

# A unified approach for mixed formulations of elliptic problems with applications in structural mechanics

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AANMPDE 12  
July 1 - 5, 2019

# Acknowledgment

Joint work with Wolfgang Krendl, Katharina Rafetseder (Linz)  
Dirk Pauly (Essen)

The research was funded by  
the Austrian Science Fund (FWF): S11702-N23.



Der Wissenschaftsfonds.

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## EXAMPLE: linear elasticity

$$\begin{aligned} -\operatorname{Div} \boldsymbol{\sigma} &= \mathbf{f} \quad \text{and} \quad \boldsymbol{\sigma} = \mathbb{C} \boldsymbol{\varepsilon}(\mathbf{u}) \quad \text{in } \Omega \subset \mathbb{R}^3, \\ \mathbf{u} &= \mathbf{0} \quad \text{on } \Gamma = \partial\Omega \end{aligned}$$

stress tensor  $\boldsymbol{\sigma}$ , displacement  $\mathbf{u}$ , force density  $\mathbf{f}$ .

$$\mathbb{C} \boldsymbol{\varepsilon} = \frac{E}{(1+\nu)(1-2\nu)} \left[ (1-2\nu) \boldsymbol{\varepsilon} + (1+\nu) \operatorname{tr}(\boldsymbol{\varepsilon}) \mathbf{I} \right]$$

Young's modulus  $E$ , Poisson ratio  $\nu$

$$\boldsymbol{\varepsilon}(\mathbf{u}) \equiv \operatorname{sym} \operatorname{Grad} \mathbf{u} \equiv \frac{1}{2} \left( \operatorname{Grad} \mathbf{u} + (\operatorname{Grad} \mathbf{u})^T \right)$$

strain tensor  $\boldsymbol{\varepsilon}(\mathbf{u})$

## primal variational formulation (principle of virtual work):

Find  $\mathbf{u} \in \mathbf{H}_0^1(\Omega)$  such that

$$(\mathbb{C}\boldsymbol{\varepsilon}(\mathbf{u}), \boldsymbol{\varepsilon}(\mathbf{u}))_{\mathcal{L}^2(\Omega)} = (\mathbf{f}, \mathbf{v})_{\mathcal{L}^2(\Omega)} \quad (\equiv F(\mathbf{v})) \quad \forall \mathbf{v} \in \mathbf{H}_0^1(\Omega)$$

stress as a **new variable**

$$\boldsymbol{\sigma} = \mathbb{C}\boldsymbol{\varepsilon}(\mathbf{u}) \in \mathcal{L}^2(\Omega, \mathbb{S})$$

## equivalent mixed formulation (Hellinger-Reissner principle):

Find  $\boldsymbol{\sigma} \in \mathcal{L}^2(\Omega, \mathbb{S})$  and  $\mathbf{u} \in \mathbf{H}_0^1(\Omega)$  such that

$$\begin{aligned} (\mathbb{C}^{-1}\boldsymbol{\sigma}, \boldsymbol{\tau})_{\mathcal{L}^2(\Omega)} - (\boldsymbol{\varepsilon}(\mathbf{u}), \boldsymbol{\tau})_{\mathcal{L}^2(\Omega)} &= 0 & \forall \boldsymbol{\tau} \in \mathcal{L}^2(\Omega, \mathbb{S}), \\ -(\boldsymbol{\sigma}, \boldsymbol{\varepsilon}(\mathbf{v}))_{\mathcal{L}^2(\Omega)} &= -F(\mathbf{v}) & \forall \mathbf{v} \in \mathbf{H}_0^1(\Omega). \end{aligned}$$

## original mixed formulation:

Find  $\sigma \in \mathcal{L}^2(\Omega, \mathbb{S})$  and  $\mathbf{u} \in \mathbf{H}_0^1(\Omega)$  such that

$$\begin{aligned}(\mathbb{C}^{-1}\sigma, \tau)_{\mathcal{L}^2(\Omega)} - (\text{Grad } \mathbf{u}, \tau)_{\mathcal{L}^2(\Omega)} &= 0 & \forall \tau \in \mathcal{L}^2(\Omega, \mathbb{S}), \\ - (\text{Grad } \mathbf{v}, \sigma)_{\mathcal{L}^2(\Omega)} &= -F(\mathbf{v}) & \forall \mathbf{v} \in \mathbf{H}_0^1(\Omega).\end{aligned}$$

## new mixed formulation:

Find  $\sigma \in \mathcal{H}(\text{Div}, \Omega, \mathbb{S})$  and  $\mathbf{u} \in \mathbf{L}^2(\Omega)$  such that

$$\begin{aligned}(\mathbb{C}^{-1}\sigma, \tau)_{\mathcal{L}^2(\Omega)} + (\text{Div } \tau, \mathbf{u})_{\mathbf{L}^2(\Omega)} &= 0 & \forall \tau \in \mathcal{H}(\text{Div}, \Omega, \mathbb{S}), \\ (\text{Div } \sigma, \mathbf{v})_{\mathbf{L}^2(\Omega)} &= -F(\mathbf{v}) & \forall \mathbf{v} \in \mathbf{L}^2(\Omega).\end{aligned}$$

transition from the first to the second mixed problem:

$$-(\text{Grad } \mathbf{v}, \boldsymbol{\tau})_{\mathcal{L}^2(\Omega)} = (\text{Div } \boldsymbol{\tau}, \mathbf{v})_{\mathbf{L}^2(\Omega)} \quad \text{for all } \boldsymbol{\tau} \in \mathcal{H}(\text{Div}, \Omega), \mathbf{v} \in \mathbf{H}_0^1(\Omega).$$

The associated linear operators

$$\langle B \mathbf{v}, \boldsymbol{\tau} \rangle = -(\text{Grad } \mathbf{v}, \boldsymbol{\tau})_{\mathcal{L}^2(\Omega)} \quad \text{and} \quad \langle B^* \boldsymbol{\tau}, \mathbf{v} \rangle = (\text{Div } \boldsymbol{\tau}, \mathbf{v})_{\mathbf{L}^2(\Omega)}.$$

$B$  is considered as a densely defined linear operators:

$$B: \mathbf{H}_0^1(\Omega) = \text{dom}(B) \subset \mathbf{L}^2(\Omega) \longrightarrow [\mathcal{L}^2(\Omega, \mathbb{S})]^*.$$

Then  $B^*$  is its adjoint

$$B^*: \text{dom}(B^*) = \mathcal{H}(\text{Div}, \Omega, \mathbb{S}) \subset \mathcal{L}^2(\Omega, \mathbb{S}) \longrightarrow [\mathbf{L}^2(\Omega)]^*$$

Note that  $B$  and  $B^*$  are unbounded operators.

# The abstract framework

Let  $X$  and  $Y$  be two Hilbert spaces and let

$$T: \text{dom}(T) \subset X \longrightarrow Y^*$$

be a linear operator, whose **domain of definition**

$$\text{dom}(T) \text{ is dense in } X.$$

$T$  is called a **densely defined** linear operator.

The **domain of definition**  $\text{dom}(T^*)$  **of the adjoint operator**

$$T^*: \text{dom}(T^*) \subset Y \longrightarrow X^*$$

is the **maximal subspace** of  $Y$ , where the identity

$$\langle T^*y, x \rangle = \langle Tx, y \rangle \quad \text{for all } x \in \text{dom}(T), y \in \text{dom}(T^*).$$

makes sense. More directly,

$$\text{dom}(T^*) = \{y \in Y: |\langle Tx, y \rangle| \lesssim \|x\|_X \text{ for all } x \in \text{dom}(T)\}.$$



# The abstract framework

- The adjoint  $T^*$  of a densely defined linear operator is **well-defined**.
- The adjoint operator  $T^*$  is **closed**, i.e.,
  - the graph of  $T^*$  is a closed subset of  $Y \times X^*$ ,  
or, equivalently,
  - $\text{dom}(T^*)$  is a **Hilbert space w.r.t. the graph norm**  $\|\cdot\|_{T^*}$ , given by

$$\|y\|_{T^*}^2 = \|y\|_Y^2 + \|T^*y\|_{X^*}^2.$$

# The abstract framework

**Saddle point problem:** Find  $u \in V$  and  $p \in \text{dom}(B)$  such that

$$\begin{aligned} a(u, v) + \langle Bp, v \rangle &= \langle f, v \rangle \quad \text{for all } v \in V \\ \langle Bq, u \rangle - c(p, q) &= \langle g, q \rangle \quad \text{for all } q \in \text{dom}(B), \end{aligned}$$

with a densely defined linear operator

$$B: \text{dom}(B) \subset Q \longrightarrow V^*$$

## Assumptions:

- ①  $(V, \|\cdot\|_V)$ ,  $(\text{dom}(B), \|\cdot\|_{\text{dom}(B)})$ ,  $(Q, \|\cdot\|_Q)$  are Hilbert spaces with

$$\|q\|_Q \leq c_B \|q\|_{\text{dom}(B)} \quad \text{for all } q \in \text{dom}(B)$$

for some constant  $c_B$ ;

- ②  $f \in V^*$ ,  $g \in Q^*$ ;
- ③ the bilinear form  $a$  is symmetric, non-negative, and bounded on  $V$ ;
- ④ the bilinear form  $c$  is symmetric, non-negative, and bounded on  $Q$ .

# The abstract framework

## first saddle point problem:

Find  $u \in V$  and  $p \in \text{dom}(B)$  such that

$$a(u, v) + \langle Bp, v \rangle = \langle f, v \rangle \quad \text{for all } v \in V$$

$$\langle Bq, u \rangle - c(p, q) = \langle g, q \rangle \quad \text{for all } q \in \text{dom}(B),$$

with a densely defined linear operator

$$B: \text{dom}(B) \subset Q \longrightarrow V^*$$

## second saddle point problem:

Find  $u \in \text{dom}(B^*)$  and  $p \in Q$  such that

$$a(u, v) + \langle B^* v, p \rangle = \langle f, v \rangle \quad \text{for all } v \in \text{dom}(B^*)$$

$$\langle B^* u, q \rangle - c(p, q) = \langle g, q \rangle \quad \text{for all } q \in Q,$$

with the adjoint

$$B^*: \text{dom}(B^*) \subset V \longrightarrow Q^*$$

# The abstract framework

**associated linear operators:**

$$\mathcal{A}_1: X_1 \longrightarrow X_1^*, \quad X_1 = V \times \text{dom}(B)$$

with

$$\left\langle \mathcal{A}_1 \begin{bmatrix} u \\ p \end{bmatrix}, \begin{bmatrix} v \\ q \end{bmatrix} \right\rangle = a(u, v) + \langle Bp, v \rangle + \langle Bq, u \rangle - c(p, q)$$

and

$$\mathcal{A}_2: X_2 \longrightarrow X_2^*, \quad X_2 = \text{dom}(B^*) \times Q$$

with

$$\left\langle \mathcal{A}_2 \begin{bmatrix} u \\ p \end{bmatrix}, \begin{bmatrix} v \\ q \end{bmatrix} \right\rangle = a(u, v) + \langle B^* v, p \rangle + \langle B^* u, q \rangle - c(p, q)$$

# The abstract framework

## Lemma

Let  $(u, p) \in V \times \text{dom}(B)$  be a **solution to the first saddle point problem**. Then  $u \in \text{dom}(B^*)$  and  $(u, p)$  is also a **solution to the second saddle point problem**.

## Theorem

If the linear operator  $\mathcal{A}_1$  is an **isomorphism** from  $X_1 = V \times \text{dom}(B)$  to its dual, then the linear operator  $\mathcal{A}_2$  is an **isomorphism** from  $X_2 = \text{dom}(B^*) \times V$  to its dual and

$$\|\mathcal{A}_2\|_{L(X_2, X_2^*)} \leq \bar{c}, \quad \|\mathcal{A}_2^{-1}\|_{L(X_2^*, X_2)} \leq \frac{1}{\underline{c}}$$

with **constants**  $\underline{c}, \bar{c}$  that depend only on  $\|\mathcal{A}_1\|_{L(X_1, X_1^*)}$ ,  $\|\mathcal{A}_1^{-1}\|_{L(X_1^*, X_1)}$ ,  $c_B$ , and  $\|c\|_Q$ .

# The abstract framework

## EXAMPLE: Hellinger-Reissner principle (revisited):

Find  $\sigma \in \mathcal{L}^2(\Omega, \mathbb{S})$  and  $\mathbf{u} \in \mathbf{H}_0^1(\Omega)$  such that

$$\begin{aligned} (\mathbb{C}^{-1}\sigma, \tau)_{\mathcal{L}^2(\Omega)} - (\text{Grad } \mathbf{u}, \tau)_{\mathcal{L}^2(\Omega)} &= 0 & \forall \tau \in \mathcal{L}^2(\Omega, \mathbb{S}), \\ -(\text{Grad } \mathbf{v}, \sigma)_{\mathcal{L}^2(\Omega)} &= -F(\mathbf{v}) & \forall \mathbf{v} \in \mathbf{H}_0^1(\Omega). \end{aligned}$$

associated linear operator  $B$ :

$$\langle B\mathbf{v}, \tau \rangle = -(\text{Grad } \mathbf{v}, \tau)_{\mathcal{L}^2(\Omega)}$$

as a densely defined linear operator

$$B: \mathbf{H}_0^1(\Omega) \subset \mathbf{L}^2(\Omega) \longrightarrow [\mathcal{L}^2(\Omega, \mathbb{S})]^* \quad \checkmark$$

$$B: \mathbf{H}_0^1(\Omega) \subset \mathbf{H}_0(\text{curl}, \Omega) \longrightarrow [\mathcal{L}^2(\Omega, \mathbb{S})]^*$$

$$B: \mathbf{H}_0^1(\Omega) \subset \mathbf{H}_0(\text{div}, \Omega) \longrightarrow [\mathcal{L}^2(\Omega, \mathbb{S})]^*$$

# The abstract framework

## second option

$$B: \mathbf{H}_0^1(\Omega) \subset \mathbf{H}_0(\text{curl}, \Omega) \longrightarrow [\mathcal{L}^2(\Omega, \mathbb{S})]^*, \quad \langle B\mathbf{v}, \tau \rangle = -(\text{Grad } \mathbf{v}, \tau)_{\mathcal{L}^2(\Omega)}$$

Then

$$\begin{aligned} \text{dom}(B^*) &= \left\{ \tau \in \mathcal{L}^2(\Omega, \mathbb{S}) : \left| (\tau, \text{Grad } \mathbf{v})_{\mathcal{L}^2(\Omega)} \right| \lesssim \|\mathbf{v}\|_{\mathbf{H}(\text{curl}, \Omega)}, \forall \mathbf{v} \in \mathbf{H}_0^1(\Omega) \right\} \\ &= \left\{ \tau \in \mathcal{L}^2(\Omega, \mathbb{S}) : \left| (\tau, \nabla^2 \varphi)_{\mathcal{L}^2(\Omega)} \right| \lesssim \|\varphi\|_{H^1(\Omega)} \forall \varphi \in H_0^2(\Omega) \right\} \\ &= \left\{ \tau \in \mathcal{L}^2(\Omega, \mathbb{S}) : \text{divDiv } \tau \in H^{-1}(\Omega) \right\} \equiv \Sigma, \quad B^* \equiv \text{Div}_\Sigma \end{aligned}$$

new mixed formulation:

Find  $\sigma \in \Sigma$  and  $\mathbf{u} \in \mathbf{H}_0(\text{curl}, \Omega)$  such that

$$\begin{aligned} (\mathbb{C}^{-1}\sigma, \tau)_{\mathcal{L}^2(\Omega)} + \langle \text{Div}_\Sigma \tau, \mathbf{u} \rangle &= 0 \quad \forall \tau \in \Sigma, \\ \langle \text{Div}_\Sigma \sigma, \mathbf{v} \rangle &= -F(\mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_0(\text{curl}, \Omega) \end{aligned}$$

$\Sigma = \underline{H}(\text{divdiv})$ : Pechstein/Schöberl (2011,2018).

# The abstract framework

## third option

$$B: \mathbf{H}_0^1(\Omega) \subset \mathbf{H}_0(\operatorname{div}, \Omega) \longrightarrow [\mathcal{L}^2(\Omega)]^*, \quad \langle B\mathbf{v}, \tau \rangle = -(\operatorname{Grad} \mathbf{v}, \tau)_{\mathcal{L}^2(\Omega)}$$

Then

$$\begin{aligned} \operatorname{dom}(B^*) &= \left\{ \tau \in \mathcal{L}^2(\Omega, \mathbb{S}) : \left| (\tau, \operatorname{Grad} \mathbf{v})_{\mathcal{L}^2(\Omega)} \right| \lesssim \|\mathbf{v}\|_{\mathbf{H}(\operatorname{div}, \Omega)} \quad \forall \mathbf{v} \in \mathbf{H}_0^1(\Omega) \right\} \\ &= \left\{ \tau \in \mathcal{L}^2(\Omega, \mathbb{S}) : \left| (\tau, \operatorname{Grad}(\operatorname{curl} \phi))_{\mathcal{L}^2(\Omega)} \right| \lesssim \|\phi\|_{\mathbf{H}^1(\Omega)} \quad \forall \phi \in \mathbf{H}_0^1(\operatorname{curl}, \Omega) \right\} \\ &= \left\{ \tau \in \mathcal{L}^2(\Omega, \mathbb{S}) : \operatorname{curl} \operatorname{Div} \tau \in \mathbf{H}^{-1}(\Omega) \right\} \equiv \tilde{\Sigma}, \quad B^* \equiv \operatorname{Div}_{\tilde{\Sigma}} \end{aligned}$$

new mixed formulation:

Find  $\sigma \in \tilde{\Sigma}$  and  $\mathbf{u} \in \mathbf{H}_0(\operatorname{div}, \Omega)$  such that

$$\begin{aligned} (\mathbb{C}^{-1} \sigma, \tau)_{\mathcal{L}^2(\Omega)} + \langle \operatorname{Div}_{\tilde{\Sigma}} \tau, \mathbf{u} \rangle &= 0 \quad \forall \tau \in \tilde{\Sigma}, \\ \langle \operatorname{Div}_{\tilde{\Sigma}} \sigma, \mathbf{v} \rangle &= -F(\mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_0(\operatorname{div}, \Omega). \end{aligned}$$

$\tilde{\Sigma} = \underline{\underline{H}}(\operatorname{curl} \operatorname{div})$ : Gopalakrishnan/Lederer/Schöberl (2018,2019)



# The Reissner-Mindlin plate bending model

We consider a plate with **mid-surface**  $\Omega \subset \mathbb{R}^2$  and **thickness**  $t$ .

The **Reissner-Mindlin model** for a clamped plate:

Find  $(u, \theta) \in H_0^1(\Omega) \times \mathbf{H}_0^1(\Omega)$  such that

$$(\mathbb{D} \varepsilon(\theta), \varepsilon(\eta))_{\mathcal{L}^2(\Omega)} + t^{-2} (\mathbf{G}(\text{grad } u - \theta), \text{grad } v - \eta)_{\mathbf{L}^2(\Omega)} = F(v, \eta)$$

for all  $(v, \eta) \in H_0^1(\Omega) \times \mathbf{H}_0^1(\Omega)$ .

$u$  **deflection**,  $\theta$  **rotation of normals** to the mid-surface,  $F(v, \eta)$  **load**,

$$\mathbb{D} \varepsilon = \frac{E}{12(1-\nu^2)} [(1-\nu)\varepsilon + \nu \text{tr}(\varepsilon) \mathbf{I}], \quad \mathbf{G} = \frac{k_s E}{2(1+\nu)} \mathbf{I}.$$

**Kirchhoff's model** for a clamped plate:  $\theta = \text{grad } u$ .

Find  $u \in H_0^2(\Omega)$  such that

$$(\mathbb{D} \nabla^2 u, \nabla^2 v)_{\mathcal{L}^2(\Omega)} = F(v, \text{grad } v) \quad \forall v \in H_0^2(\Omega)$$

# The Reissner-Mindlin plate bending model

Standard finite element methods suffer from **locking effects**.

**One possible remedy:** replace  $\theta$  by the **shear strain vector**

$$\gamma = t^{-1}(\text{grad } u - \theta).$$

or, equivalently,

$$\theta = \text{grad } u - t \gamma.$$

**Problem:** appropriate function space for  $\gamma$  resp.  $(u, \gamma)$

Beirão da Veiga et.al. (2015):  $(u, \gamma) \in \mathcal{X}$  with

$$\mathbf{H}_0^2(\Omega) \times \mathbf{H}_0^1(\Omega) \subset \mathcal{X} \subset \mathbf{H}_0^1(\Omega) \times \mathbf{L}^2(\Omega)$$

We present here a **different approach** with

$$\theta \in \mathbf{H}_0(\text{rot}, \Omega), \quad \text{then} \quad \gamma \in \mathbf{H}_0(\text{rot}, \Omega),$$

where

$$\text{rot } \phi = \partial_1 \phi_2 - \partial_2 \phi_1.$$

# The Reissner-Mindlin plate bending model

bending moment tensor (scaled by  $t^{-3}$ ) as a **new variable**

$$\mathbf{M} = -\mathbb{D} \varepsilon(\theta),$$

**equivalent mixed formulation:**

Find  $(\mathbf{M}, \theta, u) \in \mathcal{L}^2(\Omega, \mathbb{S}) \times \mathbf{H}_0^1(\Omega) \times H_0^1(\Omega)$  such that

$$\begin{aligned} (\mathbb{D}^{-1} \mathbf{M}, \mathbf{K})_{\mathcal{L}^2(\Omega)} + (\varepsilon(\theta), \mathbf{K})_{\mathcal{L}^2(\Omega)} &= 0, \\ (\mathbf{M}, \varepsilon(\eta))_{\mathcal{L}^2(\Omega)} - t^{-2} (\mathbf{G}(\text{grad } u - \theta), \text{grad } v - \eta)_{\mathbf{L}^2(\Omega)} &= -F(v, \eta) \end{aligned}$$

for all  $(\mathbf{K}, \eta, v) \in \mathcal{L}^2(\Omega, \mathbb{S}) \times \mathbf{H}_0^1(\Omega) \times H_0^1(\Omega)$ .

this first mixed formulation is well-posed with respect to the norm

$$\|(\mathbf{M}, \theta, u)\| = \left[ \|\mathbf{M}\|_{\mathcal{L}^2(\Omega)}^2 + \|u\|_{H^1(\Omega)}^2 + \|\theta\|_{\mathbf{H}^1(\Omega)}^2 + t^{-2} \|\theta - \text{grad } u\|_{\mathbf{L}^2(\Omega)}^2 \right]^{1/2}$$

with uniform bounds independent of  $t$ .

# The Reissner-Mindlin plate bending model

associated linear operator  $B$ :

$$B: \mathbf{H}_0^1(\Omega) \subset \mathbf{H}_0(\text{rot}, \Omega) \longrightarrow [\mathcal{L}^2(\Omega, \mathbb{S})]^*, \quad \langle B\eta, \mathbf{K} \rangle = (\text{Grad } \eta, \mathbf{K})_{\mathcal{L}^2(\Omega)}$$

adjoint operator:

$$B^*: \text{dom}(B^*) \subset \mathcal{L}^2(\Omega, \mathbb{S}) \longrightarrow [\mathbf{H}_0(\text{rot}, \Omega)]^*$$

with

$$\text{dom}(B^*) = \left\{ \mathbf{K} \in \mathcal{L}^2(\Omega, \mathbb{S}) : \text{divDiv}_{\mathcal{M}} \mathbf{K} \in H^{-1}(\Omega) \right\} \equiv \mathcal{M}, \quad B^* \equiv -\text{Div}_{\mathcal{M}}$$

second mixed formulation:

Find  $(\mathbf{M}, \theta, u) \in \mathcal{M} \times \mathbf{H}_0(\text{rot}, \Omega) \times H_0^1(\Omega)$  such that

$$\begin{aligned} (\mathbb{D}^{-1} \mathbf{M}, \mathbf{K})_{\mathcal{L}^2(\Omega)} - \langle \text{Div}_{\mathcal{M}} \mathbf{K}, \theta \rangle &= 0, \\ - \langle \text{Div}_{\mathcal{M}} \mathbf{M}, \mathbf{v} \rangle - t^{-2} (\mathbf{G}(\text{grad } u - \theta), \text{grad } \mathbf{v} - \eta)_{\mathcal{L}^2(\Omega)} &= -F(\mathbf{v}, \eta) \end{aligned}$$

for all  $(\mathbf{K}, \eta, \mathbf{v}) \in \mathcal{M} \times \mathbf{H}_0(\text{rot}, \Omega) \times H_0^1(\Omega)$ .

[Pechstein/Schöberl \(2017\)](#)

# The Reissner-Mindlin plate bending model

**change of variables:**

$$\theta = \text{grad } u - t \gamma \quad \text{with} \quad u \in H_0^1(\Omega), \quad \gamma \in \mathbf{H}_0(\text{rot}, \Omega)$$

**decomposition of the bending moment:**

$$\mathbf{M} = p \mathbf{I} + \mathbf{M}_0 \quad \text{with} \quad p \in H_0^1(\Omega), \quad \text{divDiv}_{\mathcal{M}} \mathbf{M}_0 = 0$$

$\Omega$  is simply connected:

$$\mathbf{M}_0 = \text{symCurl } \phi, \quad \phi \in \mathbf{H}^1(\Omega) \quad \text{with} \quad \text{Curl } \phi = \begin{bmatrix} \partial_2 \phi_1 & -\partial_1 \phi_1 \\ \partial_2 \phi_2 & -\partial_1 \phi_2 \end{bmatrix}$$

Hence

$$\mathbf{M} = p \mathbf{I} + \text{symCurl } \phi \quad \text{with} \quad p \in H_0^1(\Omega), \quad \phi \in \mathbf{H}^1(\Omega)$$

# The Reissner-Mindlin plate bending model

Find  $(\mathbf{M}, \theta, u) \in \mathcal{M} \times \mathbf{H}_0(\text{rot}, \Omega) \times H_0^1(\Omega)$  such that

$$\begin{aligned} (\mathbb{D}^{-1} \mathbf{M}, \mathbf{K})_{\mathcal{L}^2(\Omega)} - \langle \text{Div}_{\mathcal{M}} \mathbf{K}, \theta \rangle &= 0, \\ - \langle \text{Div}_{\mathcal{M}} \mathbf{M}, \eta \rangle - t^{-2} (\mathbf{G}(\text{grad } u - \theta), (\text{grad } v - \eta))_{\mathbf{L}^2(\Omega)} &= -F(v, \eta) \end{aligned}$$

for all  $(\mathbf{K}, \eta, v) \in \mathcal{M} \times \mathbf{H}_0(\text{rot}, \Omega) \times H_0^1(\Omega)$ .

Find  $(p, \phi, \gamma, u) \in H_0^1(\Omega) \times \mathbf{H}^1(\Omega) \times \mathbf{H}_0(\text{rot}, \Omega) \times H_0^1(\Omega)$  such that

$$\begin{aligned} (\mathbb{D}^{-1}(p\mathbf{I} + \text{symCurl } \phi), (q\mathbf{I}))_{\mathcal{L}^2(\Omega)} - (\text{grad } q, (\text{grad } u - t\gamma))_{\mathbf{L}^2(\Omega)} &= 0, \\ (\mathbb{D}^{-1}(p\mathbf{I} + \text{symCurl } \phi), (\text{symCurl } \psi))_{\mathcal{L}^2(\Omega)} + \frac{t}{2} (\text{div } \psi, \text{rot } \gamma)_{\mathbf{L}^2(\Omega)} &= 0, \\ t (\text{grad } p, \zeta)_{\mathbf{L}^2(\Omega)} + \frac{t}{2} (\text{div } \phi, \text{rot } \zeta)_{\mathbf{L}^2(\Omega)} - (\mathbf{G}\gamma, \zeta)_{\mathbf{L}^2(\Omega)} &= tF(0, \zeta) \\ - (\text{grad } p, \text{grad } v)_{\mathbf{L}^2(\Omega)} &= -F(v, \text{grad } v) \end{aligned}$$

for all  $(q, \psi, \zeta, v) \in H_0^1(\Omega) \times \mathbf{H}^1(\Omega) \times \mathbf{H}_0(\text{rot}, \Omega) \times H_0^1(\Omega)$ .

# The Reissner-Mindlin plate bending model

three (consecutively to solve) second-order problems:

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Find  $p \in H_0^1(\Omega)$  such that

$$(\operatorname{grad} p, \operatorname{grad} v)_{L^2(\Omega)} = F(v, \operatorname{grad} v) \quad \forall v \in H_0^1(\Omega).$$

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Find  $(\phi, \gamma) \in \mathbf{H}^1(\Omega) \times \mathbf{H}_0(\operatorname{rot}, \Omega)$  such that

$$\begin{aligned} (\mathbb{D}^{-1} \operatorname{symCurl} \phi, \operatorname{symCurl} \psi)_{L^2(\Omega)} + \frac{t}{2} (\operatorname{div} \psi, \operatorname{rot} \gamma)_{L^2(\Omega)} &= -(p, \operatorname{tr}(\mathbb{D}^{-1} \operatorname{symCurl} \psi))_{L^2(\Omega)}, \\ \frac{t}{2} (\operatorname{div} \phi, \operatorname{rot} \zeta)_{L^2(\Omega)} - (\mathbf{G} \gamma, \zeta)_{L^2(\Omega)} &= t F(0, \zeta) - t (\operatorname{grad} p, \zeta)_{L^2(\Omega)} \end{aligned}$$

for all  $(\psi, \zeta) \in \mathbf{H}^1(\Omega) \times \mathbf{H}_0(\operatorname{rot}, \Omega)$ .

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Find  $u \in H_0^1(\Omega)$  such that

$$(\operatorname{grad} u, \operatorname{grad} q)_{L^2(\Omega)} = \int_{\Omega} \left[ \operatorname{tr}(\mathbb{D}^{-1}(p\mathbf{I} + \operatorname{symCurl} \phi)) q + t \gamma \cdot \operatorname{grad} q \right] dx \quad \forall q \in H_0^1(\Omega).$$

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Amara et.al. (2002), Gallistl (2017), Krendl/Rafetseder/Z. (2016), Rafetseder/Z. (2018)

# The Reissner-Mindlin plate bending model

Find  $(\phi, \gamma) \in \mathbf{H}^1(\Omega) \times \mathbf{H}_0(\text{rot}, \Omega)$  such that

$$\begin{aligned} (\mathbb{D}^{-1} \text{symCurl } \phi, \text{symCurl } \psi)_{\mathcal{L}^2(\Omega)} + \frac{t}{2} (\text{div } \psi, \text{rot } \gamma)_{L^2(\Omega)} &= -(\rho, \text{tr}(\mathbb{D}^{-1} \text{symCurl } \psi))_{L^2(\Omega)}, \\ \frac{t}{2} (\text{div } \phi, \text{rot } \zeta)_{L^2(\Omega)} - (\mathbf{G} \gamma, \zeta)_{L^2(\Omega)} &= t F(0, \zeta) - t (\text{grad } \rho, \zeta)_{L^2(\Omega)} \end{aligned}$$

for all  $(\psi, \zeta) \in \mathbf{H}^1(\Omega) \times \mathbf{H}_0(\text{rot}, \Omega)$ .

**locking-free finite element spaces:**

$$\phi_h \subset \Phi_h \subset \mathbf{H}_0^1(\Omega), \quad \gamma_h \subset \Gamma_h \subset \mathbf{H}_0(\text{rot}, \Omega)$$

with

$$\text{rot } \Gamma_h \subset \text{div } \Phi_h$$



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