c independent of E , such that

$$\begin{aligned} & \|E\|_{\mathbf{H}^{m+1}(\Omega)} \\ & \leq c \left(\|E\|_{L^2(\Omega)} + \|\operatorname{curl} E\|_{\mathbf{H}^m(\Omega)} + \|\operatorname{div} \varepsilon E\|_{\mathbf{H}^m(\Omega)} + \|\nu \times E\|_{\mathbf{H}^{m+1/2}(\partial\Omega)} \right) \quad . \end{aligned}$$

This theorem may be regarded as a generalization to inhomogeneous boundary data of [20], whereas the next theorem represents a new result even in the classical context.

Theorem B.2 *Let $s \in \mathbb{R}$, $m \in \mathbb{N}_0$, $\Omega \subset \mathbb{R}^3$ be an exterior domain with C^{m+2} -boundary and ε be some C^{m+1} -admissible matrix. Furthermore, let*

$$E \in \mathbf{H}_s(\operatorname{curl}, \Omega) \cap \varepsilon^{-1}\mathbf{H}_s(\operatorname{div}, \Omega) \quad \text{with} \quad \nu \times E \in \mathbf{H}^{m+1/2}(\partial\Omega) \quad .$$

- (i) *Then $\operatorname{curl} E \in \mathbf{H}_s^m(\Omega)$ and $\operatorname{div} \varepsilon E \in \mathbf{H}_s^m(\Omega)$ imply $E \in \mathbf{H}_s^{m+1}(\Omega)$ and with some constant $c > 0$*

$$\begin{aligned} & \|E\|_{\mathbf{H}_s^{m+1}(\Omega)} \\ & \leq c \left(\|E\|_{L_s^2(\Omega)} + \|\operatorname{curl} E\|_{\mathbf{H}_s^m(\Omega)} + \|\operatorname{div} \varepsilon E\|_{\mathbf{H}_s^m(\Omega)} + \|\nu \times E\|_{\mathbf{H}^{m+1/2}(\partial\Omega)} \right) \end{aligned}$$

holds uniformly with respect to E .

- (ii) *If additionally ε is 0 - C^{m+1} -admissible of second kind and τ - C^0 -admissible of first kind with some $\tau > 0$ then $\operatorname{curl} E \in \mathbf{H}_{s+1}^m(\Omega)$ and $\operatorname{div} \varepsilon E \in \mathbf{H}_{s+1}^m(\Omega)$ imply $E \in \mathbf{H}_s^{m+1}(\Omega)$ and there exists some positive constant c , such that the estimate*

$$\begin{aligned} & \|E\|_{\mathbf{H}_s^{m+1}(\Omega)} \\ & \leq c \left(\|E\|_{L_s^2(\Omega)} + \|\operatorname{curl} E\|_{\mathbf{H}_{s+1}^m(\Omega)} + \|\operatorname{div} \varepsilon E\|_{\mathbf{H}_{s+1}^m(\Omega)} + \|\nu \times E\|_{\mathbf{H}^{m+1/2}(\partial\Omega)} \right) \end{aligned}$$

holds uniformly with respect to E .

Here we denoted by ν the exterior normal unit vector at $\partial\Omega$.

Remark B.3 *Similar results hold for kinds of spaces like $\varepsilon^{-1}\mathbf{H}(\operatorname{curl}, \Omega) \cap \mathbf{H}(\operatorname{div}, \Omega)$ and/or with prescribed normal traces $\nu \cdot E$ resp. $\nu \cdot \varepsilon E$.*

Acknowledgements

This research was supported by the *Deutsche Forschungsgemeinschaft* via the project 'We 2394: Untersuchungen der Spektralschar verallgemeinerter Maxwelloperatoren in unbeschränkten Gebieten' and by the *Department of Mathematical Information Technology* of the University of Jyväskylä, Finland, where the second author spent a sabbatical of three month during spring 2007 as a postdoc fellow.

The authors are grateful to Norbert Weck and Karl-Josef Witsch for teaching.

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