

FA-Toolbox: On some Hilbert complexes,  
related compact embeddings,  
... and more

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AANMPDE 12

Bürglstein, July 5, 2019



# classical de Rham complex in 3D ( $\nabla$ -rot-div-complex)

$\Omega \subset \mathbb{R}^3$  bounded weak Lipschitz domain,  $\partial\Omega = \Gamma = \overline{\Gamma_t \dot{\cup} \Gamma_n}$

(electro-magnetics, Maxwell's equations)

$$\{0\} \begin{array}{c} \xleftrightarrow{\mathcal{L}\{0\}} \\ \xleftrightarrow{\pi\{0\}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\nabla} \\ \xleftrightarrow{-\operatorname{div}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\operatorname{rot}} \\ \xleftrightarrow{\operatorname{rot}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\operatorname{div}} \\ \xleftrightarrow{-\nabla} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\pi_{\mathbb{R}}} \\ \xleftrightarrow{\mathcal{L}_{\mathbb{R}}} \end{array} \mathbb{R}$$

mixed boundary conditions and inhomogeneous and anisotropic media

$$\{0\} \text{ or } \mathbb{R} \begin{array}{c} \xleftrightarrow{\mathcal{L}} \\ \xleftrightarrow{\pi} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\nabla_{\Gamma_t}} \\ \xleftrightarrow{-\operatorname{div}_{\Gamma_n} \varepsilon} \end{array} L^2_{\varepsilon} \begin{array}{c} \xleftrightarrow{\mu^{-1} \operatorname{rot}_{\Gamma_t}} \\ \xleftrightarrow{\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}} \end{array} L^2_{\mu} \begin{array}{c} \xleftrightarrow{\operatorname{div}_{\Gamma_t} \mu} \\ \xleftrightarrow{-\nabla_{\Gamma_n}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\pi} \\ \xleftrightarrow{\mathcal{L}} \end{array} \mathbb{R} \text{ or } \{0\}$$

for this talk:  $\varepsilon = \mu = 1$  (= id) and no mixed boundary conditions  
for all appearing complexes



# de Rham complex in ND or on Riemannian manifolds (d-complex)

$\Omega \subset \mathbb{R}^N$  bd w. Lip. dom. or  $\Omega$  Riemannian manifold with cpt cl. and Lip. boundary  $\Gamma$   
 (generalized Maxwell equations the mother of all complexes )

$$\{0\} \begin{array}{c} \xrightarrow{\iota_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{array} L^{2,0} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,1} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} \dots \boxed{L^{2,q} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,q+1}} \dots L^{2,N-1} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,N} \begin{array}{c} \xrightarrow{\pi_{\mathbb{R}}} \\ \xleftarrow{\iota_{\mathbb{R}}} \end{array} \mathbb{R}$$



## elasticity complex in 3D (sym $\nabla$ -Rot Rot $_{\mathbb{S}}^T$ -Div $_{\mathbb{S}}$ -complex)

$\Omega \subset \mathbb{R}^3$  bounded strong Lipschitz domain

$$\begin{array}{ccccccc}
 \{0\} & \begin{array}{c} \iota_{\{0\}} \\ \rightleftharpoons \\ \pi_{\{0\}} \end{array} & L^2 & \begin{array}{c} \mathop{\text{sym}} \nabla \\ \rightleftharpoons \\ -\text{Div}_{\mathbb{S}} \end{array} & L^2_{\mathbb{S}} & \begin{array}{c} \mathop{\text{Rot}} \mathop{\text{Rot}}_{\mathbb{S}}^T \\ \rightleftharpoons \\ \mathop{\text{Rot}} \mathop{\text{Rot}}_{\mathbb{S}}^T \end{array} & L^2_{\mathbb{S}} & \begin{array}{c} \mathop{\text{Div}}_{\mathbb{S}} \\ \rightleftharpoons \\ -\mathop{\text{sym}} \nabla \end{array} & L^2 & \begin{array}{c} \pi_{\text{RM}} \\ \rightleftharpoons \\ \iota_{\text{RM}} \end{array} & \text{RM}
 \end{array}$$



# biharmonic / general relativity complex in 3D ( $\nabla\nabla$ -Rot<sub>S</sub>-Div<sub>T</sub>-complex)

$\Omega \subset \mathbb{R}^3$  bounded strong Lipschitz domain

$$\{0\} \begin{array}{c} \hookrightarrow \\ \leftarrow \\ \pi_{\{0\}} \end{array} L^2 \begin{array}{c} \nabla\nabla \\ \leftarrow \\ \operatorname{div} \operatorname{Div}_S \end{array} L^2_S \begin{array}{c} \operatorname{Rot}_S \\ \leftarrow \\ \operatorname{sym} \operatorname{Rot}_T \end{array} L^2_T \begin{array}{c} \operatorname{Div}_T \\ \leftarrow \\ -\operatorname{dev} \nabla \end{array} L^2 \begin{array}{c} \pi_{RT} \\ \leftarrow \\ \iota_{RT} \end{array} RT$$



# general complex

$$\begin{aligned}
 A_0 : D(A_0) \subset H_0 &\rightarrow H_1, & A_1 : D(A_1) \subset H_1 &\rightarrow H_2 \\
 A_0^* : D(A_0^*) \subset H_1 &\rightarrow H_0, & A_1^* : D(A_1^*) \subset H_2 &\rightarrow H_1
 \end{aligned}
 \tag{lddc}$$

general complex property  $A_1 A_0 = 0$ ,

i.e.,  $R(A_0) \subset N(A_1)$  and/or eq  $R(A_1^*) \subset N(A_0^*)$

$$\dots \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} H_0 \begin{array}{c} A_0 \\ \rightleftarrows \\ A_0^* \end{array} H_1 \begin{array}{c} A_1 \\ \rightleftarrows \\ A_1^* \end{array} H_2 \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \dots$$



# general observations

$$Ax = f$$

## general theory

- solution theory
- Friedrichs/Poincaré estimates and constants
- Helmholtz/Hodge/Weyl decompositions
- compact embeddings
- continuous and compact inverse operators
- closed ranges
- variational formulations
- functional a posteriori error estimates
- generalized div-curl-lemma
- ...

idea: solve problem with general and simple linear functional analysis

⇒ functional analysis toolbox (fa-toolbox) ...



# general observations

$$Ax = f$$

let's say  $A : D(A) \subset H_0 \rightarrow H_1$  linear and  $H_0, H_1$  Hilbert spaces

question: How to solve?





# general observations

$$Ax = f$$

$A : D(A) \subset H_0 \rightarrow H_1$  linear

solution theory in the sense of Hadamard

- existence  $\Leftrightarrow f \in R(A)$
- uniqueness  $\Leftrightarrow A$  inj  $\Leftrightarrow N(A) = \{0\} \Leftrightarrow A^{-1}$  exists
- cont dep on  $f$   $\Leftrightarrow A^{-1}$  cont

$\Rightarrow x = A^{-1}f \in D(A)$  and cont estimate (Friedrichs/Poincaré type estimate)

$$|x|_{H_0} = |A^{-1}f|_{H_0} \leq c_A |f|_{H_1} = c_A |Ax|_{H_1}$$

$\Rightarrow$  best constant  $c_A = |A^{-1}|_{R(A), H_0} \quad |A^{-1}|_{R(A), D(A)} = (c_A^2 + 1)^{1/2}$



# general observations

$$A : D(A) \subset H_0 \rightarrow H_1$$

$$A^* : D(A^*) \subset H_1 \rightarrow H_0 \text{ Hilbert space adjoint}$$

Helmholtz/Hodge/Weyl decompositions (projection theorem)

$$H_1 = \overline{R(A)} \oplus N(A^*) \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

$$Ax = f$$

solution theory in the sense of Hadamard

- existence  $\Leftrightarrow f \in R(A) = N(A^*)^\perp$
- uniqueness  $\Leftrightarrow A \text{ inj} \Leftrightarrow N(A) = \{0\} \Leftrightarrow A^{-1} \text{ exists}$
- cont dep on  $f \Leftrightarrow A^{-1} \text{ cont} \Leftrightarrow R(A) \text{ cl} \quad (\text{cl range theo})$

fund range cond:  $R(A) = \overline{R(A)}$  closed (must hold  $\rightsquigarrow$  right setting!)

kernel cond:  $N(A) = \{0\}$  (fails in gen  $\rightsquigarrow$  proj onto  $N(A)^\perp = \overline{R(A^*)}$ )

# general observations

Helmholtz/Hodge/Weyl decompositions (projection theorem)

$$H_1 = \overline{R(A)} \oplus N(A^*) \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

remarkable observations

- time-dependent problems are simple

in gen  $A : D(A) \subset H \rightarrow H$ ,  $\boxed{A = \partial_t + T}$  (gen  $T$  skew-sa, or alt lsast  $\operatorname{Re} T \geq 0$ )

$$N(A) = \{0\} \quad N(A^*) = \{0\} \quad R(A) (\text{cl}) = N(A^*)^\perp = H$$

- time-harmonic problems are more complicated

in gen  $A : D(A) \subset H \rightarrow H$ ,  $\boxed{A = -\omega + T}$

$$N(A), N(A^*) (\text{fin dim}) \quad R(A) (\text{cl, fin co-dim}) = N(A^*)^\perp$$

(Fredholm alternative)

- stat problems are most complicated

in gen  $A : D(A) \subset H_0 \rightarrow H_1$ ,  $\boxed{A = 0 + T}$

$$\dim N(A) = \dim N(A^*) = \infty (\text{possibly}) \quad R(A) (\text{cl, infin co-dim}) = N(A^*)^\perp$$



# fa-toolbox for linear (first order) problems/systems

$$Ax = f$$

## general theory

- solution theory
- Friedrichs/Poincaré estimates and constants
- Helmholtz/Hodge/Weyl decompositions
- compact embeddings
- continuous and compact inverse operators
- closed ranges
- variational formulations
- functional a posteriori error estimates
- generalized div-curl-lemma
- ...

idea: solve problem with general and simple linear functional analysis  
( $\Rightarrow$  fa-toolbox) ...

literature: many parts probably very well known for ages, but hard to find ...

Friedrichs, Weyl, Hörmander, Fredholm, von Neumann, Riesz, Banach, ... ?

Why not rediscover and extend/modify for our purposes?



# 1st fundamental observations

$A : D(A) \subset H_0 \rightarrow H_1$  lddc,  $A^* : D(A^*) \subset H_1 \rightarrow H_0$  Hilbert space adjoint

$(A, A^*)$  dual pair as  $(A^*)^* = \overline{A} = A$

$A, A^*$  may not be inj

Helmholtz/Hodge/Weyl decompositions (projection theorem)

$$H_1 = N(A^*) \oplus \overline{R(A)} \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

reduced operators restr to  $N(A)^\perp$  and  $N(A^*)^\perp$

$$\mathcal{A} := A|_{N(A)^\perp} = A|_{\overline{R(A^*)}} \quad \mathcal{A}^* := A^*|_{N(A^*)^\perp} = A^*|_{\overline{R(A)}}$$

$\mathcal{A}, \mathcal{A}^*$  inj  $\Rightarrow \mathcal{A}^{-1}, (\mathcal{A}^*)^{-1}$  ex



# 1st fundamental observations

$A : D(A) \subset H_0 \rightarrow H_1$ ,  $A^* : D(A^*) \subset H_1 \rightarrow H_0$  lddc  $(A, A^*)$  dual pair

$$H_1 = N(A^*) \oplus \overline{R(A)} \quad H_0 = N(A) \oplus \overline{R(A^*)}$$

more precisely

$$\mathcal{A} := A|_{\overline{R(A^*)}} : D(\mathcal{A}) \subset \overline{R(A^*)} \rightarrow \overline{R(A)}, \quad D(\mathcal{A}) := D(A) \cap N(A)^\perp = D(A) \cap \overline{R(A^*)}$$

$$\mathcal{A}^* := A^*|_{\overline{R(A)}} : D(\mathcal{A}^*) \subset \overline{R(A)} \rightarrow \overline{R(A^*)}, \quad D(\mathcal{A}^*) := D(A^*) \cap N(A^*)^\perp = D(A^*) \cap \overline{R(A)}$$

$(\mathcal{A}, \mathcal{A}^*)$  dual pair and  $\mathcal{A}, \mathcal{A}^*$  inj  $\Rightarrow$

inverse ops exist (and bij)

$$\mathcal{A}^{-1} : R(A) \rightarrow D(\mathcal{A}) \quad (\mathcal{A}^*)^{-1} : R(A^*) \rightarrow D(\mathcal{A}^*)$$

refined decompositions

$$D(A) = N(A) \oplus D(\mathcal{A}) \quad D(A^*) = N(A^*) \oplus D(\mathcal{A}^*)$$

$\Rightarrow$

$$R(A) = R(\mathcal{A}) \quad R(A^*) = R(\mathcal{A}^*)$$



# 1st fundamental observations

closed range theorem & closed graph theorem  $\Rightarrow$

**Lemma (Friedrichs-Poincaré type est/cl range/cont inv)**

*The following assertions are equivalent:*

- (i)  $\exists c_A \in (0, \infty) \quad \forall x \in D(\mathcal{A}) \quad |x|_{H_0} \leq c_A |Ax|_{H_1}$
- (i\*)  $\exists c_{A^*} \in (0, \infty) \quad \forall y \in D(\mathcal{A}^*) \quad |y|_{H_1} \leq c_{A^*} |A^*y|_{H_0}$
- (ii)  $R(A) = R(\mathcal{A})$  is closed in  $H_1$ .
- (ii\*)  $R(A^*) = R(\mathcal{A}^*)$  is closed in  $H_0$ .
- (iii)  $\mathcal{A}^{-1} : R(A) \rightarrow D(\mathcal{A})$  is continuous and bijective.
- (iii\*)  $(\mathcal{A}^*)^{-1} : R(A^*) \rightarrow D(\mathcal{A}^*)$  is continuous and bijective.

*In case that one of the latter assertions is true, e.g., (ii),  $R(A)$  is closed, we have*

$$\begin{aligned} H_0 &= N(A) \oplus R(A^*) & H_1 &= N(A^*) \oplus R(A) \\ D(A) &= N(A) \oplus D(\mathcal{A}) & D(A^*) &= N(A^*) \oplus D(\mathcal{A}^*) \\ D(\mathcal{A}) &= D(A) \cap R(A^*) & D(\mathcal{A}^*) &= D(A^*) \cap R(A) \end{aligned}$$

and  $\mathcal{A} : D(\mathcal{A}) \subset R(A^*) \rightarrow R(A)$ ,  $\mathcal{A}^* : D(\mathcal{A}^*) \subset R(A) \rightarrow R(A^*)$ .

Note: trivial equivalence to inf-sup condition



# 1st fundamental observations

recall

$$(i) \quad \exists c_A \in (0, \infty) \quad \forall x \in D(\mathcal{A}) \quad |x|_{H_0} \leq c_A |Ax|_{H_1}$$

$$(i^*) \quad \exists c_{A^*} \in (0, \infty) \quad \forall y \in D(\mathcal{A}^*) \quad |y|_{H_1} \leq c_{A^*} |A^*y|_{H_0}$$

'best' consts in (i) and (i\*) equal norms of the inv ops and Rayleigh quotients

$$c_A = |\mathcal{A}^{-1}|_{R(A), R(A^*)}$$

$$c_{A^*} = |(\mathcal{A}^*)^{-1}|_{R(A^*), R(A)}$$

$$\frac{1}{c_A} = \inf_{0 \neq x \in D(\mathcal{A})} \frac{|Ax|_{H_1}}{|x|_{H_0}}$$

$$\frac{1}{c_{A^*}} = \inf_{0 \neq y \in D(\mathcal{A}^*)} \frac{|A^*y|_{H_0}}{|y|_{H_1}}$$

**Lemma (Friedrichs-Poincaré type const)**

$$c_A = c_{A^*}$$





# 1st fundamental observations

## Lemma (cpt emb/cpt inv)

The following assertions are equivalent:

- (i)  $D(\mathcal{A}) \hookrightarrow H_0$  is compact.
- (i\*)  $D(\mathcal{A}^*) \hookrightarrow H_1$  is compact.
- (ii)  $\mathcal{A}^{-1} : R(\mathcal{A}) \rightarrow R(\mathcal{A}^*)$  is compact.
- (ii\*)  $(\mathcal{A}^*)^{-1} : R(\mathcal{A}^*) \rightarrow R(\mathcal{A})$  is compact.

## Lemma (Friedrichs-Poincaré type est/cl range/cont inv)

⇓  $D(\mathcal{A}) \hookrightarrow H_0$  compact

- (i)  $\exists c_A \in (0, \infty) \quad \forall x \in D(\mathcal{A}) \quad |x|_{H_0} \leq c_A |Ax|_{H_1}$
- (i\*)  $\exists c_{A^*} \in (0, \infty) \quad \forall y \in D(\mathcal{A}^*) \quad |y|_{H_1} \leq c_{A^*} |A^*y|_{H_0}$
- (ii)  $R(\mathcal{A}) = R(\mathcal{A})$  is closed in  $H_1$ .
- (ii\*)  $R(\mathcal{A}^*) = R(\mathcal{A}^*)$  is closed in  $H_0$ .
- (iii)  $\mathcal{A}^{-1} : R(\mathcal{A}) \rightarrow D(\mathcal{A})$  is continuous and bijective.
- (iii\*)  $(\mathcal{A}^*)^{-1} : R(\mathcal{A}^*) \rightarrow D(\mathcal{A}^*)$  is continuous and bijective.
- (i)-(iii\*) equi & the resp Helm deco hold &  $|\mathcal{A}^{-1}| = c_A = c_{A^*} = |(\mathcal{A}^*)^{-1}|$



## 2nd fundamental observations

So far no complex...

$$A_0 : D(A_0) \subset H_0 \rightarrow H_1, \quad A_1 : D(A_1) \subset H_1 \rightarrow H_2 \text{ (lddc)}$$

$$A_0^* : D(A_0^*) \subset H_1 \rightarrow H_0, \quad A_1^* : D(A_1^*) \subset H_2 \rightarrow H_1 \text{ (lddc)}$$

general complex ( $A_1 A_0 = 0$ , i.e.,  $R(A_0) \subset N(A_1)$  and  $R(A_1^*) \subset N(A_0^*)$ )

$$\dots \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} H_0 \begin{array}{c} A_0 \\ \rightleftarrows \\ A_0^* \end{array} H_1 \begin{array}{c} A_1 \\ \rightleftarrows \\ A_1^* \end{array} H_2 \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \dots$$

recall Helmholtz deco

$$H_1 = \overline{R(A_0)} \oplus N(A_0^*)$$

$$\cap \quad \cup \quad \Rightarrow \text{(e.g.) } N(A_1) = \overline{R(A_0)} \oplus \underbrace{(N(A_1) \cap N(A_0^*))}_{=: K_1}$$

$$= N(A_1) \oplus \overline{R(A_1^*)}$$

$\Rightarrow$  refined Helmholtz deco

$$H_1 = \overline{R(A_0)} \oplus K_1 \oplus \overline{R(A_1^*)}$$



## 2nd fundamental observations

recall

$$D(A_1) = D(\mathcal{A}_1) \cap \overline{R(A_1^*)} \quad R(A_1) = R(\mathcal{A}_1) \quad R(A_1^*) = R(\mathcal{A}_1^*)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \cap \overline{R(A_0)} \quad R(A_0^*) = R(\mathcal{A}_0^*) \quad R(A_0) = R(\mathcal{A}_0)$$

cohomology group  $K_1 = N(A_1) \cap N(A_0^*)$

### Lemma (Helmholtz deco I)

$$H_1 = \overline{R(A_0)} \oplus N(A_0^*)$$

$$H_1 = \overline{R(A_1^*)} \oplus N(A_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus N(A_0^*)$$

$$D(A_1) = D(\mathcal{A}_1) \oplus N(A_1)$$

$$N(A_1) = D(\mathcal{A}_0^*) \oplus K_1$$

$$N(A_0^*) = D(\mathcal{A}_1) \oplus K_1$$

$$D(A_1) = \overline{R(A_0)} \oplus (D(A_1) \cap N(A_0^*)) \quad D(A_0^*) = \overline{R(A_1^*)} \oplus (D(A_0^*) \cap N(A_1))$$

### Lemma (Helmholtz deco II)

$$H_1 = \overline{R(A_0)} \oplus K_1 \oplus \overline{R(A_1^*)}$$

$$D(A_1) = \overline{R(A_0)} \oplus K_1 \oplus D(\mathcal{A}_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus \overline{R(A_1^*)}$$

$$D(A_1) \cap D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus D(\mathcal{A}_1)$$

## 2nd fundamental observations

$$K_1 = N(A_1) \cap N(A_0^*) \quad D(A_1) = D(\mathcal{A}_1) \cap \overline{R(A_1^*)} \quad D(A_0^*) = D(\mathcal{A}_0^*) \cap \overline{R(A_0)}$$

### Lemma (cpt emb II)

The following assertions are equivalent:

- (i)  $D(\mathcal{A}_0) \overset{c}{\leftrightarrow} H_0$ ,  $D(\mathcal{A}_1) \overset{c}{\leftrightarrow} H_1$ , and  $K_1 \overset{c}{\leftrightarrow} H_1$  are compact.
- (ii)  $D(A_1) \cap D(A_0^*) \overset{c}{\leftrightarrow} H_1$  is compact.

In this case  $K_1 < \infty$ .

### Theorem (fa-toolbox I)

⇓  $D(A_1) \cap D(A_0^*) \overset{c}{\leftrightarrow} H_1$  compact

- (i) all emb cpt, i.e.,  $D(\mathcal{A}_0) \overset{c}{\leftrightarrow} H_0$ ,  $D(\mathcal{A}_1) \overset{c}{\leftrightarrow} H_1$ ,  $D(\mathcal{A}_0^*) \overset{c}{\leftrightarrow} H_1$ ,  $D(\mathcal{A}_1^*) \overset{c}{\leftrightarrow} H_2$  cpt
- (ii) cohomology group  $K_1$  finite dim
- (iii) all ranges closed, i.e.,  $R(A_0)$ ,  $R(A_0^*)$ ,  $R(A_1)$ ,  $R(A_1^*)$  cl
- (iv) all Friedrichs-Poincaré type est hold
- (v) all Hodge-Helmholtz-Weyl type deco I & II hold with closed ranges



## 2nd fundamental observations

complex  $\dots \begin{matrix} \dots \\ \xrightarrow{A_0} \\ \dots \end{matrix} H_0 \begin{matrix} \xrightarrow{A_0} \\ \xleftarrow{A_0^*} \end{matrix} H_1 \begin{matrix} \xrightarrow{A_1} \\ \xleftarrow{A_1^*} \end{matrix} H_2 \begin{matrix} \dots \\ \xrightarrow{\quad} \\ \dots \end{matrix} \dots$

### Theorem (fa-toolbox I (Friedrichs-Poincaré type est))

$\Downarrow \quad \boxed{D(A_1) \cap D(A_0^*) \Leftrightarrow H_1 \text{ compact}} \quad \Rightarrow \quad \exists \quad |A_i^{-1}| = c_{A_i} = c_{A_i^*} = |(A_i^*)^{-1}| \in (0, \infty)$

- (i)  $\forall x \in D(A_0) \quad |x|_{H_0} \leq c_{A_0} |A_0 x|_{H_1}$
- (i\*)  $\forall y \in D(A_0^*) \quad |y|_{H_1} \leq c_{A_0} |A_0^* y|_{H_0}$
- (ii)  $\forall y \in D(A_1) \quad |y|_{H_1} \leq c_{A_1} |A_1 y|_{H_2}$
- (ii\*)  $\forall z \in D(A_1^*) \quad |z|_{H_2} \leq c_{A_1} |A_1^* z|_{H_1}$
- (iii)  $\forall y \in D(A_1) \cap D(A_0^*) \quad |(1 - \pi_{K_1})y|_{H_1} \leq c_{A_1} |A_1 y|_{H_2} + c_{A_0} |A_0^* y|_{H_0}$

note  $\pi_{K_1} y \in K_1$  and  $(1 - \pi_{K_1})y \in K_1^\perp$

### Remark

enough  $R(A_0)$  and  $R(A_1)$  cl



## 2nd fundamental observations

$$\text{complex} \quad \dots \quad \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \quad H_0 \quad \begin{array}{c} A_0 \\ \rightleftarrows \\ A_0^* \end{array} \quad H_1 \quad \begin{array}{c} A_1 \\ \rightleftarrows \\ A_1^* \end{array} \quad H_2 \quad \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \quad \dots$$

### Theorem (fa-toolbox I (Helmholtz deco))

$$\Downarrow \quad \boxed{D(A_1) \cap D(A_0^*) \leftrightarrow H_1 \text{ compact}}$$

$$H_1 = R(A_0) \oplus N(A_0^*)$$

$$H_1 = R(A_1^*) \oplus N(A_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus N(A_0^*)$$

$$D(A_1) = D(\mathcal{A}_1) \oplus N(A_1)$$

$$N(A_1) = D(\mathcal{A}_0^*) \oplus K_1$$

$$N(A_0^*) = D(\mathcal{A}_1) \oplus K_1$$

$$D(A_1) = R(A_0) \oplus (D(A_1) \cap N(A_0^*)) \quad D(A_0^*) = R(A_1^*) \oplus (D(A_0^*) \cap N(A_1))$$

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*)$$

$$D(A_1) = R(A_0) \oplus K_1 \oplus D(\mathcal{A}_1)$$

$$D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus R(A_1^*)$$

$$D(A_1) \cap D(A_0^*) = D(\mathcal{A}_0^*) \oplus K_1 \oplus D(\mathcal{A}_1)$$

### Remark

enough  $R(A_0)$  and  $R(A_1)$  cl



(stat) first order system

## (stat) first order system - solution theory

$$\text{complex} \quad \cdots \begin{array}{c} \cdots \\ \rightleftarrows \\ \cdots \end{array} H_0 \begin{array}{c} A_0 \\ \rightleftarrows \\ A_0^* \end{array} H_1 \begin{array}{c} A_1 \\ \rightleftarrows \\ A_1^* \end{array} H_2 \begin{array}{c} \cdots \\ \rightleftarrows \\ \cdots \end{array} \cdots$$

$$A_1 x = f$$

$$\dim N(A_1) = \infty$$

find  $x \in D(A_1) \cap D(A_0^*)$  such that the fos

$$\begin{array}{ll} A_1 x = f & (\text{rot } E = F) \\ A_0^* x = g & \text{think of } (-\text{div } E = g) \\ \pi_{K_1} x = k & (\pi_D E = K) \end{array}$$

$$\text{kernel} = \text{cohomology group} = K_1 = N(A_1) \cap N(A_0^*)$$

$$\text{trivially necessary} \quad f \in R(A_1) \quad g \in R(A_0^*) \quad k \in K_1$$

$$\text{apply fa-toolbox}$$



## (stat) first order system - solution theory

$$\text{complex} \quad \dots \quad \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \quad H_0 \quad \begin{array}{c} A_0 \\ \rightleftarrows \\ A_0^* \end{array} \quad H_1 \quad \begin{array}{c} A_1 \\ \rightleftarrows \\ A_1^* \end{array} \quad H_2 \quad \begin{array}{c} \dots \\ \rightleftarrows \\ \dots \end{array} \quad \dots$$

$$\text{find } x \in D(A_1) \cap D(A_0^*) \text{ st fos} \quad A_1 x = f \quad A_0^* x = g \quad \pi_{K_1} x = k$$

## Theorem (fa-toolbox II (solution theory))

$$\Downarrow \quad \boxed{D(A_1) \cap D(A_0^*) \leftrightarrow H_1 \text{ compact}}$$

$$\text{fos is uniq sol} \quad \Leftrightarrow \quad f \in R(A_1) \quad g \in R(A_0^*) \quad k \in K_1$$

$$x := x_f + x_g + k \in D(\mathcal{A}_1) \oplus D(\mathcal{A}_0^*) \oplus K_1 = D(A_1) \cap D(A_0^*)$$

$$\boxed{x_f := \mathcal{A}_1^{-1} f} \in D(\mathcal{A}_1)$$

$$\boxed{x_g := (\mathcal{A}_0^*)^{-1} g} \in D(\mathcal{A}_0^*)$$

$$\text{dep cont on data} \quad |x|_{H_1} \leq |x_f|_{H_1} + |x_g|_{H_1} + |k|_{H_1} \leq c_{A_1} |f|_{H_2} + c_{A_0} |g|_{H_0} + |k|_{H_1}$$

moreover

$$\pi_{R(A_1^*)} x = x_f \quad \pi_{R(A_0)} x = x_g \quad \pi_{K_1} x = k \quad |x|_{H_1}^2 = |x_f|_{H_1}^2 + |x_g|_{H_1}^2 + |k|_{H_1}^2$$

## Remark

enough  $R(A_0)$  and  $R(A_1)$  cl





# (stat) first order system - variational formulations

$$x := x_f + x_g + k \in D(\mathcal{A}_1) \oplus D(\mathcal{A}_0^*) \oplus K_1 = D(A_1) \cap D(A_0^*)$$

$$x_f := \mathcal{A}_1^{-1} f \in D(\mathcal{A}_1) = D(A_1) \cap R(A_1^*) = D(A_1) \cap N(A_0^*) \cap K_1^\perp$$

$$x_g := (\mathcal{A}_0^*)^{-1} g \in D(\mathcal{A}_0^*) = D(A_0^*) \cap R(A_0) = D(A_0^*) \cap N(A_1) \cap K_1^\perp$$

$$A_1 x = f$$

$$A_1 x_f = f$$

$$A_1 x_g = 0$$

$$A_1 k = 0$$

$$A_0^* x = g$$

$$A_0^* x_f = 0$$

$$A_0^* x_g = g$$

$$A_0^* k = 0$$

$$\pi_{K_1} x = k$$

$$\pi_{K_1} x_f = 0$$

$$\pi_{K_1} x_g = 0$$

$$\pi_{K_1} k = k$$

- option I: find  $x_f$  and  $x_g$  separately  $\Rightarrow x = x_f + x_g + k$
- option II: find  $x$  directly

## (stat) first order system - variational formulations I

finding

$$x_f := \mathcal{A}_1^{-1} f \in D(\mathcal{A}_1) = D(A_1) \cap \underbrace{R(A_1^*)}_{=R(\mathcal{A}_1^*)} = D(A_1) \cap N(A_0^*) \cap K_1^\perp$$

$$A_1 x_f = f$$

$$A_0^* x_f = 0$$

$$\pi_{K_1} x_f = 0$$

at least two options

- option Ia: multiply  $A_1 x_f = f$  by  $A_1 \xi \Rightarrow$

$$\forall \xi \in D(\mathcal{A}_1) \quad \langle A_1 x_f, A_1 \xi \rangle_{H_2} = \langle f, A_1 \xi \rangle_{H_2}$$

weak form of

$$\boxed{A_1^* A_1 x_f = A_1^* f}$$

- option Ib: repr  $x_f = A_1^* y_f$  with potential  $y_f = (A_1^*)^{-1} x_f \in D(\mathcal{A}_1^*)$   
and mult by  $x_f$  by  $A_1^* \phi \Rightarrow$

$$\forall \phi \in D(\mathcal{A}_1^*) \quad \langle A_1^* y_f, A_1^* \phi \rangle_{H_1} = \langle x_f, A_1^* \phi \rangle_{H_1} = \langle A_1 x_f, \phi \rangle_{H_2} = \langle f, \phi \rangle_{H_2}$$

weak form of

$$\boxed{A_1 x_f = f}$$

and

$$\boxed{A_1 A_1^* y_f = f}$$

analogously for  $x_g$



(stat) first order system

## (stat) first order system - a posteriori error estimates

problem:  $\boxed{\text{find } x \in D(A_1) \cap D(A_0^*) \text{ st } A_1 x = f \quad A_0^* x = g \quad \pi_{K_1} x = k}$

'very' non-conforming 'approximation' of  $x$ :  $\boxed{\tilde{x} \in H_1}$

def., dcmp. err.  $\boxed{e = x - \tilde{x}} = \pi_{R(A_0)} e + \pi_{K_1} e + \pi_{R(A_1^*)} e \in H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*)$

## Theorem (sharp upper bounds)

Let  $\tilde{x} \in H_1$  and  $e = x - \tilde{x}$ . Then

$$|e|_{H_1}^2 = |\pi_{R(A_0)} e|_{H_1}^2 + |\pi_{K_1} e|_{H_1}^2 + |\pi_{R(A_1^*)} e|_{H_1}^2$$

$$|\pi_{R(A_0)} e|_{H_1} = \min_{\phi \in D(A_0^*)} (c_{A_0} |A_0^* \phi - g|_{H_0} + |\phi - \tilde{x}|_{H_1}) \quad \boxed{\text{reg } (A_0 A_0^* + 1)\text{-prbl in } D(A_0^*)}$$

$$|\pi_{R(A_1^*)} e|_{H_1} = \min_{\varphi \in D(A_1)} (c_{A_1} |A_1 \varphi - f|_{H_2} + |\varphi - \tilde{x}|_{H_1}) \quad \boxed{\text{reg } (A_1^* A_1 + 1)\text{-prbl in } D(A_1)}$$

$$|\pi_{K_1} e|_{H_1} = |\pi_{K_1} \tilde{x} - k|_{H_1} = \min_{\substack{\xi \in D(A_0) \\ \zeta \in D(A_1^*)}} |A_0 \xi + A_1^* \zeta + \tilde{x} - k|_{H_1} \quad \boxed{\text{cpld } (A_0^* A_0) \text{-}(A_1 A_1^*)\text{-sys in } D(A_0) \text{-} D(A_1^*)}$$

## Remark

Even  $\pi_{K_1} e = k - \pi_{K_1} \tilde{x}$  and the minima are attained at

$$\hat{\phi} = \pi_{R(A_0)} e + \tilde{x}, \quad \hat{\varphi} = \pi_{R(A_1^*)} e + \tilde{x}, \quad A_0 \hat{\xi} + A_1^* \hat{\zeta} = (\pi_{K_1} - 1) \tilde{x}.$$

# $A_0^*$ - $A_1$ -lemma (generalized global div-curl-lemma)

## Lemma ( $A_0^*$ - $A_1$ -lemma)

Let  $D(A_1) \cap D(A_0^*) \hookrightarrow H_1$  be compact, and

(i)  $(x_n)$  bounded in  $D(A_1)$ ,

(ii)  $(y_n)$  bounded in  $D(A_0^*)$ .

$\Rightarrow \exists x \in D(A_1), y \in D(A_0^*)$  and subsequences st

$x_n \rightharpoonup x$  in  $D(A_1)$  and  $y_n \rightharpoonup y$  in  $D(A_0^*)$  as well as

$$\langle x_n, y_n \rangle_{H_1} \rightarrow \langle x, y \rangle_{H_1}.$$



# $A_0^*$ - $A_1$ -lemma (generalized global div-curl-lemma)

## Lemma (generalized $A_0^*$ - $A_1$ -lemma)

Let  $R(A_0)$  and  $R(A_1)$  be closed, and let  $K_1$  be finite dimensional. Moreover, let  $(x_n), (y_n) \subset H_1$  be bounded such that

- (i)  $\tilde{A}_1(x_n)$  is relatively compact in  $D(A_1^*)'$ ,
- (ii)  $\tilde{A}_0^*(y_n)$  is relatively compact in  $D(A_0)'$ .

$\Rightarrow \exists x, y \in H_1$  and subsequences st  $x_n \rightarrow x$  in  $H_1$  and  $y_n \rightarrow y$  in  $H_1$  as well as

$$\langle x_n, y_n \rangle_{H_1} \rightarrow \langle x, y \rangle_{H_1}.$$

proof uses key observation

## Lemma

Let  $R(A)$  be closed. For  $(x_n) \subset H_0$  the following statements are equivalent:

- (i)  $\tilde{A}x_n$  is relatively compact in  $D(A^*)'$ .
- (ii)  $\pi_{R(A^*)}x_n$  is relatively compact in  $R(A^*)$  resp.  $H_1$ .

If  $x_n \rightarrow x$  in  $H_1$ , then either of cond. (i) or (ii) implies  $\pi_{R(A^*)}x_n \rightarrow \pi_{R(A^*)}x$  in  $H_1$ .

nice results (and joint work/communication with) Marcus Waurick



applications: fos & sos (first and second order systems)

## classical de Rham complex in 3D ( $\nabla$ -rot-div-complex)

$\Omega \subset \mathbb{R}^3$  bounded weak Lipschitz domain,  $\partial\Omega = \Gamma = \overline{\Gamma_t \dot{\cup} \Gamma_n}$

(electro-magneto dynamics, Maxwell's equations)

$$\{0\} \begin{array}{c} \xrightarrow{\iota_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{array} L^2 \begin{array}{c} \xrightarrow{\dot{\nabla}} \\ \xleftarrow{-\operatorname{div}} \end{array} L^2 \begin{array}{c} \xrightarrow{\operatorname{rot}} \\ \xleftarrow{\operatorname{rot}} \end{array} L^2 \begin{array}{c} \xrightarrow{\operatorname{div}} \\ \xleftarrow{-\nabla} \end{array} L^2 \begin{array}{c} \xrightarrow{\pi_{\mathbb{R}}} \\ \xleftarrow{\iota_{\mathbb{R}}} \end{array} \mathbb{R}$$

mixed boundary conditions and inhomogeneous and anisotropic media

$$\{0\} \text{ or } \mathbb{R} \begin{array}{c} \xrightarrow{\iota} \\ \xleftarrow{\pi} \end{array} L^2 \begin{array}{c} \xrightarrow{\nabla_{\Gamma_t}} \\ \xleftarrow{-\operatorname{div}_{\Gamma_n} \varepsilon} \end{array} L^2_{\varepsilon} \begin{array}{c} \xrightarrow{\operatorname{rot}_{\Gamma_t}} \\ \xleftarrow{\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}} \end{array} L^2 \begin{array}{c} \xrightarrow{\operatorname{div}_{\Gamma_t}} \\ \xleftarrow{-\nabla_{\Gamma_n}} \end{array} L^2 \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{\iota} \end{array} \mathbb{R} \text{ or } \{0\}$$



applications: fos & sos (first and second order systems)

## classical de Rham complex in 3D ( $\nabla$ -rot-div-complex)

$\Omega \subset \mathbb{R}^3$  bounded weak Lipschitz domain,  $\partial\Omega = \Gamma = \overline{\Gamma_t \dot{\cup} \Gamma_n}$

(electro-magneto dynamics, Maxwell's equations with mixed boundary conditions)

$$\{0\} \text{ or } \mathbb{R} \xrightleftharpoons[\pi]{} L^2 \quad \nabla_{\Gamma_t} \xrightleftharpoons[-\operatorname{div}_{\Gamma_n} \varepsilon]{} L^2_{\varepsilon} \quad \operatorname{rot}_{\Gamma_t} \xrightleftharpoons[\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}]{} L^2 \quad \operatorname{div}_{\Gamma_t} \xrightleftharpoons[-\nabla_{\Gamma_n}]{} L^2 \quad \xrightleftharpoons[\iota]{} \mathbb{R} \text{ or } \{0\}$$

related fos

$$\nabla_{\Gamma_t} u = A \quad \text{in } \Omega \quad | \quad \operatorname{rot}_{\Gamma_t} E = J \quad \text{in } \Omega \quad | \quad \operatorname{div}_{\Gamma_t} H = k \quad \text{in } \Omega \quad | \quad \pi v = b \quad \text{in } \Omega$$

$$\pi u = a \quad \text{in } \Omega \quad | \quad -\operatorname{div}_{\Gamma_n} \varepsilon E = j \quad \text{in } \Omega \quad | \quad \varepsilon^{-1} \operatorname{rot}_{\Gamma_n} H = K \quad \text{in } \Omega \quad | \quad -\nabla_{\Gamma_n} v = B \quad \text{in } \Omega$$

related sos

$$-\operatorname{div}_{\Gamma_n} \varepsilon \nabla_{\Gamma_t} u = j \quad \text{in } \Omega \quad | \quad \varepsilon^{-1} \operatorname{rot}_{\Gamma_n} \operatorname{rot}_{\Gamma_t} E = K \quad \text{in } \Omega \quad | \quad -\nabla_{\Gamma_n} \operatorname{div}_{\Gamma_t} H = B \quad \text{in } \Omega$$

$$\pi u = a \quad \text{in } \Omega \quad | \quad -\operatorname{div}_{\Gamma_n} \varepsilon E = j \quad \text{in } \Omega \quad | \quad \varepsilon^{-1} \operatorname{rot}_{\Gamma_n} H = K \quad \text{in } \Omega$$

corresponding compact embeddings:

$$D(\nabla_{\Gamma_t}) \cap D(\pi) = D(\nabla_{\Gamma_t}) = H_{\Gamma_t}^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem})$$

$$D(\operatorname{rot}_{\Gamma_t}) \cap D(-\operatorname{div}_{\Gamma_n} \varepsilon) = R_{\Gamma_t} \cap \varepsilon^{-1} D_{\Gamma_n} \hookrightarrow L^2_{\varepsilon} \quad (\text{Weck's selection theorem, '72})$$

$$D(\operatorname{div}_{\Gamma_t}) \cap D(\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}) = D_{\Gamma_t} \cap R_{\Gamma_n} \hookrightarrow L^2 \quad (\text{Weck's selection theorem, '72})$$

$$D(\nabla_{\Gamma_n}) \cap D(\pi) = D(\nabla_{\Gamma_n}) = H_{\Gamma_n}^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem})$$

Weck's selection theorem for weak Lip. dom. and mixed bc: Bauer/Py/Schomburg ('16)

Weck's selection theorem (Weck '74, (Habil. '72) stimulated by Rolf Leis)

(Weber '80, Picard '84, Costabel '90, Witsch '93, Jochmann '97, Fernandes/Gilardi '97, Kuhn '99, Picard/Weck/Witsch '01, Py '96, '03, '06, '07, '08)



applications: fos & sos (first and second order systems)

## classical de Rham complex in 3D ( $\nabla$ -rot-div-complex)

$$\begin{aligned}
 \operatorname{rot} E &= F && \text{in } \Omega \\
 -\operatorname{div} \varepsilon E &= g && \text{in } \Omega \\
 \nu \times E &= 0 && \text{at } \Gamma_t \\
 \nu \cdot \varepsilon E &= 0 && \text{at } \Gamma_n
 \end{aligned}$$

non-trivial kernel  $\mathcal{H}_{D,\varepsilon} = \{H \in L^2 : \operatorname{rot} H = 0, \operatorname{div} \varepsilon H = 0, \nu \times H|_{\Gamma_t} = 0, \nu \cdot \varepsilon H|_{\Gamma_n} = 0\}$   
 additional condition on Dirichlet/Neumann fields for uniqueness

$$\pi_D E = K \in \mathcal{H}_{D,\varepsilon}$$

$$\{0\} \text{ or } \mathbb{R} \xrightleftharpoons[\pi]{\iota} L^2 \xrightleftharpoons[-\operatorname{div}_{\Gamma_n} \varepsilon]{\nabla_{\Gamma_t}} L^2_\varepsilon \xrightleftharpoons[\varepsilon^{-1} \operatorname{rot}_{\Gamma_n}]{\operatorname{rot}_{\Gamma_t}} L^2 \xrightleftharpoons[-\nabla_{\Gamma_n}]{\operatorname{div}_{\Gamma_t}} L^2 \xrightleftharpoons[\iota]{\pi} \mathbb{R} \text{ or } \{0\}$$

$$\dots \xrightleftharpoons[\dots]{\dots} H_{-1} \xrightleftharpoons[A_{-1}^*]{A_{-1}} H_0 \xrightleftharpoons[A_0^*]{A_0} H_1 \xrightleftharpoons[A_1^*]{A_1} H_2 \xrightleftharpoons[A_2^*]{A_2} H_3 \xrightleftharpoons[A_3^*]{A_3} H_4 \xrightleftharpoons[\dots]{\dots} \dots$$

$$\begin{array}{llll}
 \text{find } E \in R_{\Gamma_t}(\Omega) \cap \varepsilon^{-1} D_{\Gamma_n}(\Omega) & \text{st} & (\text{fos}) & \text{find } x \in D(A_1) \cap D(A_0^*) & \text{st} \\
 \operatorname{rot}_{\Gamma_t} E = F & & & A_1 x = f & \\
 -\operatorname{div}_{\Gamma_n} \varepsilon E = g & & \text{translation} & A_0^* x = g & \\
 \pi_{D/N} E = K & & & \pi_{K_1} x = k &
 \end{array}$$





# classical de Rham complex in 3D ( $\nabla$ -rot-div-complex)

$c_{A_0} = c_{fp}$  (Friedrichs/Poincaré constant) and  $c_{A_1} = c_m$  (Maxwell constant)

**Lemma/Theorem**  $\Downarrow$   $D(A_1) \cap D(A_0^*) \Leftrightarrow L_\varepsilon^2(\Omega)$  compact

(i) all Friedrichs-Poincaré type est hold

$$\forall \varphi \in D(\mathcal{A}_0) \quad |\varphi|_{H_0} \leq c_{A_0} |A_0 \varphi|_{H_1} \quad \Leftrightarrow \quad \forall \varphi \in H_{\Gamma_t}^1 \quad |\varphi|_{L^2} \leq c_{fp} |\nabla \varphi|_{L_\varepsilon^2}$$

$$\forall \phi \in D(\mathcal{A}_0^*) \quad |\phi|_{H_1} \leq c_{A_0} |A_0^* \phi|_{H_0} \quad \Leftrightarrow \quad \forall \Phi \in \varepsilon^{-1} D_{\Gamma_n} \cap \nabla H_{\Gamma_t}^1 \quad |\Phi|_{L_\varepsilon^2} \leq c_{fp} |\operatorname{div} \varepsilon \Phi|_{L^2}$$

$$\forall \varphi \in D(\mathcal{A}_1) \quad |\varphi|_{H_1} \leq c_{A_1} |A_1 \varphi|_{H_2} \quad \Leftrightarrow \quad \forall \Phi \in R_{\Gamma_t} \cap \varepsilon^{-1} \operatorname{rot} R_{\Gamma_n} \quad |\Phi|_{L_\varepsilon^2} \leq c_m |\operatorname{rot} \Phi|_{L^2}$$

$$\forall \psi \in D(\mathcal{A}_1^*) \quad |\psi|_{H_2} \leq c_{A_1} |A_1^* \psi|_{H_1} \quad \Leftrightarrow \quad \forall \Psi \in R_{\Gamma_n} \cap \operatorname{rot} R_{\Gamma_t} \quad |\Psi|_{L^2} \leq c_m |\operatorname{rot} \Psi|_{L_\varepsilon^2}$$

(ii) all ranges  $R(A_0) = \nabla H_{\Gamma_t}^1$ ,  $R(A_1) = \operatorname{rot} R_{\Gamma_t}$ ,  $R(A_0^*) = \operatorname{div} D_{\Gamma_n}$  are cl in  $L^2$

(iii) the inverse ops  $(\widetilde{\nabla}_{\Gamma_t})^{-1}$ ,  $(\widetilde{\operatorname{div}}_{\Gamma_n} \varepsilon)^{-1}$ ,  $(\widetilde{\operatorname{rot}}_{\Gamma_t})^{-1}$ ,  $(\widetilde{\varepsilon^{-1} \operatorname{rot}}_{\Gamma_n})^{-1}$  are cont, even cpt

(iv) all Helmholtz decomposition hold, e.g.,

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*) \quad \Leftrightarrow \quad L_\varepsilon^2 = \nabla H_{\Gamma_t}^1 \oplus_{L_\varepsilon^2} \mathcal{H}_{D,\varepsilon} \oplus_{L_\varepsilon^2} \varepsilon^{-1} \operatorname{rot} R_{\Gamma_n}$$

(v) solution theory

(vi) variational formulations

(vii) functional a posteriori error estimates

(viii) div-curl-lemma

(ix) ...



# classical de Rham complex in 3D ( $\nabla$ -rot-div-complex)

## Theorem (sharp upper bounds)

Let  $\tilde{E} \in L^2_\varepsilon$  (very non-conforming approximation of  $E$ !) and  $e := E - \tilde{E}$ . Then

$$\begin{aligned}
 |e|_{L^2_\varepsilon}^2 &= |\pi_{R(\nabla_{\Gamma_t})} e|_{L^2_\varepsilon}^2 + |\pi_{R(\varepsilon^{-1} \text{rot}_{\Gamma_n})} e|_{L^2_\varepsilon}^2 + |\pi_{\mathcal{H}_{D,\varepsilon}} e|_{L^2_\varepsilon}^2 \\
 &= \min_{\Phi \in \varepsilon^{-1} D_{\Gamma_n}} (c_{fp} |\text{div } \varepsilon \Phi + g|_{L^2} + |\Phi - \tilde{E}|_{L^2_\varepsilon})^2 && \text{reg } (-\nabla_{\Gamma_t} \text{div}_{\Gamma_n} + 1)\text{-prbl in } D_{\Gamma_n} \\
 &\quad + \min_{\Phi \in R_{\Gamma_t}} (c_m |\text{rot } \Phi - F|_{L^2} + |\Phi - \tilde{E}|_{L^2_\varepsilon})^2 && \text{reg } (\text{rot}_{\Gamma_n} \text{rot}_{\Gamma_t} + 1)\text{-prbl in } R_{\Gamma_t} \\
 &\quad + \min_{\phi \in H^1_{\Gamma_t}, \Psi \in R_{\Gamma_n}} |\nabla \phi + \varepsilon^{-1} \text{rot } \Psi + \tilde{E} - K|_{L^2_\varepsilon}^2 && \text{cpld } (-\text{div}_{\Gamma_n} \nabla_{\Gamma_t})\text{-}(\text{rot}_{\Gamma_t} \text{rot}_{\Gamma_n})\text{-sys in } H^1_{\Gamma_t}\text{-}R_{\Gamma_n}
 \end{aligned}$$

## Remark

- $(\text{rot}_{\Gamma_t} \text{rot}_{\Gamma_n})$ -prbl needs saddle point formulation
- $\Omega$  top trv  $\Rightarrow \pi_D = 0$  and  $R_{\Gamma_t,0} = \nabla H^1_{\Gamma_t}$  and  $D_{\Gamma_n,0} = \text{rot } R_{\Gamma_n}$

$$\bullet \quad \Omega \text{ convex and } \varepsilon = \mu = 1 \text{ and } \Gamma_t = \Gamma \text{ or } \Gamma_n = \Gamma \Rightarrow c_f \leq c_m \leq c_p \leq \frac{\text{diam } \Omega}{\pi}$$



## div-curl-lemma

## Lemma (div-curl-lemma (global version))

*Assumptions:*

- (i)  $(E_n)$  bounded in  $L^2(\Omega)$
- (i')  $(H_n)$  bounded in  $L^2(\Omega)$
- (ii)  $(\operatorname{rot} E_n)$  bounded in  $L^2(\Omega)$
- (ii')  $(\operatorname{div} \varepsilon H_n)$  bounded in  $L^2(\Omega)$
- (iii)  $\nu \times E_n = 0$  on  $\Gamma_t$ , i.e.,  $E_n \in R_{\Gamma_t}(\Omega)$
- (iii')  $\nu \cdot \varepsilon H_n = 0$  on  $\Gamma_n$ , i.e.,  $H_n \in \varepsilon^{-1} D_{\Gamma_n}(\Omega)$

$\Rightarrow \exists E, H$  and subsequences st

$E_n \rightharpoonup E, \operatorname{rot} E_n \rightharpoonup \operatorname{rot} E$  and  $H_n \rightharpoonup H, \operatorname{div} H_n \rightharpoonup \operatorname{div} H$  in  $L^2(\Omega)$  and

$$\langle E_n, H_n \rangle_{L^2_\varepsilon(\Omega)} \rightarrow \langle E, H \rangle_{L^2_\varepsilon(\Omega)}$$

$\Rightarrow$  classical local version



applications: fos & sos (first and second order systems)

## de Rham complex in ND or on Riemannian manifolds (d-complex)

$\Omega \subset \mathbb{R}^N$  bd w. Lip. dom. or  $\Omega$  Riemannian manifold with cpt cl. and Lip. boundary  $\Gamma$   
(generalized Maxwell equations)

$$\{0\} \begin{array}{c} \hookrightarrow \\ \xleftrightarrow{\pi_{\{0\}}} \end{array} L^{2,0} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,1} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} \dots L^{2,q} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,q+1} \dots L^{2,N-1} \begin{array}{c} \xrightarrow{d} \\ \xleftarrow{-\delta} \end{array} L^{2,N} \begin{array}{c} \xrightarrow{\pi_{\mathbb{R}}} \\ \xleftarrow{\iota_{\mathbb{R}}} \end{array} \mathbb{R}$$



applications: fos & sos (first and second order systems)

## de Rham complex in ND or on Riemannian manifolds (d-complex)

$\Omega \subset \mathbb{R}^N$  bd w. Lip. dom. or  $\Omega$  Riemannian manifold with cpt cl. and Lip. boundary  $\Gamma$   
(generalized Maxwell equations)

$$\{0\} \text{ or } \mathbb{R} \xrightarrow{\frac{L}{\pi}} L^{2,0} \begin{array}{c} d_{\Gamma_t}^0 \\ \leftarrow \\ -\delta_{\Gamma_n}^1 \end{array} L^{2,1} \begin{array}{c} d_{\Gamma_t}^1 \\ \leftarrow \\ -\delta_{\Gamma_n}^2 \end{array} \dots L^{2,q} \begin{array}{c} d_{\Gamma_t}^q \\ \leftarrow \\ -\delta_{\Gamma_n}^{q+1} \end{array} L^{2,q+1} \dots L^{2,N-1} \begin{array}{c} d_{\Gamma_t}^{N-1} \\ \leftarrow \\ -\delta_{\Gamma_n}^N \end{array} L^{2,N} \xrightarrow{\frac{\pi}{L}} \mathbb{R} \text{ or } \{0\}$$

related fos

$$\begin{aligned} d_{\Gamma_t}^q E &= F && \text{in } \Omega \\ -\delta_{\Gamma_n}^q E &= G && \text{in } \Omega \end{aligned}$$

related sos

$$\begin{aligned} -\delta_{\Gamma_n}^{q+1} d_{\Gamma_t}^q E &= F && \text{in } \Omega \\ -\delta_{\Gamma_n}^q E &= G && \text{in } \Omega \end{aligned}$$

includes: EMS rot / div, Laplacian, rot rot, and more...  
corresponding compact embeddings:

$$D(d_{\Gamma_t}^q) \cap D(\delta_{\Gamma_n}^q) \hookrightarrow L^{2,q} \quad (\text{Weck's selection theorems, '74})$$

Weck's selection theorem for Lip. manifolds and mixed bc: Bauer/Py/Schomburg ('17)



applications: fos & sos (first and second order systems)

## elasticity complex in 3D (sym $\nabla$ -Rot Rot $_{\mathbb{S}}^T$ -Div $_{\mathbb{S}}$ -complex)

$\Omega \subset \mathbb{R}^3$  bounded strong Lipschitz domain

$$\begin{array}{ccccccc}
 \{0\} & \begin{array}{c} \iota_{\{0\}} \\ \rightleftarrows \\ \pi_{\{0\}} \end{array} & L^2 & \begin{array}{c} \mathring{\text{sym}} \nabla \\ \rightleftarrows \\ -\text{Div}_{\mathbb{S}} \end{array} & L^2_{\mathbb{S}} & \begin{array}{c} \text{Rot} \text{Rot}_{\mathbb{S}}^T \\ \rightleftarrows \\ \text{Rot} \text{Rot}_{\mathbb{S}}^T \end{array} & L^2_{\mathbb{S}} & \begin{array}{c} \mathring{\text{Div}}_{\mathbb{S}} \\ \rightleftarrows \\ -\mathring{\text{sym}} \nabla \end{array} & L^2 & \begin{array}{c} \pi_{\text{RM}} \\ \rightleftarrows \\ \iota_{\text{RM}} \end{array} & \text{RM}
 \end{array}$$



applications: fos & sos (first and second order systems)

# elasticity complex in 3D (sym ∇-Rot Rot<sub>S</sub><sup>T</sup>-Div<sub>S</sub>-complex)

$\Omega \subset \mathbb{R}^3$  bounded strong Lipschitz domain

$$\{0\} \begin{matrix} \xleftrightarrow{\iota_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{matrix} L^2 \begin{matrix} \xrightarrow{\text{sym } \nabla} \\ \xleftarrow{-\text{Div}_S} \end{matrix} L^2_S \begin{matrix} \xrightarrow{\text{Rot } \text{Rot}_S^T} \\ \xleftarrow{\text{Rot } \text{Rot}_S^T} \end{matrix} L^2_S \begin{matrix} \xrightarrow{\text{Div}_S} \\ \xleftarrow{-\text{sym } \nabla} \end{matrix} L^2 \begin{matrix} \xrightarrow{\pi_{RM}} \\ \xleftarrow{\iota_{RM}} \end{matrix} \text{RM}$$

related fos (Rot<sup>T</sup>Rot<sub>S</sub><sup>T</sup>, Rot<sub>S</sub><sup>T</sup> first order operators!)

$$\begin{array}{l|l|l|l} \text{sym } \nabla v = M & \text{in } \Omega & \text{Rot } \text{Rot}_S^T M = F & \text{in } \Omega & \text{Div}_S N = g & \text{in } \Omega & \pi v = r & \text{in } \Omega \\ \pi v = 0 & \text{in } \Omega & -\text{Div}_S M = f & \text{in } \Omega & \text{Rot } \text{Rot}_S^T N = G & \text{in } \Omega & -\text{sym } \nabla v = M & \text{in } \Omega \end{array}$$

related sos (Rot<sub>S</sub><sup>T</sup>Rot<sup>T</sup>Rot<sub>S</sub><sup>T</sup> second order operator!)

$$\begin{array}{l|l|l|l} -\text{Div}_S \text{sym } \nabla v = f & \text{in } \Omega & \text{Rot } \text{Rot}_S^T \text{Rot } \text{Rot}_S^T M = G & \text{in } \Omega & -\text{sym } \nabla \text{Div}_S N = M & \text{in } \Omega \\ \pi v = 0 & \text{in } \Omega & -\text{Div}_S M = f & \text{in } \Omega & \text{Rot } \text{Rot}_S^T N = G & \text{in } \Omega \end{array}$$

corresponding compact embeddings:

$$\begin{aligned} D(\text{sym } \nabla) \cap D(\pi) &= D(\nabla) = \dot{H}^1 \hookrightarrow L^2 && \text{(Rellich's selection theorem and Korn ineq.)} \\ D(\text{Rot } \text{Rot}_S^T) \cap D(\text{Div}_S) &\hookrightarrow L^2_S && \text{(new selection theorem)} \\ D(\text{Div}_S) \cap D(\text{Rot } \text{Rot}_S^T) &\hookrightarrow L^2_S && \text{(new selection theorem)} \\ D(\pi) \cap D(\text{sym } \nabla) &= D(\nabla) = \dot{H}^1 \hookrightarrow L^2 && \text{(Rellich's selection theorem and Korn ineq.)} \end{aligned}$$

two new selection theorems for strong Lip. dom.: Py/Schomburg/Zulehner ('18)



# elasticity complex in 3D (sym $\nabla$ -Rot Rot $_{\mathbb{S}}^{\top}$ -Div $_{\mathbb{S}}$ -complex)

**Lemma/Theorem**  $\Downarrow$   $D(A_1) \cap D(A_0^*) \leftrightarrow H_1, \quad D(A_2) \cap D(A_1^*) \leftrightarrow H_2 \quad \text{cpt}$

(i) all Friedrichs-Poincaré type est hold

$$\text{est for } \mathcal{A}_0 \Leftrightarrow \forall \varphi \in D(\text{sym } \overset{\circ}{\nabla}) \cap R(\text{Div}_{\mathbb{S}}) = \dot{H}^1 \quad |\varphi|_{L^2} \leq c_0 |\text{sym } \nabla \varphi|_{L^2}$$

$$\text{est for } \mathcal{A}_0^* \Leftrightarrow \forall \Phi \in D(\text{Div}_{\mathbb{S}}) \cap R(\text{sym } \overset{\circ}{\nabla}) \quad |\Phi|_{L^2} \leq c_0 |\text{Div } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1 \Leftrightarrow \forall \Phi \in D(\text{Rot } \overset{\circ}{\text{Rot}}_{\mathbb{S}}^{\top}) \cap R(\text{Rot } \text{Rot}_{\mathbb{S}}^{\top}) \quad |\Phi|_{L^2} \leq c_1 |\text{Rot } \text{Rot}^{\top} \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1^* \Leftrightarrow \forall \Phi \in D(\text{Rot } \text{Rot}_{\mathbb{S}}^{\top}) \cap R(\text{Rot } \overset{\circ}{\text{Rot}}_{\mathbb{S}}^{\top}) \quad |\Phi|_{L^2} \leq c_1 |\text{Rot } \text{Rot}^{\top} \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2 \Leftrightarrow \forall \Phi \in D(\text{Div}_{\mathbb{S}}) \cap R(\text{sym } \nabla) \quad |\Phi|_{L^2} \leq c_2 |\text{Div } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2^* \Leftrightarrow \forall \varphi \in D(\text{sym } \nabla) \cap R(\text{Div}_{\mathbb{S}}) = H^1 \cap \text{RM}^{\perp} \quad |\varphi|_{L^2} \leq c_2 |\text{sym } \nabla \varphi|_{L^2}$$

(ii) all ranges  $R(A_n) = R(\mathcal{A}_n)$ ,  $R(A_n^*) = R(\mathcal{A}_n^*)$  are cl in  $L^2$

(iii) all inverse ops  $\mathcal{A}_n^{-1}$ ,  $(\mathcal{A}_n^*)^{-1}$  are cont, even cpt

(iv) all Helmholtz decomposition hold, e.g.,

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*) \Leftrightarrow L^2 = R(\text{sym } \overset{\circ}{\nabla}) \oplus_{L^2} \mathcal{H}_{D,\mathbb{S}} \oplus_{L^2} R(\text{Rot } \text{Rot}_{\mathbb{S}}^{\top})$$

(v) solution theories

(vi) variational formulations

(vii) functional a posteriori error estimates

(viii) div-curl-lemmas

(ix) ...





applications: fos & sos (first and second order systems)

# biharmonic / general relativity complex in 3D ( $\nabla\nabla$ -Rot<sub>S</sub>-Div<sub>T</sub>-complex)

$\Omega \subset \mathbb{R}^3$  bounded strong Lipschitz domain

$$\begin{array}{ccccccc}
 \{0\} & \begin{array}{c} \hookrightarrow_{\iota_{\{0\}}} \\ \leftarrow_{\pi_{\{0\}}} \end{array} & L^2 & \begin{array}{c} \nabla\nabla \\ \leftarrow_{\text{div Div}_S} \end{array} & L^2_S & \begin{array}{c} \mathring{\text{Rot}}_S \\ \leftarrow_{\text{sym Rot}_T} \end{array} & L^2_T & \begin{array}{c} \mathring{\text{Div}}_T \\ \leftarrow_{-\text{dev } \nabla} \end{array} & L^2 & \begin{array}{c} \leftarrow_{\pi_{RT}} \\ \hookrightarrow_{\iota_{RT}} \end{array} & RT
 \end{array}$$



applications: fos & sos (first and second order systems)

# biharmonic / general relativity complex in 3D ( $\nabla\nabla$ -Rot $_{\mathbb{S}}$ -Div $_{\mathbb{T}}$ -complex)

$\Omega \subset \mathbb{R}^3$  bounded strong Lipschitz domain

$$\{0\} \begin{array}{c} \xleftrightarrow{\mathcal{L}_{\{0\}}} \\ \xleftarrow{\pi_{\{0\}}} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\nabla\nabla} \\ \xleftarrow{\text{div Div}_{\mathbb{S}}} \end{array} L^2_{\mathbb{S}} \begin{array}{c} \xleftrightarrow{\mathring{\text{Rot}}_{\mathbb{S}}} \\ \xleftarrow{\text{sym Rot}_{\mathbb{T}}} \end{array} L^2_{\mathbb{T}} \begin{array}{c} \xleftrightarrow{\mathring{\text{Div}}_{\mathbb{T}}} \\ \xleftarrow{-\text{dev } \nabla} \end{array} L^2 \begin{array}{c} \xleftrightarrow{\pi_{\text{RT}}} \\ \xleftarrow{\mathcal{L}_{\text{RT}}} \end{array} \text{RT}$$

related fos ( $\nabla\nabla$ ,  $\text{div Div}_{\mathbb{S}}$  first order operators!)

$$\begin{array}{l} \nabla\nabla u = M \quad \text{in } \Omega \quad | \quad \mathring{\text{Rot}}_{\mathbb{S}} M = F \quad \text{in } \Omega \quad | \quad \mathring{\text{Div}}_{\mathbb{T}} N = g \quad \text{in } \Omega \quad | \quad \pi v = r \quad \text{in } \Omega \\ \pi u = 0 \quad \text{in } \Omega \quad | \quad \text{div Div}_{\mathbb{S}} M = f \quad \text{in } \Omega \quad | \quad \text{sym Rot}_{\mathbb{T}} N = G \quad \text{in } \Omega \quad | \quad -\text{dev } \nabla v = T \quad \text{in } \Omega \end{array}$$

related sos ( $\text{div Div}_{\mathbb{S}} \nabla\nabla = \mathring{\Delta}^2$  second order operator!)

$$\begin{array}{l} \text{div Div}_{\mathbb{S}} \nabla\nabla u = \mathring{\Delta}^2 u = f \quad \text{in } \Omega \quad | \quad \text{sym Rot}_{\mathbb{T}} \mathring{\text{Rot}}_{\mathbb{S}} M = G \quad \text{in } \Omega \quad | \quad -\text{dev } \nabla \mathring{\text{Div}}_{\mathbb{T}} N = T \quad \text{in } \Omega \\ \pi u = 0 \quad \text{in } \Omega \quad | \quad \text{div Div}_{\mathbb{S}} M = f \quad \text{in } \Omega \quad | \quad \text{sym Rot}_{\mathbb{T}} N = G \quad \text{in } \Omega \end{array}$$

corresponding compact embeddings:

$$D(\nabla\nabla) \cap D(\pi) = D(\nabla\nabla) = \mathring{H}^2 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem})$$

$$D(\mathring{\text{Rot}}_{\mathbb{S}}) \cap D(\text{div Div}_{\mathbb{S}}) \hookrightarrow L^2_{\mathbb{S}} \quad (\text{new selection theorem})$$

$$D(\mathring{\text{Div}}_{\mathbb{T}}) \cap D(\text{sym Rot}_{\mathbb{T}}) \hookrightarrow L^2_{\mathbb{T}} \quad (\text{new selection theorem})$$

$$D(\pi) \cap D(\text{dev } \nabla) = D(\text{dev } \nabla) = D(\nabla) = H^1 \hookrightarrow L^2 \quad (\text{Rellich's selection theorem and Korn type ineq.})$$

two new selection theorems for strong Lip. dom. and Korn Type ineq.: Py/Zulehner ('16)

biharmonic / general relativity complex in 3D ( $\nabla\nabla$ -Rot $_{\mathbb{S}}$ -Div $_{\mathbb{T}}$ -complex)

**Lemma/Theorem**  $\Downarrow$   $D(A_1) \cap D(A_0^*) \leftrightarrow H_1, \quad D(A_2) \cap D(A_1^*) \leftrightarrow H_2 \quad \text{cpt}$

(i) all Friedrichs-Poincaré type est hold

$$\text{est for } \mathcal{A}_0 \Leftrightarrow \forall \varphi \in D(\nabla\overset{\circ}{\nabla}) \cap R(\text{div Div}_{\mathbb{S}}) = \mathring{H}^2 \quad |\varphi|_{L^2} \leq c_0 |\nabla\overset{\circ}{\nabla}\varphi|_{L^2}$$

$$\text{est for } \mathcal{A}_0^* \Leftrightarrow \forall \Phi \in D(\text{div Div}_{\mathbb{S}}) \cap R(\nabla\overset{\circ}{\nabla}) \quad |\Phi|_{L^2} \leq c_0 |\text{div Div } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1 \Leftrightarrow \forall \Phi \in D(\mathring{\text{Rot}}_{\mathbb{S}}) \cap R(\text{sym Rot}_{\mathbb{T}}) \quad |\Phi|_{L^2} \leq c_1 |\text{Rot } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_1^* \Leftrightarrow \forall \Phi \in D(\text{sym Rot}_{\mathbb{T}}) \cap R(\mathring{\text{Rot}}_{\mathbb{S}}) \quad |\Phi|_{L^2} \leq c_1 |\text{sym Rot } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2 \Leftrightarrow \forall \Phi \in D(\mathring{\text{Div}}_{\mathbb{T}}) \cap R(\text{dev } \nabla) \quad |\Phi|_{L^2} \leq c_2 |\text{Div } \Phi|_{L^2}$$

$$\text{est for } \mathcal{A}_2^* \Leftrightarrow \forall \varphi \in D(\text{dev } \nabla) \cap R(\mathring{\text{Div}}_{\mathbb{T}}) = H^1 \cap \text{RT}^{\perp} \quad |\varphi|_{L^2} \leq c_2 |\text{dev } \nabla\varphi|_{L^2}$$

(ii) all ranges  $R(A_n) = R(\mathcal{A}_n)$ ,  $R(A_n^*) = R(\mathcal{A}_n^*)$  are cl in  $L^2$

(iii) all inverse ops  $\mathcal{A}_n^{-1}$ ,  $(\mathcal{A}_n^*)^{-1}$  are cont, even cpt

(iv) all Helmholtz decomposition hold, e.g.,

$$H_1 = R(A_0) \oplus K_1 \oplus R(A_1^*) \Leftrightarrow L_{\mathbb{S}}^2 = R(\nabla\overset{\circ}{\nabla}) \oplus_{L_{\mathbb{S}}^2} \mathcal{H}_{D,\mathbb{S}} \oplus_{L_{\mathbb{S}}^2} R(\text{sym Rot}_{\mathbb{T}}),$$

$$H_2 = R(A_1) \oplus K_2 \oplus R(A_2^*) \Leftrightarrow L_{\mathbb{T}}^2 = R(\mathring{\text{Rot}}_{\mathbb{S}}) \oplus_{L_{\mathbb{T}}^2} \mathcal{H}_{N,\mathbb{T}} \oplus_{L_{\mathbb{T}}^2} R(\text{dev } \nabla)$$

(v)-(ix) solution theories, variational formulations, functional a posteriori error estimates, div-curl-lemmas, ...



crucial property: compact embedding

## key tools to prove compact embeddings

- localisation to top triv domains by partition of unity  
(sec order ops = problems / regular decompositions in  $H^{-1}$  / ...)
- Helmholtz decompositions
- regular potentials
- Rellich's selection theorem



# regular potentials

## Theorem (regular potentials)

Let  $(\Omega, \Gamma_t)$  be a bounded strong Lipschitz pair and  $k \geq 0$ . Then there exists a continuous linear operator

$$S_{d,k}^q : \dot{H}_{\Gamma_t}^{k,q}(\Omega) \cap \dot{D}_{\Gamma_t,0}^q(\Omega) \cap \mathcal{H}^q(\Omega)^\perp \longrightarrow \dot{H}_{\Gamma_t}^{k+1,q-1}(\Omega),$$

such that  $d S_{d,k}^q = \text{id} |_{\dot{H}_{\Gamma_t}^{k,q}(\Omega) \cap \dot{D}_{\Gamma_t,0}^q(\Omega) \cap \mathcal{H}^q(\Omega)^\perp}$ . In particular,

$$\begin{aligned} \dot{H}_{\Gamma_t}^{k,q}(\Omega) \cap \dot{D}_{\Gamma_t,0}^q(\Omega) \cap \mathcal{H}^q(\Omega)^\perp &= \dot{H}_{\Gamma_t}^{k,q}(\Omega) \cap d \dot{D}_{\Gamma_t}^{q-1}(\Omega) \\ &= d \dot{H}_{\Gamma_t}^{k+1,q-1}(\Omega) \\ &= d \dot{D}_{\Gamma_t}^{k,q-1}(\Omega) \end{aligned}$$

and the regular  $\dot{H}_{\Gamma_t}^{k+1,q-1}(\Omega)$ -potential depends continuously on the data. Especially, these spaces are closed subspaces of  $\dot{H}^{k,q}(\Omega)$  and  $S_{d,k}^q$  is a right inverse to  $d$ .



crucial property: compact embedding

## regular decompositions

### Theorem (regular decompositions)

Let  $(\Omega, \Gamma_t)$  be a bounded strong Lipschitz pair and  $k \geq 0$ . Then the regular decompositions

$$\begin{aligned} \mathring{D}_{\Gamma_t}^{k,q}(\Omega) &= \mathring{H}_{\Gamma_t}^{k+1,q}(\Omega) + d \mathring{H}_{\Gamma_t}^{k+1,q-1}(\Omega) \\ &\quad \cap \quad \cup \\ &= \mathcal{S}_{d,k}^{q+1} d \mathring{D}_{\Gamma_t}^{k,q}(\Omega) \dot{+} (\mathring{H}_{\Gamma_t}^{k,q}(\Omega) \cap \mathring{D}_{\Gamma_t,0}^q(\Omega)) \end{aligned}$$

hold with linear and continuous regular decomposition resp. potential operators, which can be defined explicitly by the orthonormal Helmholtz projectors and the operators  $\mathcal{S}_{d,k}^q$ .

joint work with Ralf Hiptmair, Clemens Pechstein, Michael Schomburg, Walter Zulehner

# dual regular potentials and decompositions

Dual regular potentials and decompositions involving

$$\mathring{H}_{\Gamma_n}^{-k,q}(\Omega) = \mathring{H}_{\Gamma_t}^{k,q}(\Omega)'$$

can be proved by Banach space duality. E.g.:

- $\mathring{D}_{\Gamma_t}^{k,q}(\Omega)' = \mathring{\Delta}_{\Gamma_n}^{-k-1,q}(\Omega) := \{E' \in \mathring{H}_{\Gamma_n}^{-k-1,q}(\Omega) : \delta E' \in \mathring{H}_{\Gamma_n}^{-k-1,q-1}(\Omega)\}$
- dual ranges are closed
- dual Friedrichs/Poincaré typ estimates, inf-sup condition, i.e.,

$$\delta^{-1} : \delta \mathring{H}_{\Gamma_n}^{-k,q}(\Omega) \longrightarrow (\mathring{d} \mathring{H}_{\Gamma_t}^{k+1,q-1}(\Omega))' \quad \text{cont}$$



$$\forall H' \in (\mathring{d} \mathring{H}_{\Gamma_t}^{k+1,q-1}(\Omega))' \quad \frac{1}{c} |H'|_{\mathring{H}_{\Gamma_n}^{-k,q}(\Omega)} \leq |\delta H'|_{\mathring{H}_{\Gamma_n}^{-k-1,q-1}(\Omega)} \leq c |H'|_{\mathring{H}_{\Gamma_n}^{-k,q}(\Omega)}$$



$$0 < \inf_{0 \neq H \in \mathring{H}_{\Gamma_t}^{k+1,q-1}(\Omega)} \sup_{0 \neq E \in \mathring{H}_{\Gamma_t}^{k+1,q-1}(\Omega)} \frac{\langle H, \mathring{d} E \rangle_{\mathring{H}_{\Gamma_t}^{k,q}(\Omega)}}{|H|_{\mathring{H}_{\Gamma_t}^{k,q}(\Omega)} |E|_{\mathring{H}_{\Gamma_t}^{k+1,q-1}(\Omega)}}$$



crucial property: compact embedding

## dual regular potentials and decompositions

$\Omega$  top triv  $\Rightarrow$

$$d \mathring{H}_{\Gamma_t}^{k+1, q-1}(\Omega) = \mathring{H}_{\Gamma_t}^{k, q}(\Omega) \cap \mathring{D}_{\Gamma_t, 0}^q(\Omega)$$

$$\delta \mathring{H}_{\Gamma_n}^{-k, q}(\Omega) = \mathring{\Delta}_{\Gamma_n, 0}^{-k-1, q-1}(\Omega) = \{H' \in \mathring{H}_{\Gamma_n}^{-k-1, q-1}(\Omega) : \delta H' = 0\}$$



## literature (fa-toolbox, complexes, a posteriori error estimates, ...)

some results of this talk:

- Py: *Solution Theory, Variational Formulations, and Functional a Posteriori Error Estimates for General First Order Systems with Applications to Electro-Magneto-Statics and More*, (NFAO) Numerical Functional Analysis and Optimization, 2019

## literature (complexes, Friedrichs type constants, Maxwell constants)

results of this talk:

- Py: *On Constants in Maxwell Inequalities for Bounded and Convex Domains*, Zapiski POMI/ (JMS)Journal of Mathematical Sciences (Springer New York), 2015
- Py: *On Maxwell's and Poincare's Constants*, (DCDS) Discrete and Continuous Dynamical Systems - Series S, 2015
- Py: *On the Maxwell Constants in 3D*, (M2AS) Mathematical Methods in the Applied Sciences, 2017
- Py: *On the Maxwell and Friedrichs/Poincaré Constants in ND*, (MZ) Mathematische Zeitschrift, 2019
  
- Py: ... *some (so far) unpublished results*

# literature (complexes, Friedrichs type constants, compact embeddings)

- Weck, N.: *Maxwell's boundary value problems on Riemannian manifolds with nonsmooth boundaries*,  
(JMA2) Journal of Mathematical Analysis and Applications, 1974 (1972)
- Picard, R.: *An elementary proof for a compact imbedding result in generalized electromagnetic theory*,  
(MZ) Mathematische Zeitschrift, 1984
- Witsch, K.-J.: *A remark on a compactness result in electromagnetic theory*,  
(M2AS) Mathematical Methods in the Applied Sciences, 1993

results of this talk:

- Bauer, S., Py, Schomburg, M.: *The Maxwell Compactness Property in Bounded Weak Lipschitz Domains with Mixed Boundary Conditions*,  
(SIMA) SIAM Journal on Mathematical Analysis, 2016
- Py, Zulehner, W.: *The  $\operatorname{div}\operatorname{Div}$ -Complex and Applications to Biharmonic Equations*,  
(AA) Applicable Analysis, 2019
- Hiptmair, R., Pechstein, C. Py, Schomburg, M., Zulehner, W.: *Regular Potentials and Regular Decompositions for Bounded Strong Lipschitz Domains with Mixed Boundary Conditions in Arbitrary Dimensions*,  
almost submitted
- Py, Schomburg, M., Zulehner, W.: *The Elasticity Complex*,  
almost submitted





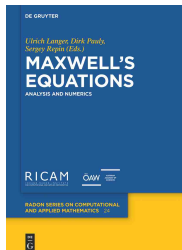


## literature (full time-dependent Maxwell equations)

- Py, Picard, R.: *A Note on the Justification of the Eddy Current Model in Electrodynamics*,  
(M2AS) Mathematical Methods in the Applied Sciences, 2017
- Py, Picard, R., Trostorff, S., Waurick, M.: *On a Class of Degenerate Abstract Parabolic Problems and Applications to Some Eddy Current Models*,  
submitted, 2019

# literature (Maxwell's equations and more...)

upcoming book (Monday!)



- Langer, U., Pauly, D., Repin, S. (Eds): *Maxwell's equations. Analysis and numerics*, Radon Series on Applied Mathematics, De Gruyter, July 2019

