

# Relating Dirichlet/Neumann fields in bounded and unbounded Lipschitz domains

Dirk Pauly, Frank Osterbrink

Faculty of Mathematics, University of Duisburg-Essen

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UNIVERSITÄT  
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*Open-Minded*

## Motivation

time-harmonic Maxwell equations:  $\Omega \subset \mathbb{R}^3$  exterior domain,  $\Gamma := \partial\Omega = \overline{\Gamma_1 \cup \Gamma_2}$

$$-\operatorname{rot} H + i\omega\varepsilon E = F \quad \text{on } \Omega, \quad n \times E = 0 \quad \text{on } \Gamma_1,$$

$$\operatorname{rot} E + i\omega\mu H = G \quad \text{on } \Omega, \quad n \times H = 0 \quad \text{on } \Gamma_2.$$

$\Leftrightarrow$  rewrite:  $(M - \omega)u_\omega = f$  ,  $M := \begin{pmatrix} 0 & -i\varepsilon^{-1}\operatorname{rot} \\ i\mu^{-1}\operatorname{rot} & 0 \end{pmatrix}$

$\Leftrightarrow$  polynomially weighted Sobolev spaces  $\rightsquigarrow$  sol. op.  $u_\omega = \mathcal{L}_\omega f$

long time goal:

low frequency asymptotics, i.e.,  $\lim_{\omega \rightarrow 0} \mathcal{L}_\omega = ?$

$\Leftrightarrow$  form suggests "Neumann-series": ( $\mathcal{L}_0$  static solution operator)

$$(M - \omega)u_\omega = f \rightsquigarrow (1 - \omega\mathcal{L}_0)u_\omega = \mathcal{L}_0(M - \omega)u_\omega = \mathcal{L}_0 f$$

$$\rightsquigarrow u_\omega = (1 - \omega\mathcal{L}_0)^{-1}\mathcal{L}_0 f = \sum_{j=0}^{\infty} \omega^j \mathcal{L}_0^j \mathcal{L}_0 f$$

$\Rightarrow$

iteration of static solution operator!

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$\hookrightarrow$  (static) solution theory only in weighted Sobolev spaces!

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## Lebesgue/Sobolev spaces

usual:  $L^2(\Omega)$ ,  $H^1(\Omega)$ 

$$R(\Omega) := \left\{ u \in L^2(\Omega) \mid \operatorname{rot} u \in L^2(\Omega) \right\} \quad \left( H(\operatorname{curl}; \Omega) \right)$$

$$D(\Omega) := \left\{ u \in L^2(\Omega) \mid \operatorname{div} u \in L^2(\Omega) \right\} \quad \left( H(\operatorname{div}; \Omega) \right)$$

weighted:  $t \in \mathbb{R}$  and  $\rho = \rho(x) = (1 + |x|^2)^{\frac{1}{2}}$ ,  $x \in \mathbb{R}^3$ 

$$L_t^2(\Omega) := \left\{ u \in L_{\text{loc}}^2(\Omega) \mid \rho^t u \in L^2(\Omega) \right\} \quad H_t^1(\Omega) := \left\{ u \in L_t^2(\Omega) \mid \nabla u \in L_{t+1}^2(\Omega) \right\}$$

$$R_t(\Omega) := \left\{ u \in L_t^2(\Omega) \mid \operatorname{rot} u \in L_{t+1}^2(\Omega) \right\} \quad D_t(\Omega) := \left\{ u \in L_t^2(\Omega) \mid \operatorname{div} u \in L_{t+1}^2(\Omega) \right\}$$

boundary conditions:  $V_{\Gamma_i}(\Omega) := \overline{C_{\Gamma_i}^\infty(\Omega)}^{\|\cdot\|_{V(\Omega)}}$ ,  $V \in \{H^1, R, D, H_t^1, R_t, D_t\}$ ,

$$C_{\Gamma_i}^\infty(\Omega) := \left\{ \varphi|_\Omega \mid \varphi \in \mathring{C}^\infty(\mathbb{R}^3) \text{ and } \operatorname{dist}(\operatorname{supp} \varphi, \Gamma_i) > 0 \right\}$$

kernels: "zero in the lower left", e.g.,

$${}_0R(\Omega), {}_0D_{\Gamma_i}(\Omega), {}_0R_t(\Omega), {}_0D_{t, \Gamma_i}(\Omega), \dots$$



## Known Results

$${}_0D_\Gamma(\Omega) = \left\{ u \in D_\Gamma(\Omega) \mid \operatorname{div} u = 0 \right\}$$

$${}_0R_\Gamma(\Omega) = \left\{ u \in R_\Gamma(\Omega) \mid \operatorname{rot} u = 0 \right\}$$

$$\mathcal{N}(\Omega) := \mathcal{H}_{\emptyset, \Gamma}(\Omega) = {}_0R(\Omega) \cap \varepsilon^{-1} {}_0D_\Gamma(\Omega)$$

$$\mathcal{D}(\Omega) := \mathcal{H}_{\Gamma, \emptyset}(\Omega) = {}_0R_\Gamma(\Omega) \cap \varepsilon^{-1} {}_0D(\Omega)$$

→ full boundary conditions: Dirichlet-fields ( $\Gamma_t = \Gamma, \Gamma_n = \emptyset$ )

## Theorem (Picard 82', 85', 86')

There exists a finite set  $\mathring{B}_e \subset {}_0R_\Gamma(\Omega)$  of compactly supported functions, such that their projections along  $\overline{\nabla H_\Gamma^1(\Omega)}$  form a basis of Dirichlet-fields  $\mathcal{D}(\Omega) := \mathcal{H}_{\Gamma, \emptyset}(\Omega)$  and

$$\mathring{B}_e(\Omega)^\perp \cap \mathcal{D}(\Omega) = \{0\}.$$

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## Theorem (Picard 82', 85', 86')

There exists a finite set  $B_m \subset {}_0R(\Omega)$  of compactly supported functions, such that their projections along  $\overline{\nabla H^1(\Omega)}$  form a basis of Neumann-fields  $\mathcal{N}(\Omega) := \mathcal{H}_{\emptyset, \Gamma}(\Omega)$  and

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## Main Result

$$H_{\Gamma_t}^1(\Omega) = \overline{C_{\Gamma_t}^\infty(\Omega)}^{\|\cdot\|_{H^1(\Omega)}}$$

$${}_0R_{\Gamma_t}(\Omega) = \left\{ u \in R_{\Gamma_t}(\Omega) \mid \operatorname{rot} u = 0 \right\}$$

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TODAYS QUESTION:

Is there a "suitable" substitution  $B_R(\Omega)$  for  $\mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega)$ ?

suitable: finite, compactly supported,  $B_R(\Omega) \subset {}_0R_t(\Omega)$  and

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→ mixed boundary conditions: ?????

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→ mixed boundary conditions:

Yes, there is!

## Theorem

*There exists a finite set  $B_R(\Omega) \subset {}_0R_{\Gamma_t}(\Omega)$  of compactly supported functions, such that their projections along  $\overline{\nabla H_{\Gamma_t}^1(\Omega)}$  form a basis of  $\mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega)$  and*

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## Ingredients

$$H_t^1(\Omega) = \left\{ u \in L_t^2(\Omega) \mid \nabla u \in L_{t+1}^2(\Omega) \right\}$$

$$D_t(\Omega) = \left\{ u \in L_t^2(\Omega) \mid \operatorname{div} u \in L_{t+1}^2(\Omega) \right\}$$

$$\Omega_r = \Omega \cap U(r), \quad \check{U}(r) = \mathbb{R}^3 \setminus \overline{U(r)},$$

$$R_t(\Omega) = \left\{ u \in L_t^2(\Omega) \mid \operatorname{rot} u \in L_{t+1}^2(\Omega) \right\}$$

$$\mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega) = {}_0R_{\Gamma_t}(\Omega) \cap \varepsilon^{-1} {}_0D_{\Gamma_n}(\Omega)$$

$$\Sigma = \partial B_r(0), \quad \hat{\Gamma}_i = \Gamma_i \cup \Sigma \quad (i = 1, 2)$$

Weck's (local) selection theorem:

$$R_{\Gamma_t}(\Omega) \cap D_{\Gamma_n}(\Omega) \hookrightarrow L_{\text{loc}}^2(\overline{\Omega})$$

$$\implies {}_0R_{\hat{\Gamma}_t}(\Omega_r) \cap D_{\Gamma_n}(\Omega_r) \hookrightarrow L^2(\Omega_r)$$

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$$* \quad \boxed{\dim \mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega) < \infty}$$

$$* \quad \begin{cases} \overline{\nabla H_{\Gamma_i}^1(\Omega)} = \overline{\nabla H_{-1, \Gamma_i}^1(\Omega)} = \nabla H_{-1, \Gamma_i}^1(\Omega) \\ \overline{\operatorname{rot} R_{\Gamma_i}(\Omega)} = \overline{\operatorname{rot} R_{-1, \Gamma_i}(\Omega)} = \operatorname{rot} R_{-1, \Gamma_i}(\Omega) \end{cases}$$

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$$* \quad \overline{\nabla H_{\Gamma_i}^1(\Omega_r)} = \nabla H_{\Gamma_i}^1(\Omega_r) \quad , \quad \overline{\operatorname{rot} R_{\Gamma_i}(\Omega_r)} = R_{\Gamma_i}(\Omega_r)$$

# Notations and Assumptions

$$R(\Omega) = \left\{ u \in L^2(\Omega) \mid \operatorname{rot} u \in L^2(\Omega) \right\}$$

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Assumptions:  $\Omega \subset \mathbb{R}^3$  exterior Lipschitz domain,  $\Gamma := \partial\Omega = \overline{\Gamma_t} \cup \Gamma_n$   
 $r > 0$  such that  $\Omega \cap U_r$  contains "essential topology"

Notations:

↪ special domains:  $U := B_r(0)$  ,  $\Omega_r := \Omega \cap U$  ,  $\check{U} = \mathbb{R}^3 \setminus \overline{U}$

↪ "artificial boundary":  $\Sigma := \partial U$  ,  $\hat{\Gamma}_t := \Gamma_t \cup \Sigma$

↪ extensions and restrictions

$\widetilde{\mathcal{H}}_{\hat{\Gamma}_t, \Gamma_n}(\Omega_r) :=$  "extend  $h \in \mathcal{H}_{\hat{\Gamma}_t, \Gamma_n}(\Omega_r)$  by zero to  $\Omega$ "

$\mathcal{H}_{\widetilde{\Gamma}_t, \Gamma_n}(\Omega) :=$  "restrict  $h \in \mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega)$  to  $\Omega_r$ "

↪ Helmholtz-decompositions:  $(A : D(A) \subset H_1 \longrightarrow H_2 \text{ lddc } \rightsquigarrow H_2 = R(A) \oplus N(A^*))$

$$\begin{aligned} L^2(\Omega) &= \overline{\nabla H_{\Gamma_t}^1(\Omega)} \oplus {}_0D_{\Gamma_n}(\Omega) \quad , \quad L^2(\Omega_r) = \overline{\operatorname{rot} R_{\Gamma_n}(\Omega_r)} \oplus {}_0R_{\hat{\Gamma}_t}(\Omega_r) \\ &= \nabla H_{-1, \Gamma_t}^1(\Omega) \oplus {}_0D_{\Gamma_n}(\Omega) \quad \quad \quad = \operatorname{rot} R_{\Gamma_n}(\Omega_r) \oplus {}_0R_{\Gamma_t}(\Omega_r) \end{aligned}$$

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## Lemma

The projection of  $\widetilde{\mathcal{H}_{\hat{\Gamma}_t, \Gamma_n}(\Omega_r)} \subset {}_0R_{\Gamma_t}(\Omega)$  along  $\overline{\nabla H_{\Gamma_t}^1(\Omega)}$  on  $\mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega)$  is injective.

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easy choice: take a basis  $\mathcal{B}$  of  $\mathcal{H}_{\hat{\Gamma}_1, \Gamma_n}(\Omega_r) \rightarrow \boxed{B_R(\Omega) := \tilde{\mathcal{B}}}$  (zero extension!)

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$$\mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega) = {}_0R_{\Gamma_t}(\Omega) \cap \varepsilon^{-1} {}_0D_{\Gamma_n}(\Omega)$$

$$\Sigma = \partial B_r(0), \quad \hat{\Gamma}_i = \Gamma_i \cup \Sigma \quad (i = 1, 2)$$

easy choice: take a basis  $\mathcal{B}$  of  $\mathcal{H}_{\hat{\Gamma}_1, \Gamma_n}(\Omega_r) \rightarrow \boxed{B_R(\Omega) := \tilde{\mathcal{B}}}$  (zero extension!)

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## Proof

$$H_t^1(\Omega) = \left\{ u \in L_t^2(\Omega) \mid \nabla u \in L_{t+1}^2(\Omega) \right\}$$

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## Lemma

*It holds:*

$$B_R(\Omega)^\perp \cap \mathcal{H}_{\Gamma_t, \Gamma_n}(\Omega) = \{0\}.$$