

SCHRIFTENREIHE DER FAKULTÄT FÜR MATHEMATIK

Maxwell meets Korn:  
A New Coercive Inequality for Tensor Fields in  $\mathbb{R}^{N \times N}$   
with Square-Integrable Exterior Derivative

by

Patrizio Neff, Dirk Pauly and Karl-Josef Witsch

SM-E-737

2011



# MAXWELL MEETS KORN: A NEW COERCIVE INEQUALITY FOR TENSOR FIELDS IN $\mathbb{R}^{N \times N}$ WITH SQUARE-INTEGRABLE EXTERIOR DERIVATIVE

Patrizio Neff, Dirk Pauly, Karl-Josef Witsch

May 25, 2011

## Abstract

For a bounded domain  $\Omega \subset \mathbb{R}^N$  with connected Lipschitz boundary we prove the existence of some  $c > 0$ , such that

$$c \|P\|_{L^2(\Omega, \mathbb{R}^{N \times N})} \leq \|\text{sym } P\|_{L^2(\Omega, \mathbb{R}^{N \times N})} + \|\text{Curl } P\|_{L^2(\Omega, \mathbb{R}^{N \times (N-1)N/2})}$$

holds for all square-integrable tensor fields  $P : \Omega \rightarrow \mathbb{R}^{N \times N}$ , having square-integrable generalized ‘rotation’  $\text{Curl } P : \Omega \rightarrow \mathbb{R}^{N \times (N-1)N/2}$  and vanishing tangential trace on  $\partial\Omega$ , where both operations are to be understood row-wise. Here, in each row the operator curl is the vector analytical reincarnation of the exterior derivative  $d$  in  $\mathbb{R}^N$ . For compatible tensor fields  $P$ , i.e.,  $P = \nabla v$ , the latter estimate reduces to a non-standard variant of Korn’s first inequality in  $\mathbb{R}^N$ , namely

$$c \|\nabla v\|_{L^2(\Omega, \mathbb{R}^{N \times N})} \leq \|\text{sym } \nabla v\|_{L^2(\Omega, \mathbb{R}^{N \times N})}$$

for all vector fields  $v \in H^1(\Omega, \mathbb{R}^N)$ , for which  $\nabla v_n$ ,  $n = 1, \dots, N$ , are normal at  $\partial\Omega$ .  
**Key Words** Korn’s inequality, theory of Maxwell equations in  $\mathbb{R}^N$ , Helmholtz decomposition, Poincaré/Friedrichs type estimates

## 1 Introduction and Preliminaries

We extend the results from [12], which have been announced in [13], to the  $N$ -dimensional case following in close lines the arguments presented there. Let  $N \in \mathbb{N}$  and  $\Omega$  be a bounded domain in  $\mathbb{R}^N$  with connected Lipschitz boundary  $\Gamma := \partial\Omega$ . We prove a Korn-type inequality in  $\mathring{H}(\text{Curl}; \Omega)$  for eventually non-symmetric tensor fields  $P$  mapping  $\Omega$  to  $\mathbb{R}^{N \times N}$ . More precisely, there exists a positive constant  $c$ , such that

$$c \|P\|_{L^2(\Omega)} \leq \|\text{sym } P\|_{L^2(\Omega)} + \|\text{Curl } P\|_{L^2(\Omega)}$$

holds for all tensor fields  $P \in \mathring{H}(\text{Curl}; \Omega)$ , where  $P$  belongs to  $\mathring{H}(\text{Curl}; \Omega)$ , if  $P \in H(\text{Curl}; \Omega)$  has vanishing tangential trace on  $\Gamma$ . Thereby, the generalized Curl and tangential trace

are defined as row-wise operations. For compatible tensor fields  $P = \nabla v$  with vector fields  $v \in \mathbf{H}^1(\Omega)$ , for which  $\nabla v_n$ ,  $n = 1, \dots, N$ , are normal at  $\partial\Omega$ , the latter estimate reduces to a non-standard variant of the well known Korn's first inequality in  $\mathbb{R}^N$

$$c \|\nabla v\|_{\mathbf{L}^2(\Omega)} \leq \|\text{sym } \nabla v\|_{\mathbf{L}^2(\Omega)}.$$

Our proof relies on three essential tools, namely

1. Maxwell estimate (Poincaré-type estimate),
2. Helmholtz' decomposition,
3. Korn's first inequality.

In [12] we already pointed out the importance of the Maxwell estimate and the related question of the Maxwell compactness property\*. Here, we mention the papers [2, 6, 15, 16, 17, 18, 20]. Results for the Helmholtz decomposition can be found in [3, 14, 15, 17, 20, 19, 7, 8, 9]. Nowadays, differential forms find prominent applications in numerical methods like Finite Element Exterior Calculus [1, 4] or Discrete Exterior Calculus [5].

## 1.1 Differential Forms

We may look at  $\Omega$  as a smooth Riemannian manifold of dimension  $N$  with compact closure and connected Lipschitz continuous boundary  $\Gamma$ . The alternating differential forms of rank  $q \in \{0, \dots, N\}$  on  $\Omega$ , briefly  $q$ -forms, with square-integrable coefficients will be denoted by  $\mathbf{L}^{2,q}(\Omega)$ . The exterior derivative  $d$  and the co-derivative  $\delta = \pm * d *$  ( $*$ : Hodge's star operator) are formally skew-adjoint to each other, i.e.,

$$\forall E \in \mathring{\mathbf{C}}^{\infty,q}(\Omega) \quad H \in \mathring{\mathbf{C}}^{\infty,q+1}(\Omega) \quad \langle dE, H \rangle_{\mathbf{L}^{2,q+1}(\Omega)} = - \langle E, \delta H \rangle_{\mathbf{L}^{2,q}(\Omega)},$$

where the  $\mathbf{L}^{2,q}(\Omega)$ -scalar product is given by

$$\forall E, H \in \mathbf{L}^{2,q}(\Omega) \quad \langle E, H \rangle_{\mathbf{L}^{2,q}(\Omega)} := \int_{\Omega} E \wedge *H.$$

Here  $\mathring{\mathbf{C}}^{\infty,q}(\Omega)$  denotes the space of compactly supported and smooth  $q$ -forms on  $\Omega$ . Using this duality, we can define weak versions of  $d$  and  $\delta$ . The corresponding standard Sobolev spaces are denoted by

$$\begin{aligned} \mathbf{D}^q(\Omega) &:= \{E \in \mathbf{L}^{2,q}(\Omega) : dE \in \mathbf{L}^{2,q+1}(\Omega)\}, \\ \mathbf{\Delta}^q(\Omega) &:= \{H \in \mathbf{L}^{2,q}(\Omega) : \delta H \in \mathbf{L}^{2,q-1}(\Omega)\}. \end{aligned}$$

The homogeneous tangential boundary condition  $\tau_{\Gamma} E = 0$ , where  $\tau_{\Gamma}$  denotes the tangential trace, is generalized in the space

$$\mathring{\mathbf{D}}^q(\Omega) := \overline{\mathring{\mathbf{C}}^{\infty,q}(\Omega)},$$

---

\*By 'Maxwell estimate' and 'Maxwell compactness property' we mean the estimates and compact embedding results used in the theory of Maxwell's equations.

where the closure is taken in  $D^q(\Omega)$ . In classical terms, we have for smooth  $q$ -forms  $\tau_\Gamma = \iota^*$  with the canonical embedding  $\iota : \Gamma \hookrightarrow \overline{\Omega}$ . An index 0 at the lower right position indicates vanishing derivatives, i.e.,

$$\mathring{D}_0^q(\Omega) = \{E \in \mathring{D}^q(\Omega) : dE = 0\}, \quad \Delta_0^q(\Omega) = \{H \in \Delta^q(\Omega) : \delta H = 0\}.$$

By definition and density, we have

$$\Delta_0^q(\Omega) := (\mathring{d}D^{q-1}(\Omega))^\perp, \quad \Delta_0^q(\Omega)^\perp := \overline{\mathring{d}D^{q-1}(\Omega)},$$

where  $\perp$  denotes the orthogonal complement with respect to the  $L^{2,q}(\Omega)$ -scalar product and the closure is taken in  $L^{2,q}(\Omega)$ . Hence, we obtain the  $L^{2,q}(\Omega)$ -orthogonal decomposition, usually called Hodge-Helmholtz decomposition,

$$L^{2,q}(\Omega) = \overline{\mathring{d}D^{q-1}(\Omega)} \oplus \Delta_0^q(\Omega), \quad (1.1)$$

where  $\oplus$  denotes the orthogonal sum with respect to the  $L^{2,q}(\Omega)$ -scalar product. In [20, 16] the following crucial tool has been proved:

**Lemma 1 (Maxwell Compactness Property)** *For all  $q$  the embeddings*

$$\mathring{D}^q(\Omega) \cap \Delta^q(\Omega) \hookrightarrow L^{2,q}(\Omega)$$

*are compact.*

As a first immediate consequence, the spaces of so called ‘harmonic Dirichlet forms’

$$\mathcal{H}^q(\Omega) := \mathring{D}_0^q(\Omega) \cap \Delta_0^q(\Omega)$$

are finite dimensional. In classical terms, a  $q$ -form  $E$  belongs to  $\mathcal{H}^q(\Omega)$ , if

$$dE = 0, \quad \delta E = 0, \quad \iota^* E = 0.$$

The dimension of  $\mathcal{H}^q(\Omega)$  equals the  $(N - q)$ th Betti number of  $\Omega$ . Since we assume the boundary  $\Gamma$  to be connected, the  $(N - 1)$ th Betti number of  $\Omega$  vanishes and therefore there are no Dirichlet forms of rank 1 besides zero, i.e.,

$$\mathcal{H}^1(\Omega) = \{0\}. \quad (1.2)$$

This condition on the domain  $\Omega$  resp. its boundary  $\Gamma$  is satisfied e.g. for a ball or a torus.

By a usual indirect argument, we achieve another immediate consequence:

**Lemma 2 (Poincaré Estimate for Differential Forms)** *For all  $q$  there exist positive constants*

*$c_{p,q}$ , such that for all  $E \in \mathring{D}^q(\Omega) \cap \Delta^q(\Omega) \cap \mathcal{H}^q(\Omega)^\perp$*

$$\|E\|_{L^{2,q}(\Omega)} \leq c_{p,q} \left( \|dE\|_{L^{2,q+1}(\Omega)}^2 + \|\delta E\|_{L^{2,q-1}(\Omega)}^2 \right)^{1/2}.$$

Since

$$d\mathring{D}^{q-1}(\Omega) \subset \mathring{D}_0^q(\Omega)$$

(note that  $dd=0$  and  $\delta\delta=0$  hold even in the weak sense) we get by (1.1)

$$d\mathring{D}^{q-1}(\Omega) = d(\mathring{D}^{q-1}(\Omega) \cap \Delta_0^{q-1}(\Omega)) = d(\mathring{D}^{q-1}(\Omega) \cap \Delta_0^{q-1}(\Omega) \cap \mathcal{H}^{q-1}(\Omega)^\perp).$$

Now, Lemma 2 shows that  $d\mathring{D}^{q-1}(\Omega)$  is already closed. Hence, we obtain a refinement of (1.1)

**Lemma 3** (Hodge-Helmholtz Decomposition for Differential Forms) *The decomposition*

$$\mathbb{L}^{2,q}(\Omega) = d\mathring{D}^{q-1}(\Omega) \oplus \Delta_0^q(\Omega)$$

holds.

## 1.2 Functions and Vector Fields

Let us turn to the special case  $q = 1$ . In this case, we choose (e.g.) the identity as single global chart for  $\Omega$  and use the canonical identification isomorphism for 1-forms (i.e., Riesz' representation theorem) with vector fields  $dx_n \cong e^n$ , namely

$$\sum_{n=1}^N v_n(x) dx_n \cong v(x) = \begin{bmatrix} v_1(x) \\ \vdots \\ v_N(x) \end{bmatrix}, \quad x \in \Omega.$$

0-forms will be isomorphically identified with functions on  $\Omega$ . Then,  $d \cong \text{grad} = \nabla$  for 0-forms (functions) and  $\delta \cong \text{div} = \nabla \cdot$  for 1-forms (vector fields). Hence, the well known first order differential operators from vector analysis occur. Moreover, on 1-forms we define a new operator  $\text{curl} := \mathfrak{d}$ , which turns into the usual curl if  $N = 3$  or  $N = 2$ .  $\mathbb{L}^{2,q}(\Omega)$  equals the usual Lebesgue spaces of square integrable functions or vector fields on  $\Omega$  with values in  $\mathbb{R}^n$ ,  $n := n_{N,q} := \binom{N}{q}$ , which will be denoted by  $\mathbb{L}^2(\Omega) := \mathbb{L}^2(\Omega, \mathbb{R}^n)$ .

$D^0(\Omega)$  and  $\Delta^1(\Omega)$  are identified with the standard Sobolev spaces

$$\mathbb{H}(\text{grad}; \Omega) := \{u \in \mathbb{L}^2(\Omega, \mathbb{R}) : \text{grad } u \in \mathbb{L}^2(\Omega, \mathbb{R}^N)\} = \mathbb{H}^1(\Omega),$$

$$\mathbb{H}(\text{div}; \Omega) := \{v \in \mathbb{L}^2(\Omega, \mathbb{R}^N) : \text{div } v \in \mathbb{L}^2(\Omega, \mathbb{R})\},$$

respectively. Moreover, we may now identify  $D^1(\Omega)$  with

$$\mathbb{H}(\text{curl}; \Omega) := \{v \in \mathbb{L}^2(\Omega, \mathbb{R}^N) : \text{curl } v \in \mathbb{L}^2(\Omega, \mathbb{R}^{(N-1)N/2})\},$$

which is the well known  $\mathbb{H}(\text{curl}; \Omega)$  for  $N = 2, 3$ . E.g., for  $N = 4$  we have

$$\text{curl } v = \begin{bmatrix} \partial_1 v_2 - \partial_2 v_1 \\ \partial_1 v_3 - \partial_3 v_1 \\ \partial_1 v_4 - \partial_4 v_1 \\ \partial_2 v_3 - \partial_3 v_2 \\ \partial_2 v_4 - \partial_4 v_2 \\ \partial_3 v_4 - \partial_4 v_3 \end{bmatrix} \in \mathbb{R}^6$$

and for  $N = 5$  we get  $\operatorname{curl} v \in \mathbb{R}^{10}$ . In general, the entries of the  $(N - 1)N/2$ -vector  $\operatorname{curl} v$  consist of all possible combinations of

$$\partial_n v_m - \partial_m v_n, \quad 1 \leq n < m \leq N.$$

Similarly, we obtain the closed subspaces

$$\mathring{\mathbf{H}}(\operatorname{grad}; \Omega) = \mathring{\mathbf{H}}^1(\Omega), \quad \mathring{\mathbf{H}}(\operatorname{curl}; \Omega)$$

as reincarnations of  $\mathring{\mathbf{D}}^0(\Omega)$  and  $\mathring{\mathbf{D}}^1(\Omega)$ , respectively. We note

$$\mathring{\mathbf{H}}(\operatorname{grad}; \Omega) = \overline{\mathring{\mathbf{C}}^\infty(\Omega)}, \quad \mathring{\mathbf{H}}(\operatorname{curl}; \Omega) = \overline{\mathring{\mathbf{C}}^\infty(\Omega)},$$

where the closures are taken in the respective graph norms, and that in these Sobolev spaces the classical homogeneous scalar and tangential (compare to  $N = 3$ ) boundary conditions

$$u|_\Gamma = 0, \quad \nu \times v|_\Gamma = 0$$

are generalized. Here,  $\nu$  denotes the outward unit normal for  $\Gamma$ . Furthermore, we have the spaces of irrotational or solenoidal vector fields

$$\begin{aligned} \mathbf{H}(\operatorname{curl}_0; \Omega) &= \{v \in \mathbf{H}(\operatorname{curl}; \Omega) : \operatorname{curl} v = 0\}, \\ \mathring{\mathbf{H}}(\operatorname{curl}_0; \Omega) &= \{v \in \mathring{\mathbf{H}}(\operatorname{curl}; \Omega) : \operatorname{curl} v = 0\}, \\ \mathbf{H}(\operatorname{div}_0; \Omega) &= \{v \in \mathbf{H}(\operatorname{div}; \Omega) : \operatorname{div} v = 0\}. \end{aligned}$$

Again, all these spaces are Hilbert spaces. Now, we have two compact embeddings

$$\mathring{\mathbf{H}}(\operatorname{grad}; \Omega) \hookrightarrow \mathbf{L}^2(\Omega), \quad \mathring{\mathbf{H}}(\operatorname{curl}; \Omega) \cap \mathbf{H}(\operatorname{div}; \Omega) \hookrightarrow \mathbf{L}^2(\Omega),$$

i.e., Rellich's selection theorem and the Maxwell compactness property. Moreover, the following Poincaré and Maxwell estimates hold:

**Corollary 4 (Poincaré Estimate for Functions)** *Let  $c_p := c_{p,0}$ . Then, for all functions  $u \in \mathring{\mathbf{H}}(\operatorname{grad}; \Omega)$*

$$\|u\|_{\mathbf{L}^2(\Omega)} \leq c_p \|\operatorname{grad} u\|_{\mathbf{L}^2(\Omega)}.$$

**Corollary 5 (Maxwell Estimate for Vector Fields)** *Let  $c_m := c_{p,1}$ . Then, for all vector fields  $v \in \mathring{\mathbf{H}}(\operatorname{curl}; \Omega) \cap \mathbf{H}(\operatorname{div}; \Omega)$*

$$\|v\|_{\mathbf{L}^2(\Omega)} \leq c_m \left( \|\operatorname{curl} v\|_{\mathbf{L}^2(\Omega)}^2 + \|\operatorname{div} v\|_{\mathbf{L}^2(\Omega)}^2 \right)^{1/2}.$$

We note that generally  $\mathcal{H}^0(\Omega) = \{0\}$  and by (1.2) also  $\mathcal{H}^1(\Omega) = \{0\}$ . The appropriate Helmholtz decomposition for our needs is

**Corollary 6 (Helmholtz Decomposition for Vector Fields)**

$$\mathbf{L}^2(\Omega) = \operatorname{grad} \mathring{\mathbf{H}}(\operatorname{grad}; \Omega) \oplus \mathbf{H}(\operatorname{div}_0; \Omega)$$

### 1.3 Tensor Fields

We extend our calculus to  $(N \times N)$ -tensor (matrix) fields. For vector fields  $v$  with components in  $\mathbf{H}(\text{grad}; \Omega)$  and tensor fields  $P$  with rows in  $\mathbf{H}(\text{curl}; \Omega)$  resp.  $\mathbf{H}(\text{div}; \Omega)$ , i.e.,

$$v = \begin{bmatrix} v_1 \\ \vdots \\ v_N \end{bmatrix}, \quad v_n \in \mathbf{H}(\text{grad}; \Omega), \quad P = \begin{bmatrix} P_1^T \\ \vdots \\ P_N^T \end{bmatrix}, \quad P_n \in \mathbf{H}(\text{curl}; \Omega) \text{ resp. } \mathbf{H}(\text{div}; \Omega)$$

for  $n = 1, \dots, N$ , we define

$$\text{Grad } v := \begin{bmatrix} \text{grad}^T v_1 \\ \vdots \\ \text{grad}^T v_N \end{bmatrix} = J_v = \nabla v, \quad \text{Curl } P := \begin{bmatrix} \text{curl}^T P_1 \\ \vdots \\ \text{curl}^T P_N \end{bmatrix}, \quad \text{Div } P := \begin{bmatrix} \text{div } P_1 \\ \vdots \\ \text{div } P_N \end{bmatrix},$$

where  $J_v$  denotes the Jacobian of  $v$  and  $^T$  the transpose. We note that  $v$  and  $\text{Div } P$  are  $N$ -vector fields,  $P$  and  $\text{Grad } v$  are  $(N \times N)$ -tensor fields, whereas  $\text{Curl } P$  is a  $(N \times (N-1)N/2)$ -tensor field which may also be viewed as a totally anti-symmetric third order tensor field with entries

$$(\text{Curl } P)_{ijk} = \partial_j P_{ik} - \partial_k P_{ij}.$$

The corresponding Sobolev spaces will be denoted by

$$\begin{array}{cccc} \mathbf{H}(\text{Grad}; \Omega), & \mathring{\mathbf{H}}(\text{Grad}; \Omega), & \mathbf{H}(\text{Div}; \Omega), & \mathbf{H}(\text{Div}_0; \Omega), \\ \mathbf{H}(\text{Curl}; \Omega), & \mathring{\mathbf{H}}(\text{Curl}; \Omega), & \mathbf{H}(\text{Curl}_0; \Omega), & \mathring{\mathbf{H}}(\text{Curl}_0; \Omega). \end{array}$$

There are three crucial tools to prove our estimate. First, we have obvious consequences from Corollaries 4, 5 and 6:

**Corollary 7** (Poincaré Estimate for Vector Fields) *For all  $v \in \mathring{\mathbf{H}}(\text{Grad}; \Omega)$*

$$\|v\|_{\mathbf{L}^2(\Omega)} \leq c_p \|\text{Grad } v\|_{\mathbf{L}^2(\Omega)}.$$

**Corollary 8** (Maxwell Estimate for Tensor Fields) *The estimate*

$$\|P\|_{\mathbf{L}^2(\Omega)} \leq c_m \left( \|\text{Curl } P\|_{\mathbf{L}^2(\Omega)}^2 + \|\text{Div } P\|_{\mathbf{L}^2(\Omega)}^2 \right)^{1/2}$$

*holds for all tensor fields  $P \in \mathring{\mathbf{H}}(\text{Curl}; \Omega) \cap \mathbf{H}(\text{Div}; \Omega)$ .*

**Corollary 9** (Helmholtz Decomposition for Tensor Fields)

$$\mathbf{L}^2(\Omega) = \text{Grad } \mathring{\mathbf{H}}(\text{Grad}; \Omega) \oplus \mathbf{H}(\text{Div}_0; \Omega)$$

The last important tool is Korn's first inequality.



**Lemma 10** (Korn's First Inequality) *For all vector fields  $v \in \mathring{\mathbf{H}}(\text{Grad}; \Omega)$*

$$\|\text{Grad } v\|_{\mathbf{L}^2(\Omega)} \leq \sqrt{2} \|\text{sym Grad } v\|_{\mathbf{L}^2(\Omega)}.$$

Here, we introduce the symmetric and skew-symmetric parts

$$\text{sym } P := \frac{1}{2}(P + P^T), \quad \text{skew } P := \frac{1}{2}(P - P^T)$$

of a  $(N \times N)$ -tensor  $P = \text{sym } P + \text{skew } P$ .

**Remark 11** *We note that the proof including the value of the constant is simple. By density we may assume  $v \in \mathring{\mathbf{C}}^\infty(\Omega)$ . Twofold partial integration yields*

$$\langle \partial_n v_m, \partial_m v_n \rangle_{\mathbf{L}^2(\Omega)} = \langle \partial_m v_m, \partial_n v_n \rangle_{\mathbf{L}^2(\Omega)}$$

and hence

$$\begin{aligned} 2 \|\text{sym Grad } v\|_{\mathbf{L}^2(\Omega)}^2 &= \frac{1}{2} \sum_{n,m=1}^N \|\partial_n v_m + \partial_m v_n\|_{\mathbf{L}^2(\Omega)}^2 \\ &= \sum_{n,m=1}^N (\|\partial_n v_m\|_{\mathbf{L}^2(\Omega)}^2 + \langle \partial_n v_m, \partial_m v_n \rangle_{\mathbf{L}^2(\Omega)}) \\ &= \|\text{Grad } v\|_{\mathbf{L}^2(\Omega)}^2 + \|\text{div } v\|_{\mathbf{L}^2(\Omega)}^2 \geq \|\text{Grad } v\|_{\mathbf{L}^2(\Omega)}^2. \end{aligned}$$

More on Korn's first inequality can be found, e.g., in [10].

## 2 Results

For tensor fields  $P \in \mathbf{H}(\text{Curl}; \Omega)$  we define the semi-norm

$$\|P\| := (\|\text{sym } P\|_{\mathbf{L}^2(\Omega)}^2 + \|\text{Curl } P\|_{\mathbf{L}^2(\Omega)}^2)^{1/2}.$$

The main step is to prove the following

**Lemma 12** *Let  $\hat{c} := \max\{2, \sqrt{5}c_m\}$ . Then, for all  $P \in \mathring{\mathbf{H}}(\text{Curl}; \Omega)$*

$$\|P\|_{\mathbf{L}^2(\Omega)} \leq \hat{c} \|P\|.$$

**Proof** Let  $P \in \mathring{\mathbf{H}}(\text{Curl}; \Omega)$ . According to Corollary 9 we orthogonally decompose

$$P = \text{Grad } v + S \in \text{Grad } \mathring{\mathbf{H}}(\text{Grad}; \Omega) \oplus \mathbf{H}(\text{Div}_0; \Omega).$$

Then,  $\text{Curl } P = \text{Curl } S$  and we observe  $S \in \mathring{\mathbf{H}}(\text{Curl}; \Omega) \cap \mathbf{H}(\text{Div}_0; \Omega)$  since

$$\text{Grad } \mathring{\mathbf{H}}(\text{Grad}; \Omega) \subset \mathring{\mathbf{H}}(\text{Curl}_0; \Omega). \quad (2.1)$$

By Corollary 8, we have

$$\|S\|_{\mathbf{L}^2(\Omega)} \leq c_m \|\operatorname{Curl} P\|_{\mathbf{L}^2(\Omega)}. \quad (2.2)$$

Then, by Lemma 10 and (2.2) we obtain

$$\begin{aligned} \|P\|_{\mathbf{L}^2(\Omega)}^2 &= \|\operatorname{Grad} v\|_{\mathbf{L}^2(\Omega)}^2 + \|S\|_{\mathbf{L}^2(\Omega)}^2 \\ &\leq 2 \|\operatorname{sym} \operatorname{Grad} v\|_{\mathbf{L}^2(\Omega)}^2 + \|S\|_{\mathbf{L}^2(\Omega)}^2 \leq 4 \|\operatorname{sym} P\|_{\mathbf{L}^2(\Omega)}^2 + 5 \|S\|_{\mathbf{L}^2(\Omega)}^2, \end{aligned}$$

which completes the proof.  $\square$

The immediate consequence is our main result

**Theorem 13** *On  $\mathring{\mathbf{H}}(\operatorname{Curl}; \Omega)$  the norms  $\|\cdot\|_{\mathbf{H}(\operatorname{Curl}; \Omega)}$  and  $\|\cdot\|$  are equivalent. In particular,  $\|\cdot\|$  is a norm on  $\mathring{\mathbf{H}}(\operatorname{Curl}; \Omega)$  and there exists a positive constant  $c$ , such that*

$$c \|P\|_{\mathbf{H}(\operatorname{Curl}; \Omega)}^2 \leq \|P\|^2 = \|\operatorname{sym} P\|_{\mathbf{L}^2(\Omega)}^2 + \|\operatorname{Curl} P\|_{\mathbf{L}^2(\Omega)}^2$$

holds for all  $P \in \mathring{\mathbf{H}}(\operatorname{Curl}; \Omega)$ .

**Remark 14** *For a skew-symmetric tensor field  $P : \Omega \rightarrow \mathfrak{so}(N)$  our estimate reduces to a Poincaré inequality in disguise, since  $\operatorname{Curl} P$  controls all partial derivatives of  $P$  (compare to [11]) and the homogeneous tangential boundary condition for  $P$  is implied by  $P|_{\Gamma} = 0$ .*

Setting  $P := \operatorname{Grad} v$  we obtain

**Remark 15** (Korn's First Inequality: Tangential-Variant) *For all  $v \in \mathring{\mathbf{H}}(\operatorname{Grad}; \Omega)$*

$$\|\operatorname{Grad} v\|_{\mathbf{L}^2(\Omega)} \leq \hat{c} \|\operatorname{sym} \operatorname{Grad} v\|_{\mathbf{L}^2(\Omega)} \quad (2.3)$$

holds by Lemma 12 and (2.1). This is just Korn's first inequality from Lemma 10 with a larger constant  $\hat{c}$ . Since  $\Gamma$  is connected, i.e.,  $\mathcal{H}^1(\Omega) = \{0\}$ , we even have

$$\operatorname{Grad} \mathring{\mathbf{H}}(\operatorname{Grad}; \Omega) = \mathring{\mathbf{H}}(\operatorname{Curl}_0; \Omega).$$

Thus, (2.3) holds for all  $v \in \mathbf{H}(\operatorname{Grad}; \Omega)$  with  $\operatorname{Grad} v \in \mathring{\mathbf{H}}(\operatorname{Curl}_0; \Omega)$ , i.e., with  $\operatorname{Grad} v_n$ ,  $n = 1, \dots, N$ , normal at  $\Gamma$ , which then extends Lemma 10 through the (apparently) weaker boundary condition.

The elementary arguments above apply certainly to much more general situations, e.g., to not necessarily connected boundaries  $\Gamma$  and to tangential boundary conditions which are imposed only on parts of  $\Gamma$ . These discussions are left to forthcoming papers.

**Acknowledgements** We thank the referee for pointing out a missing assumption in a preliminary version of the paper.

## References

- [1] D.N. Arnold, R.S. Falk, and R. Winther. Finite element exterior calculus, homological techniques, and applications. *Acta Numer.*, 15:1–155, 2006.
- [2] M. Costabel. A remark on the regularity of solutions of Maxwell’s equations on Lipschitz domains. *Math. Methods Appl. Sci.*, 12(4):365–368, 1990.
- [3] K.O. Friedrichs. Differential forms on Riemannian manifolds. *Comm. Pure Appl. Math.*, 8:551–590, 1955.
- [4] R. Hiptmair. Finite elements in computational electromagnetism. *Acta Numer.*, 11:237–339, 2002.
- [5] A.N. Hirani. *Discrete Exterior Calculus*. Dissertation, California Institute of Technology, <http://thesis.library.caltech.edu/1885>, 2003.
- [6] P. Kuhn. *Die Maxwellgleichung mit wechselnden Randbedingungen*. Dissertation, Universität Essen, Fachbereich Mathematik, <http://arxiv.org/abs/1108.2028>, *Shaker*, 1999.
- [7] D. Mitrea and M. Mitrea. Finite energy solutions of Maxwell’s equations and constructive Hodge decompositions on nonsmooth Riemannian manifolds. *Indiana Univ. Math. J.*, 57(5):2061–2095, 2008.
- [8] D. Mitrea, M. Mitrea, and Shaw Mei-Chi. Traces of differential forms on Lipschitz domains, the boundary de Rham complex, and Hodge decompositions. *J. Funct. Anal.*, 190(2):339417, 2002.
- [9] M. Mitrea. Sharp Hodge decompositions, Maxwell’s equations and vector Poisson problems on nonsmooth, three-dimensional Riemannian manifolds. *Duke Math. J.*, 125(3):467–547, 2004.
- [10] P. Neff. On Korn’s first inequality with nonconstant coefficients. *Proc. Roy. Soc. Edinb. A*, 132:221–243, 2002.
- [11] P. Neff and I. Münch. Curl bounds Grad on  $SO(3)$ . *Preprint 2455*, <http://www3.mathematik.tu-darmstadt.de/fb/mathe/bibliothek/preprints.html>, *ESAIM: Control, Optimisation and Calculus of Variations*, DOI 10.1051/cocv:2007050, 14(1):148–159, 2008.
- [12] P. Neff, D. Pauly, and K.-J. Witsch. A canonical extension of Korn’s first inequality to  $H(\text{Curl})$  motivated by gradient plasticity with plastic spin. *submitted*; <http://arxiv.org/abs/1106.4731>; *Preprint SM-E-736*, Universität Duisburg-Essen, *Schriftenreihe der Fakultät für Mathematik*, <http://www.uni-due.de/mathematik/preprints.shtml>, 2011.
- [13] P. Neff, D. Pauly, and K.-J. Witsch. A Korn’s inequality for incompatible tensor fields. *Proceedings in Applied Mathematics and Mechanics (PAMM)*, 2011.

- [14] D. Pauly. Hodge-Helmholtz decompositions of weighted Sobolev spaces in irregular exterior domains with inhomogeneous and anisotropic media. *Math. Methods Appl. Sci.*, 31:1509–1543, 2008.
- [15] R. Picard. Randwertaufgaben der verallgemeinerten Potentialtheorie. *Math. Methods Appl. Sci.*, 3:218–228, 1981.
- [16] R. Picard. An elementary proof for a compact imbedding result in generalized electromagnetic theory. *Math. Z.*, 187:151–164, 1984.
- [17] R. Picard. Some decomposition theorems and their applications to non-linear potential theory and Hodge theory. *Math. Methods Appl. Sci.*, 12:35–53, 1990.
- [18] R. Picard, N. Weck, and K.-J. Witsch. Time-harmonic Maxwell equations in the exterior of perfectly conducting, irregular obstacles. *Analysis (Munich)*, 21:231–263, 2001.
- [19] W. Spröbig. On Helmholtz decompositions and their generalizations - An overview. *Math. Methods Appl. Sci.*, 33:374–383, 2010.
- [20] N. Weck. Maxwell’s boundary value problems on Riemannian manifolds with nonsmooth boundaries. *J. Math. Anal. Appl.*, 46:410–437, 1974.

Patrizio Neff, Dirk Pauly, Karl-Josef Witsch

Universität Duisburg-Essen  
Fakultät für Mathematik  
Campus Essen  
Universitätsstr. 2  
45117 Essen  
Germany

patrizio.neff@uni-due.de  
dirk.pauly@uni-due.de  
kj.witsch@uni-due.de