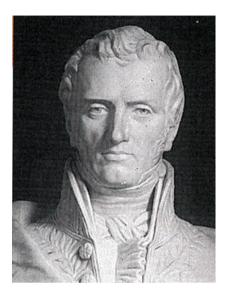
# The Navier-Stokes equations –



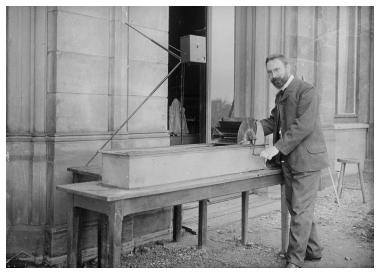
A never ending challenge?



Werner Varnhorn

Institute of Mathematics – Kassel University – Germany <u>varnhorn@mathematik.uni-kassel.de</u>

Workshop AANMPDE 10 Paleochora, Crete October 5, 2017

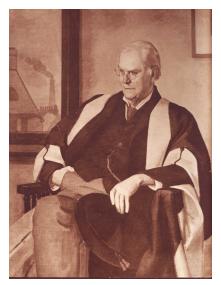


Ludwig Prandtl (1875 – 1953)

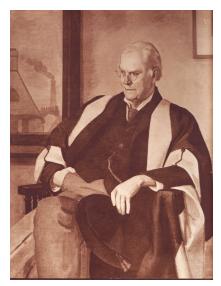


"'Fluid dynamicists were divided into hydraulic engineers who observed what could not be explained, and mathematicians who explained things that could not be observed."'

Sir Cyril Hinshelwood (1897 – 1967)



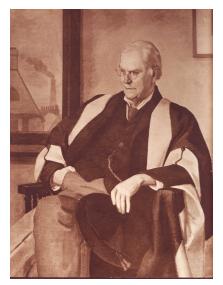
#### Sir Horace Lamb (1849 – 1934)



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Sydney Goldstein (1903 – 1989)



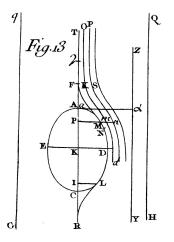
Sir Horace Lamb (1849 – 1934) "'You can read all of Lamb without realizing that water is wet!"'



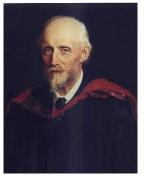
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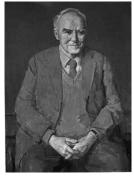
Jean D'Alembert (1717 – 1783)



" 'Opuscules mathématiques" '







Osborne Reynolds (1842 – 1912)

Ludwig Prandtl (1875 – 1953)

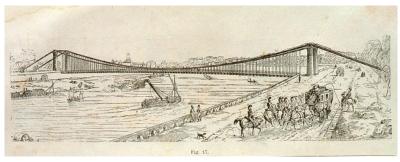
Sir Geoffrey Taylor (1886 – 1975)



Zuse Z4 (1950) with Ferrit kernel storage and multiple punch card reader



Pont des Invalides Paris, original drawing by Navier (1823)



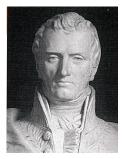
Pont des Invalides Paris, original drawing by Navier (1823)



In 1826, September 6, the right pylon of Navier's bridge collapsed, caused by cracks in a water pipe!



Jacques Hadamard (1865 – 1963) "A mathematical problem is called well-posed, if there exists a solution which is uniquely determined and depends continuously on the data."



Henri Navier (1785 – 1836)







Saint-Venant (1797 - 1886)



Henri Navier (1785 – 1836)



Saint-Venant (1797 – 1886)



Sir Gabriel Stokes (1819 – 1903)

## The equations of motion:

#### Henri Navier:

Mémoire sur les lois du mouvement des fluides (1822)

#### Saint-Venant:

Mémoire sur la dynamique des fluides (1834)

#### Gabriel Stokes:

On the Theories of the Internal Friction of Fluids in Motion (1845)

#### The equations of motion:

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On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 



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 $\boldsymbol{v} = \boldsymbol{v}(t, \boldsymbol{x}):$  velocity field



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 $p = p(t,oldsymbol{x}):$  kinematic pressure



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> $oldsymbol{v} = oldsymbol{v}(t, oldsymbol{x})$ : velocity field  $p = p(t, oldsymbol{x})$ : kinematic pressure  $oldsymbol{f} = oldsymbol{f}(t, oldsymbol{x})$ : external force density



On 
$$\partial G$$
:  $\boldsymbol{v} = 0$   
In  $t = 0$ :  $\boldsymbol{v} = \boldsymbol{v_0}$ 

 $\boldsymbol{v} = \boldsymbol{v}(t, \boldsymbol{x})$ : velocity field

 $p = p(t, \boldsymbol{x})$ : kinematic pressure f = f(t, x): external force density  $\nu > 0$ : kinematic viscosity



On  $\partial G$ :  $\boldsymbol{v} = 0$  $\ln t = 0: \quad v = v_0$ 

- $\boldsymbol{v} = \boldsymbol{v}(t, \boldsymbol{x})$ : velocity field  $v_0 = v_0(x)$ : initial velocity
- $p = p(t, \boldsymbol{x})$ : kinematic pressure  $\boldsymbol{f} = \boldsymbol{f}(t, \boldsymbol{x})$ : external force density  $\nu > 0$ : kinematic viscosity



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 

 $\partial_t$ : partial time derivative



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 

> $\partial_t$ : partial time derivative  $\Delta$ : Laplace operator



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 

 $\partial_t$ :partial time derivative $\Delta$ :Laplace operator $\nabla$ :gradient



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 

#### Balance of forces



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 

#### Balance of forces Conservation of mass



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 

> Balance of forces Conservation of mass No-slip condition on the boundary



On  $\partial G$ :  $\boldsymbol{v} = 0$ In t = 0:  $\boldsymbol{v} = \boldsymbol{v_0}$ 

> Balance of forces Conservation of mass No-slip condition on the boundary Initial condition



On 
$$\partial G$$
:  $\boldsymbol{v} = 0$   
In  $t = 0$ :  $\boldsymbol{v} = \boldsymbol{v_0}$ 

Given:  

$$f = f(t, x), \nu > 0, v_0 = v_0(x)$$
  
Construct:  
 $v = v(t, x), p = p(t, x).$ 



► Nonlinear system: Uniqueness? Global Existence?

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- The pressure acts non-locally
- Non-classical parabolic system





 Carlo Miranda (Naples, Italy): The Navier-Stokes equations mean an open door to hell!



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 Carlo Miranda (Naples, Italy): The Navier-Stokes equations mean an open door to hell!

 Kyuya Masuda (Tohoku, Sendai, Japan): The Navier-Stokes equations are like a jungle with rare fruits hanging in high trees.
 But these fruits are delicious!

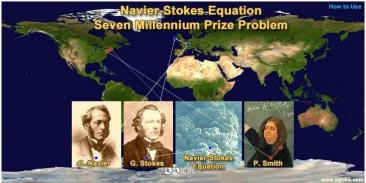
## Clay Mathematics Institute: Millennium Price Problem (1 Million \$)

"'Although the Navier-Stokes equations were written down in the 19th Century, our understanding of them remains minimal. The challenge is to make substantial progress toward a mathematical theory, which will unlock the secrets hidden in the Navier-Stokes equations."'



#### ... only 5 problems left?

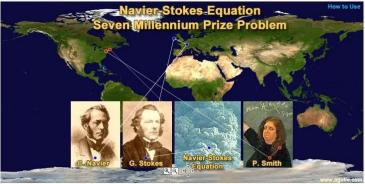
Home | News | Poincare Conjecture: Grigory Perelman | Navier-Stokes Equation



Instruction: Hover over any red or blue icon to view detail. Click on any red or blue icon to "zoom in". Use the Zoom (+ and -) and the Arrow buttons to move

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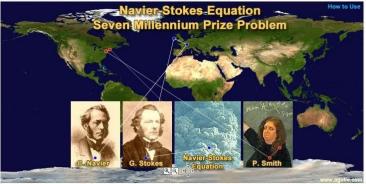


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Penny Smith withdrew her paper "Immortal smooth solution of the 3 space dimensional Navier-Stokes system" in 2006, October 6, due to a "serious flaw"!



ASTANA. January 10, 2014, 16:33 (10:33 GMT). BNews.kz Photo resource enu.kz

#### Academician from Astana Mukhtarbai Otelbayev has solved one of seven most difficult mathematical millennium problems, the press service of the Eurasian National University reports.

Mukhtarbay Otelbaev, Prof. Dr., Academician of the NAS of the RK, Director of the Eurasian Mathematical Institute of L.N. Gumilyov Eurasian National University, completed and published paper "Existence of a strong solution of the Navier-Stokes equations" in the The importance of the publication is press. that this problem is included in the 7 most complex mathematical problems, which are called "millennium problems". Note that for the solution of each of these problems Clay Mathematics Institute in early 2000 announced a prize of \$1 million. Currently, only one of the seven Millennium problems (Poincaré conjecture) is solved. The Fields Prize for her decision was awarded to G.Perelman. Full Article of Muhtarbay Otelbaev was published in "Mathematical Journal" (2013, v.13, № 4 (50)) http://www.math.kz/index.php/ru/513.

To my shame, on page 56 the inequality (6.34) is incorrect, therefore, the proposition 6.3 (p. 54) isn't proved!

шешн түйіндес оп анықталған (0,a) BI ap артын кан u(t) memim  $u + Au \in$ dt Дағы. пияларь ындай ше ≠ 0 BCKT ын He



# Navier-Stokes Equations—Millennium Prize Problems

#### Asset A. Durmagambetov, Leyla S. Fazilova

System Research "Factor" Company, Astana, Kazakhstan Email: <u>asset.durmagambet@gmail.com</u>

Received 6 February 2015; accepted 24 February 2015; published 27 February 2015

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### Abstract

In this work, we present final solving Millennium Prize Problems formulated by Clay Math. Inst., Cambridge. A new uniform time estimation of the Cauchy problem solution for the Navier-Stokes equations is provided. We also describe the loss of smoothness of classical solutions for the Navier-Stokes equations.

#### Fundamental contributions since 1900:

- ► Jean Leray: Sur le mouvement d'un liquide visqueux emplissant l'espace. Acta Math. 63 (1934)
- Eberhard Hopf: Über die Anfangsrandwertaufgabe f
  ür die hydrodynamischen Grundgleichungen. Math. Nachr. 4 (1951)
- A. A. Kiselev und O. A. Ladyzhenskaya: On existence and uniqueness of the solution of the nonstationary problem for a viscous incompressible fluid. Izv. Akad. Nauk. SSSR 21 (1957)
- James Serrin: The initial value problem for he Navier-Stokes equations. Univ. Wisconsin Press 9 (1963)



Jean Leray - Parc de Sceaux (1985)





$$E_{kin} \cong ||v(t, \cdot)||^2 = \int_G |v(t, x)|^2 \, \mathrm{d}x$$

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#### Weyl:

$$L^{2}(G) = \mathcal{H}^{0}(G) \oplus \mathcal{G}(G)$$
$$u = v + \nabla p$$

with

$$\begin{aligned} \mathcal{H}^0(G) &= \{ v | \nabla \cdot v = 0 \text{ in } G, v \cdot N = 0 \text{ on } \partial G \} \\ &= \overline{C_{0,\sigma}^{\infty}(G)}^{\| \cdot \|} \\ \mathcal{G}(G) &= \{ v | v = \nabla p \text{ in } G \} \end{aligned}$$

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Hence:

$$(v, \nabla p)_{L^2} = \int_G v(x) \cdot \nabla p(x) \,\mathrm{d}t = 0$$

$$P: L^2(G) \longrightarrow \mathcal{H}^0(G)$$

Then:

$$\begin{aligned} Pv &= v & \text{if} & v \in \mathcal{H}^0(G) \\ P\nabla p &= 0 & \text{if} & \nabla p \in \mathcal{G}(G). \end{aligned}$$

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Navier-Stokes equations In  $(0,T) \times G$ :

$$\partial_t v - \nu P \Delta v + P(v \cdot \nabla v) = Pf$$

For t = 0:

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Theorem (Leray-Hopf): Let T > 0. For  $v_0 \in \mathcal{H}^0(G)$ ,  $\nu > 0$ and  $f \in L^2(0,T; L^2(G))$  there is at least one weak solution vof the Navier-Stokes equations.



Jean Leray - Parc de Sceaux (1985)

Leray 1933, 1934

... one weak solution of (NS)!"

#### "There is at least ...



Hopf 1951

Def: Let  $v_0 \in \mathcal{H}^0(G)$ ,  $f \in L^2(0,T;L^2(G))$ . A function  $v \in L^{\infty}(0,T;\mathcal{H}^0(G) \cap L^2(0,T;\mathcal{H}^1(G))$ 

is called a weak solution of the NSE, if

$$\int_0^T \left( \left( -v, \phi_t \right)_{L^2} + \nu \left( \nabla v, \nabla \phi \right)_{L^2} - \left( v \cdot \nabla \phi, v \right)_{L^2} \right) \mathrm{d}t$$
$$= \left( v_0, \varphi(0) \right)_{L^2} + \int_0^T \left( f, v \right) \mathrm{d}t$$

for all  $\phi \in C_0^{\infty}([0,t); C_{0,\sigma}^{\infty}(G))$ , and if the energy inequality

$$\|v(t)\|^{2} + 2\nu \int_{0}^{T} \|\nabla v(\tau)\|^{2} \,\mathrm{d}\tau \le \|v_{0}\|^{2} + \int_{0}^{t} (f(\tau), v(\tau)) \,\mathrm{d}\tau$$

holds.

### Strong solutions:

A weak solution u of (NS) is called a strong solution of (NS), if there are numbers s, q (the so-called Serrin exponents) with

$$2 < s < \infty, \quad 3 < q < \infty, \quad \frac{2}{s} + \frac{3}{q} = 1$$

such that additionally Serrin's condition

$$u \in L^s(0,T;L^q(\Omega))$$

is satisfied.



"Strong solutions are unique!"

Masuda 1984 Kozono & Sohr 1996

Serrin 1963

Let

$$\partial \Omega \in C^{\infty}, \quad F \in C^{\infty}((0,T) \times \overline{\Omega})$$
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Serrin 1962, 1963 Heywood 1980, 1988 Galdi & Maremonti 1988 Beirao da Veiga 1995, 1997 Neustupa 1999

# Serrin versus Leray-Hopf

Serrin class of strong solutions: Existence ? Uniqueness ! Regularity ! Leray-Hopf class of weak solutions: Existence ! Uniqueness ? Regularity ?



# Let us collect:

For n = 2 (planar flow), the Navier-Stokes equations are well posed (Serrin)

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### Strong solutions locally in time:

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Theorem (Prodi 1962, Heywood 1981): Let in addition  $\nabla v_0 \in L^2(G)$  and  $f \in L^2(0,T; H^1(G))$ . Then there is a time  $T^* = T^*(\|\nabla v_0\|, \nu, f) > 0$  such that there exists a unique strong solution v of the NSE in  $(0, T^*) \times G$ .

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Construct an a-priori estimate for

 $t \longrightarrow \|\nabla v(t, \cdot)\|^2, \quad 0 \le t \le T$ 

Energy equation (f = 0):

$$\Bigl(\partial_t v(t) - \nu \Delta v(t) + v(t) \cdot \nabla v(t) + \nabla p(t), \, v(t) \Bigr)_{L^2} = 0$$
  $L^2\text{-orthogonality}$ 

$$\left(v(t)\cdot\nabla v(t),\,v(t)\right)_{L^2}=0$$

implies

$$\frac{1}{2}\frac{\mathrm{d}}{\mathrm{d}t}\|v(t)\|^2 + \nu \|\nabla v(t)\|^2 = 0$$

Integration:

$$\|v(t)\|^{2} + 2\nu \int_{0}^{t} \|\nabla v(s)\|^{2} \,\mathrm{d}s = \|v_{0}\|^{2}$$

implies

$$\int_0^T \|\nabla v(t)\|^2 \,\mathrm{d}t < \infty$$

Gradient estimate (f = 0)

$$\left(\partial_t v(t) - \nu \Delta v(t) + v(t) \cdot \nabla v(t) + \nabla p(t), -P \Delta v(t)\right)_{L^2} = 0$$

#### Estimate

$$|(v(t) \cdot \nabla v(t), -P\Delta v(t))| \leq \frac{\nu}{2} \|P\Delta v(t)\|^2 + K_n \|\nabla v(t)\|^{2n}$$
 implies

$$\frac{\mathrm{d}}{\mathrm{d}t} \|\nabla v(t)\|^2 + \nu \|P\Delta v(t)\|^2 \le \begin{cases} K_2 \|\nabla v(t)\|^4 & (n=2)\\ K_3 \|\nabla v(t)\|^6 & (n=3) \end{cases}$$

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$$\psi'(t) \leq \begin{cases} K_2 \psi^2(t) & (n=2) \\ K_3 \psi^3(t) & (n=3) \end{cases}$$

Differential inequality (n = 2)

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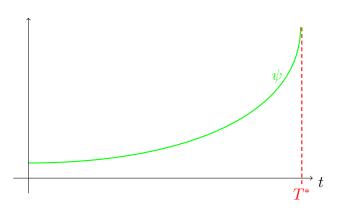
Assume solves DI with IC

$$t \longrightarrow \psi(t) := \|\nabla v(t, \cdot)\|^2 \qquad (0 \le t < T^*)$$
  
$$\psi'(t) \le K_2 \psi^2(t)$$
  
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Consider

DE	$\phi'(t) = K_2 \phi^2(t)$
with IC	$\phi(0) = \ \nabla v_0\ ^2$

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DE 
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Then

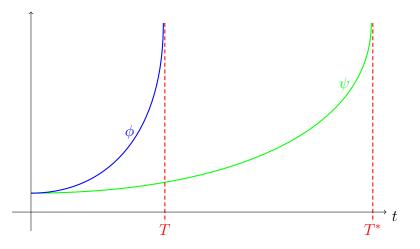
$$t \longrightarrow \phi(t) = \frac{1}{\frac{1}{\|\nabla v_0\|^2} - K_2 \cdot t}, \quad \left(0 \le t < T = \frac{1}{K_2 \cdot \|\nabla v_0\|^2}\right)$$

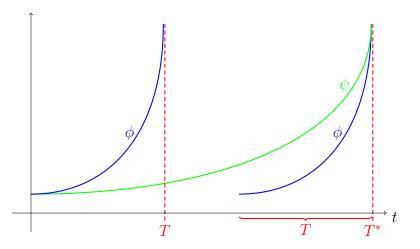
Consider

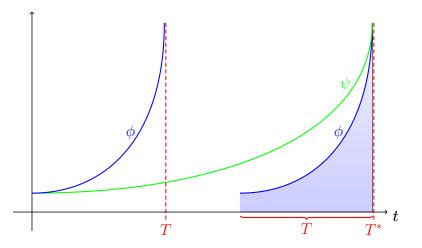
DE 
$$\phi'(t) = K_2 \phi^2(t)$$
  
with IC  $\phi(0) = \|\nabla v_0\|^2$ 

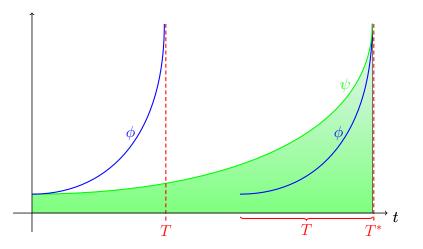
Then

$$t \longrightarrow \phi(t) = \frac{1}{\frac{1}{\|\nabla v_0\|^2} - K_2 \cdot t}, \quad \left(0 \le t < T = \frac{1}{K_2 \cdot \|\nabla v_0\|^2}\right)$$
  
Note: 
$$\int_0^T \phi(t) \, \mathrm{d}t = \infty$$









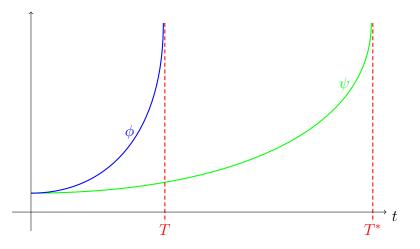
# Differential inequality (n=3)

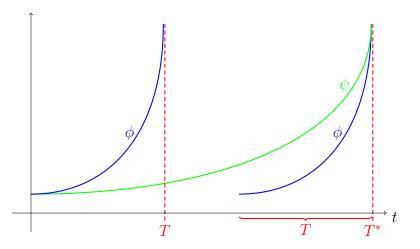
#### Differential inequality (n=3)

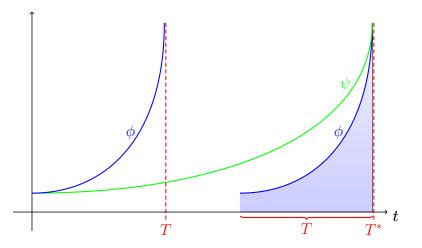
$$\begin{split} t \longrightarrow &\phi(t) = \frac{1}{\sqrt{\frac{1}{\|\nabla v_0\|^4} - 2 \cdot K_3 \cdot t}}\\ \text{solves DE} \qquad \phi'(t) = K_3 \phi^3(t), \quad \left(0 \le t < T = \frac{1}{2K_3 \cdot \|\nabla v_0\|^4}\right)\\ \text{with IC} \qquad \phi(0) = \|\nabla v_0\|^2 \end{split}$$

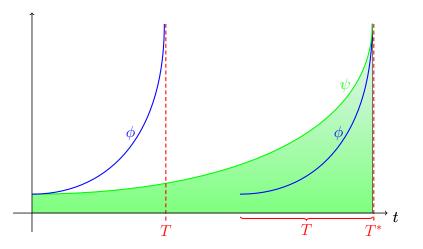
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Catastrophy: 
$$\int_0^T \phi(t) \, \mathrm{d}t = rac{1}{K_3 \|v_0\|^2} < \infty$$











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### Question:

Let u be a weak solution of (NS) with data

$$u_0 \in L^2_{\sigma}(\Omega), \quad f = \nabla \cdot F, \quad F \in L^2(0,\infty; L^2(\Omega)).$$

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What additional regularity of the data is sufficient for u to be a strong solution in some intervall  $(0, T), 0 < T \leq \infty$ ,

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Let u be a weak solution of (NS) with data

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What additional regularity of the data is sufficient for u to be a strong solution in some interval (0,T),  $0 < T \leq \infty$ , i.e. what additional regularity of the data implies

 $u \in L^s(0,T;L^q(\Omega))$ 

for some

$$2 < s < \infty, \quad 3 < q < \infty, \quad \frac{2}{s} + \frac{3}{q} = 1$$
 ?

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## Stokes Operator $A_q$ :

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Stokes Operator  $A_q$ :

Let  $1 < q < \infty$ :

► 
$$P_q : L^q(\Omega) \to L^q_{\sigma}(\Omega)$$
 Helmholtz projection  
 $(P := P_2)$ 

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Stokes Operator  $A_q$ :

Let  $1 < q < \infty$ :

►  $P_q : L^q(\Omega) \to L^q_\sigma(\Omega)$  Helmholtz projection  $(P := P_2)$ 

• 
$$A_q = -P_q \Delta : \mathcal{D}(A_q) \to L^q_\sigma(\Omega)$$
 Stokes operator  
 $(A := A_2)$ 

• 
$$\mathcal{D}(A_q) = W^{2,q}(\Omega) \cap W^{1,q}_0(\Omega) \cap L^q_\sigma(\Omega)$$
  
•  $\mathcal{R}(A_q) = L^q_\sigma(\Omega)$ 

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•  $\mathcal{R}(A_q) = L^q_{\sigma}(\Omega)$ 

• Semigroup  $e^{-tA_q}$ ,  $t \ge 0$  generated by  $A_q$  in  $L^q_{\sigma}(\Omega)$ 

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Fractional Powers 
$$A_q^{\alpha}$$
:

Let  $1 < q < \infty, -1 \le \alpha \le 1$ :

• 
$$A_q^{\alpha} : \mathcal{D}(A_q^{\alpha}) \to L_{\sigma}^q(\Omega)$$
 fractional power of  $A_q$   
•  $\mathcal{D}(A_q^{\alpha}) \subset L_{\sigma}^q(\Omega)$   
•  $\mathcal{R}(A_q^{\alpha}) = L_{\sigma}^q(\Omega), \quad 0 \le \alpha \le 1$ 

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Moreover:

$$(A_q^{\alpha})^{-1} = A_q^{-\alpha}$$

$$(A_q)' = A_{q'} \quad \text{with } \frac{1}{q} + \frac{1}{q'} = 1$$

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▶  $u_0 \in \mathcal{D}(A)$ , Kiselev & Ladyzhenskaya 1957

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▶  $u_0 \in \mathcal{D}(A)$ , Kiselev & Ladyzhenskaya 1957

▶  $u_0 \in \mathcal{D}(A^{1/2})$ , Prodi 1962, Heywood 1980

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- ▶  $u_0 \in \mathcal{D}(A)$ , Kiselev & Ladyzhenskaya 1957
- ▶  $u_0 \in \mathcal{D}(A^{1/2})$ , Prodi 1962, Heywood 1980

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▶  $u_0 \in \mathcal{D}(A^{1/4})$ , Fujita & Kato 1964

- ▶  $u_0 \in \mathcal{D}(A)$ , Kiselev & Ladyzhenskaya 1957
- ▶  $u_0 \in \mathcal{D}(A^{1/2})$ , Prodi 1962, Heywood 1980

►  $u_0 \in \mathcal{D}(A^{1/4})$ , Fujita & Kato 1964 Note:  $\mathcal{D}(A^{1/4}) \subset L^3_{\sigma}(\Omega)$ 

►  $u_0 \in L^q_{\sigma}(\mathbb{R}^n), q > n$ , Fabes, Jones & Rivière 1972

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•  $u_0 \in L^q_{\sigma}(\Omega), q > 3$ , Miyakawa 1981

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► 
$$u_0 \in \mathbb{H}_{q,0,\sigma}^{-2/s}(\Omega), 2/s + 3/q = 1$$
, Amann 2000

$$\mathcal{D}(A) \subset \mathcal{D}(A^{1/2})$$
$$\subset \mathcal{D}(A^{1/4})$$
$$\subset L^{3}_{\sigma}(\Omega)$$
$$\subset \mathbb{H}^{-2/s}_{q,0,\sigma}(\Omega)$$

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$$\subset \mathbb{M}_{opt} ?$$

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### Question:

What is the weakest possible condition on the data  $u_0$  and f to get a (local) strong solution of (NS)?

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### Question:

What is the weakest possible condition on the data  $u_0$  and f to get a (local) strong solution of (NS)?

Can we formulate conditions on the data  $u_0$  and f which are sufficient and necessary for u to be a (local) strong solution of (NS)?

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Let

•  $\Omega \subset \mathbb{R}^3$  be a bounded domain with  $\partial \Omega \in C^{2,1}$ ,

▶  $2 < s < \infty$ ,  $3 < q < \infty$  with  $\frac{2}{s} + \frac{3}{q} = 1$ ,

• 
$$u_0 \in L^2_{\sigma}(\Omega), f = \nabla \cdot F$$
 with  
 $F \in L^2(0, \infty; L^2(\Omega)) \cap L^{s/2}(0, \infty; L^{q/2}(\Omega)).$ 

Let

•  $\Omega \subset \mathbb{R}^3$  be a bounded domain with  $\partial \Omega \in C^{2,1}$ ,

▶ 
$$2 < s < \infty$$
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• 
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Then:

Let

• 
$$\Omega \subset \mathbb{R}^3$$
 be a bounded domain with  $\partial \Omega \in C^{2,1}$ ,

▶ 
$$2 < s < \infty$$
,  $3 < q < \infty$  with  $2/s + 3/q = 1$ ,

• 
$$u_0 \in L^2_{\sigma}(\Omega), f = \nabla \cdot F$$
 with  
 $F \in L^2(0,\infty; L^2(\Omega)) \cap L^{s/2}(0,\infty; L^{q/2}(\Omega)).$ 

Then:

1. The condition

is sufficient and necessary for the existence of a unique strong solution  $u \in L^s(0,T; L^q(\Omega))$  of (NS) with data  $u_0, f$  in some intervall  $[0,T), 0 < T \leq \infty$ . 2. Let u be a weak solution of (NS) in  $[0, \infty) \times \Omega$  with data  $u_0, f$ , and let

$$\int_0^\infty \left\| e^{-tA} u_0 \right\|_q^s dt = \infty.$$

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2. Let u be a weak solution of (NS) in  $[0, \infty) \times \Omega$  with data  $u_0, f$ , and let

$$\int_0^\infty \left\| e^{-tA} u_0 \right\|_q^s dt = \infty.$$

Then for every  $0 < T \leq \infty$ , Serrin's condition  $u \in L^s(0,T; L^q(\Omega))$  does not hold, and in every intervall  $[0,T), 0 < T \leq \infty$ , the system (NS) does not have a strong solution with data  $u_0, f$  and Serrin exponents s, q.

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## Remark 1:

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## Remark 1:

#### ▶ 1. means:

 $u \in L^{s}(0,T; L^{q}(\Omega)) \Leftrightarrow v \in L^{s}(0,\infty; L^{q}(\Omega)),$  $v(t) := e^{-tA}u_{0}$  is the solution of a homogeneous linear Stokes system.

## Remark 1:

#### ▶ 1. means:

 $u \in L^{s}(0,T; L^{q}(\Omega)) \Leftrightarrow v \in L^{s}(0,\infty; L^{q}(\Omega)),$  $v(t) := e^{-tA}u_{0}$  is the solution of a homogeneous linear Stokes system.

 $\blacktriangleright$  2. means:

$$\begin{split} u_0 &\in L^2_{\sigma}(\Omega) \,, \, \int_0^\infty \left\| e^{-tA} u_0 \right\|_q^s \, dt = \infty \\ \text{for all Serrin exponents } s, \, q \\ \Rightarrow \\ \text{For all } q, \, s \text{ and all } 0 < T \leq \infty, \, (\text{NS}) \\ \text{has no strong solution } u \in L^s(0, T; L^q(\Omega)) \\ \text{with data } u_0, \, f. \end{split}$$

#### Remark 2:

$$\left\|e^{-tA}u_0\right\|_q < \infty \text{ for } u_0 \in L^2_{\sigma}(\Omega) \text{ and } q > 3 \text{ if } t > 0:$$



### Remark 2:

$$\begin{split} \left\| e^{-tA} u_0 \right\|_q &< \infty \text{ for } u_0 \in L^2_{\sigma}(\Omega) \text{ and } q > 3 \text{ if } t > 0: \\ \text{Use } (A_q \text{ generates bounded analytic semigroup } e^{-tA_q}) \\ & \left\| A^{\alpha}_q e^{-tA_q} v \right\|_q \leq c \, t^{-\alpha} e^{-\delta t} \, \|v\|_q \text{ for } v \in L^q_{\sigma}(\Omega) \\ & 0 \leq \alpha \leq 1, \, t > 0, \, c = c(\Omega, q) \,, \, \delta = \delta(\Omega, q) \end{split}$$

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### Remark 2:

$$\begin{split} \left\| e^{-tA} u_0 \right\|_q &< \infty \text{ for } u_0 \in L^2_{\sigma}(\Omega) \text{ and } q > 3 \text{ if } t > 0: \\ \text{Use } (A_q \text{ generates bounded analytic semigroup } e^{-tA_q}) \\ & \left\| A^{\alpha}_q e^{-tA_q} v \right\|_q \leq c \, t^{-\alpha} e^{-\delta t} \, \|v\|_q \text{ for } v \in L^q_{\sigma}(\Omega) \\ & 0 \leq \alpha \leq 1, \, t > 0, \, c = c(\Omega, q) \,, \, \delta = \delta(\Omega, q) \end{split}$$

to obtain

$$\begin{aligned} \left\| e^{-tA} u_0 \right\|_q &= \left\| A^{\alpha} e^{-tA} A^{-\alpha} u_0 \right\|_q &= \left\| A^{\alpha}_q e^{-tA_q} A^{-\alpha} u_0 \right\|_q \\ &\leq c t^{-\alpha} e^{-\delta t} \left\| A^{-\alpha} u_0 \right\|_q &\leq c t^{-\alpha} e^{-\delta t} \left\| u_0 \right\|_2 \end{aligned}$$

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# $||A^{-\alpha}u_0||_q \le c ||u_0||_2$ :

$$\|A^{-\alpha}u_0\|_q \le c \|u_0\|_2$$
:

Use Sobolev embedding

$$\|v\|_q \le c \left\|A_p^{\alpha} u_0\right\|_p, \ v \in \mathcal{D}\left(A_p^{\alpha}\right), \ c = c(\Omega, q)$$
$$1$$

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$$\|A^{-\alpha}u_0\|_q \le c \|u_0\|_2$$
:

Use Sobolev embedding

$$\|v\|_q \le c \left\|A_p^{\alpha} u_0\right\|_p, \ v \in \mathcal{D}\left(A_p^{\alpha}\right), \ c = c(\Omega, q)$$
$$1$$

to obtain

$$\|A^{-\alpha}u_0\|_q \le c \|u_0\|_2$$
  
3 < q < \infty,  $\frac{1}{4} < \alpha = \frac{3}{2}\left(\frac{1}{2} - \frac{1}{q}\right) < \frac{3}{4}$ 

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#### Remark 3:

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#### Remark 3:

The estimate

$$\left\| e^{-tA} u_0 \right\|_q \le c \, e^{-\delta t} \left\| u_0 \right\|_2, \quad t > 0$$

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#### Remark 3:

The estimate

$$\|e^{-tA}u_0\|_q \le c e^{-\delta t} \|u_0\|_2, \quad t > 0$$

shows for every  $\varepsilon > 0$ :

$$\int_0^\infty \left\| e^{-tA} u_0 \right\|_q^s \, dt < \infty \quad \Leftrightarrow \quad \int_0^\varepsilon \left\| e^{-tA} u_0 \right\|_q^s \, dt < \infty,$$

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hence only integrability on  $(0, \varepsilon)$  is important.

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Let

•  $\Omega \subset \mathbb{R}^3$  be a bounded domain with  $\partial \Omega \in C^{2,1}$ ,

▶ 
$$2 < s < \infty$$
,  $3 < q < \infty$  with  $\frac{2}{s} + \frac{3}{q} = 1$ ,

•  $u_0 \in L^2_{\sigma}(\Omega), f = \nabla \cdot F$  with  $F \in L^2(0,T; L^2(\Omega)) \cap L^{s/2}(0,T; L^{q/2}(\Omega)), 0 < T \leq \infty.$ 

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 $\begin{array}{l} \bullet \ u_0 \in L^2_{\sigma}(\Omega), \ f = \nabla \cdot F \ \text{with} \\ F \in L^2(0,T;L^2(\Omega)) \ \cap \ L^{s/2}\big(0,T;L^{q/2}(\Omega)\big), \ 0 < T \leq \infty. \end{array}$ 

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Then there exists a constant  $\varepsilon = \varepsilon(\Omega, q) > 0$  with:

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Then there exists a constant  $\varepsilon = \varepsilon(\Omega,q) > 0$  with:

If  
(
$$\int_{0}^{T} \|e^{-tA}u_{0}\|_{q}^{s} dt$$
)<sup>1/s</sup> +  $\left(\int_{0}^{T} \|F(t)\|_{q/2}^{s/2} dt\right)^{2/s} \leq \varepsilon$ ,

then (NS) has a unique strong solution  $u \in L^s(0,T;L^q(\Omega))$ with data  $u_0, f$ .







#### Who is this gentleman?





Who is this gentleman?

Oleg Vladimirowitsch Besov

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$$\left(\int_0^\infty \left\|e^{-tA}u_0\right\|_q^s dt\right)^{1/s} \approx \left\|u_0\right\|_{\mathbb{B}^{-2/s}_{q,s}(\Omega)},$$



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where

$$\mathbb{B}_{q,s}^{-2/s}(\Omega) := \left(\mathbb{B}_{q',s'}^{2/s}(\Omega)\right)', \quad q' = \frac{q}{q-1}, \quad s' = \frac{s}{s-1}$$

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$$\left(\int_0^\infty \left\|e^{-tA}u_0\right\|_q^s dt\right)^{1/s} \approx \left\|u_0\right\|_{\mathbb{B}^{-2/s}_{q,s}(\Omega)},$$

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with (Amann 2002)

$$\mathbb{B}_{q',s'}^{2/s}(\Omega): = B_{q',s'}^{2/s}(\Omega) \cap L_{\sigma}^{q'}(\Omega)$$
$$= \left(L_{\sigma}^{q'}, \mathcal{D}(A_{q'})\right)_{1/s,s'}$$
$$= \left\{ v \in B_{q',s'}^{2/s}(\Omega) |\nabla \cdot v = 0, v \cdot \nu|_{\partial\Omega} = 0 \right\}$$

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$$\left(\int_0^\infty \left\|e^{-tA}u_0\right\|_q^s dt\right)^{1/s}$$

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$$\left(\int_{0}^{\infty} \left\| e^{-tA} u_{0} \right\|_{q}^{s} dt \right)^{1/s} \approx \left\| A_{q}^{-1} u_{0} \right\|_{\left(L_{\sigma}^{q}, \mathcal{D}(A_{q})\right)_{1-\frac{1}{s}, s}}$$

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$$\approx \left\|u_{0}\right\|_{\left(\mathcal{D}(A_{q'}), L_{\sigma}^{q'}\right)_{1-\frac{1}{s}, s'}'}$$

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## Theorem 1B:

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## Theorem 1B:

Let

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$$u_0 \in L^2_{\sigma}(\Omega), f = \nabla \cdot F$$
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• 
$$u_0 \in L^2_{\sigma}(\Omega), f = \nabla \cdot F$$
 with  
 $F \in L^2(0,\infty; L^2(\Omega)) \cap L^{s/2}(0,\infty; L^{q/2}(\Omega)).$ 

Then:

1. The condition

$$u_0 \in \mathbb{B}_{q,s}^{-2/s}(\Omega)$$

is sufficient and necessary for the existence of a unique strong solution  $u \in L^s(0,T; L^q(\Omega))$  on (NS) with data  $u_0, f$  in some intervall  $[0,T), 0 < T \leq \infty$ . 2. Let u be a weak solution of (NS) in  $[0, \infty) \times \Omega$  with data  $u_0, f$ , and let

$$u_0 \notin \mathbb{B}_{q,s}^{-2/s}(\Omega)$$
.

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2. Let u be a weak solution of (NS) in  $[0, \infty) \times \Omega$  with data  $u_0, f$ , and let

$$u_0 \notin \mathbb{B}_{q,s}^{-2/s}(\Omega)$$
.

Then for every  $0 < T \leq \infty$ , Serrin's condition  $u \in L^s(0,T; L^q(\Omega))$  does not hold, and in every intervall  $[0,T), 0 < T \leq \infty$ , the system (NS) does not have a strong solution with data  $u_0, f$  and Serrin exponents s, q.

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Let

•  $\Omega \subset \mathbb{R}^3$  be a bounded domain with  $\partial \Omega \in C^{2,1}$ ,

▶  $2 < s < \infty$ ,  $3 < q < \infty$  with 2/s + 3/q = 1,

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$$u_0 \in L^2_{\sigma}(\Omega), f = \nabla \cdot F$$
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Then each of the following conditions are sufficient for the existence of a unique strong solution  $u \in L^s(0, T; L^q(\Omega))$  with data  $u_0$ , f in some intervall [0, T) with  $0 < T \le \infty$ :

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1. 
$$u_0 \in \mathbb{B}_{q,s}^{-2/s}(\Omega)$$
  
2.  $u_0 \in L^3_{\sigma}(\Omega), q \leq s$   
3.  $u_0 \in \mathcal{D}(A^{1/4})$ 

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1. The condition  $u_0 \in \mathbb{B}_{q,s}^{-2/s}(\Omega)$  is optimal.

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2. Follows from  $L^3_{\sigma}(\Omega) \subset \mathbb{B}^{-2/s}_{q,s}(\Omega)$  if  $q \leq s$  (Amann 2002).

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1. The condition  $u_0 \in \mathbb{B}_{q,s}^{-2/s}(\Omega)$  is optimal.

- 2. Follows from  $L^3_{\sigma}(\Omega) \subset \mathbb{B}^{-2/s}_{q,s}(\Omega)$  if  $q \leq s$  (Amann 2002).
- 3. Use  $\|v\|_q \leq c \|A^{\alpha}u_0\|_2$  for  $v \in \mathcal{D}(A^{\alpha})$ ,  $c = c(\Omega, q)$  with  $\alpha = \frac{3}{2}\left(\frac{1}{2} \frac{1}{q}\right) = \frac{1}{4} + \frac{1}{s}$  to obtain

$$\begin{aligned} \left\| e^{-tA} u_0 \right\|_{q,s;\infty} &\leq c \left\| A^{\alpha} e^{-tA} u_0 \right\|_{2,s;\infty} \\ &= c \left\| A^{1/s} e^{-tA} A^{1/4} u_0 \right\|_{2,s;\infty} \\ &\leq c \left\| A^{1/4} u_0 \right\|_2. \end{aligned}$$

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•  $\Omega$  bounded or unbounded,  $\partial \Omega$  bounded or unbounded  $\partial \Omega$  smooth or non-smooth (corners, edges, cracks, ...)

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- ▶  $\Omega$  bounded or unbounded,  $\partial \Omega$  bounded or unbounded  $\partial \Omega$  smooth or non-smooth (corners, edges, cracks, ...)
- ► In this general case, there is no Stokes operator  $A_q$  in  $L^q_{\sigma}(\Omega), q \neq 2$ .

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- ► In this general case, there is no Stokes operator  $A_q$  in  $L^q_{\sigma}(\Omega), q \neq 2$ .
- ► However, Theorem 1 and Theorem 2 remain true also for general domains Ω ⊂ ℝ<sup>3</sup> in the case s = 8, q = 4 (2/8 + 3/4 = 1), since here only the L<sup>2</sup>-approach for the Stokes Operator is used.

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- ► In this general case, there is no Stokes operator  $A_q$  in  $L^q_{\sigma}(\Omega), q \neq 2$ .
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- Moreover, in this case the constant  $\varepsilon = \varepsilon(\Omega, q)$  from Theorem 2 does not depend on  $\Omega$  and is therefore an absolute constant.

## Theorem 4:

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## Theorem 4:

Let

•  $\Omega \subset \mathbb{R}^3$  be a general domain with boundary  $\partial \Omega$ ,

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#### Theorem 4:

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Then:

The condition

$$\int_0^\infty \left\| e^{-tA} u_0 \right\|_4^8 dt < \infty$$

is sufficient and necessary for the existence of a unique strong solution  $u \in L^8(0,T; L^4(\Omega))$  of (NS) with data  $u_0, f$  in some intervall  $[0,T), 0 < T \leq \infty$ .

#### Theorem 5:

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# Theorem 5:

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•  $\Omega \subset \mathbb{R}^3$  be a general domain with boundary  $\partial \Omega$ ,

$$\begin{array}{l} \bullet \ u_0 \in L^2_{\sigma}(\Omega), \ f = \nabla \cdot F \ \text{with} \\ F \in L^2(0,T;L^2(\Omega)) \ \cap \ L^4(0,T;L^2(\Omega)), \ 0 < T \leq \infty. \end{array}$$

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 $F \in L^2(0,T; L^2(\Omega)) \cap L^4(0,T; L^2(\Omega)), 0 < T \leq \infty.$ 

Then there exists an absolute constant  $\varepsilon > 0$  with: If

$$\left(\int_0^T \left\|e^{-tA}u_0\right\|_4^8 dt\right)^{1/8} + \left(\int_0^T \|F(t)\|_2^4 dt\right)^{1/4} \le \varepsilon,$$

then (NS) has a unique strong solution  $u \in L^8(0,T; L^4(\Omega))$ with data  $u_0, f$ .

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