



On mathematical morphology, non-linear filters, and length scale control in topology optimization

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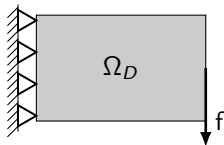
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Material distribution (Topology optimization)

- ▶ Placement of material arbitrarily in region Ω_D
- ▶ Material distribution function ρ constant in each element



- ▶ $\rho = 0$ if void and 1 if solid
- ▶ Want to solve:

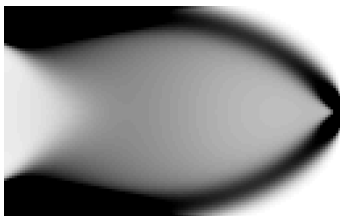
$$\min_{\rho} J(\rho) \quad (\text{compliance})$$

$$\text{s.t. } \rho(1 - \rho) = 0 \text{ a.e.}$$

$$\int \rho \leq V$$

governing PDE

Typical approach—Relaxation



$$\min_{\rho} J(\rho)$$

$$\text{s.t. } 0 < \varepsilon \leq \rho \leq 1$$

$$\int \rho \leq V$$

governing PDE

Theorem For the continuous case, there exists a solution to the relaxed minimal compliance problem

Typical approach—Penalization



$$\min_{\rho} J(\rho^p)$$

$$\text{s.t. } 0 < \varepsilon \leq \rho \leq 1$$

$$\int \rho \leq V$$

governing PDE

Theorem The continuous problem is ill-posed (it lacks solutions within the set of feasible designs)

Can also add explicit penalty term to the objective function

Typical approach—Penalization



$$\min_{\rho} J(\rho^p)$$

$$\text{s.t. } 0 < \varepsilon \leq \rho \leq 1$$

$$\int \rho \leq V$$

governing PDE

Theorem The continuous problem is ill-posed (it lacks solutions within the set of feasible designs)

Can also add explicit penalty term to the objective function

Typical approach—Filtering



$$\min_{\rho} J(F(\rho)^p)$$

$$\text{s.t. } 0 < \varepsilon \leq \rho \leq 1$$

$$\int F(\rho) \leq V$$

governing PDE

Here F is some averaging operator

Theorem For the continuous case: if $F(\rho)$ is a convolution product of a filter kernel ϕ and the density ρ , then there exists a solution to the relaxed minimal compliance problem (Bourdin 2001)

Quasi-arithmetic means (f -means)

- ▶ Arithmetic mean

$$M_x(\mathbf{x}; \mathbf{w}) = \mathbf{w}^T \mathbf{x} \equiv \sum_{i=1}^m w_i x_i$$

$$\mathbf{w}^T \mathbf{1}_m = 1$$

$$w_i > 0$$

- ▶ Harmonic mean

$$M_{x^{-1}}(\mathbf{x}; \mathbf{w}) = (\mathbf{w}^T \mathbf{x}^{-1})^{-1}$$

- ▶ Geometric mean

$$M_{\ln x}(\mathbf{x}; \mathbf{w}) = \prod_{i=1}^m x_i^{w_i} \equiv \exp(\mathbf{w}^T \ln \mathbf{x})$$

- ▶ ...



Quasi-arithmetic mean (f -mean)

$$M_f(\mathbf{x}; \mathbf{w}) = f^{-1}(\mathbf{w}^T \mathbf{f}(\mathbf{x})) \iff f(M_f) = \mathbf{w}^T \mathbf{f}(\mathbf{x})$$

Properties of f -means

$$M_f(\mathbf{x}) = M_f(\mathbf{x}; \frac{1}{m} \mathbf{1}_m)$$

$$\mathbf{x} \in [0, 1]^m$$

- P1 $M_f(\mathbf{x})$ is continuous and strictly increasing in each variable
- P2 $M_f(\mathbf{x})$ is *symmetric*, that is, $M_f(\mathbf{P}\mathbf{x}) = M_f(\mathbf{x})$ for all permutation matrices $\mathbf{P} \in \mathbb{R}^{m \times m}$
- P3 $M_f(\mathbf{x})$ is *reflexive*, that is, for $c \in [0, 1]$, we have $M_f(c\mathbf{1}_m) = c$
- P4 $M_f(\mathbf{x})$ is *associative*, that is, for $k \in \{1, \dots, m-1\}$, we have $M_f(x_1, \dots, x_m) = M_f(c\mathbf{1}_k, x_{k+1}, \dots, x_m)$, where $c = M_f(x_1, \dots, x_k)$

Theorem (Kolmogorov 1930, Nagumo 1930)

Any sequence of functions satisfying P1–P4 is of the form

$$M_f(\mathbf{x}; \frac{1}{m} \mathbf{1}_m) = f^{-1} \left(\frac{1}{m} \mathbf{1}_m^T \mathbf{f}(\mathbf{x}) \right) \text{ for some continuous function } f$$

fW -mean filters

Replace the value of the design variable in one element with the f -mean of the values of its neighboring elements

fW -mean filter

- ▶ $\mathbf{F}(\rho) = \mathbf{f}^{-1}(\mathbf{W}\mathbf{f}(\rho))$
 $\mathbf{W} = [w_{ij}] \in \mathbb{R}^{n \times n}$
 $w_{ij} \geq 0$ and $\mathbf{W}\mathbf{1}_n = \mathbf{1}_n$
- ▶ $w_{ij} > 0$ iff $j \in \mathcal{N}_i \subset \{1, \dots, n\}$
- ▶ Replace \mathbf{f}^{-1} with g , then a vast majority of available filters
 - ▶ Heaviside filter (Guest et al. 2004)
 - ▶ Morphology-based filters (Sigmund 2007)
 - ▶ Pythagorean mean based filters (Svanberg and Svärd 2014)
 can be handled in a similar manner
- ▶ Filters can be applied in a cascade: $\mathbf{F}^{(N)} \circ \mathbf{F}^{(N-1)} \circ \dots \circ \mathbf{F}^{(1)}$,
 where $\mathbf{F}^{(K)}(\rho) = \mathbf{f}_K^{-1}(\mathbf{W}^{(K)}\mathbf{f}_K(\rho))$, $K \in \{1, \dots, N\}$

Existence of solutions: continuous min compliance

- ▶ Let $\Omega \subset \mathbb{R}^d$ be bounded and connected
 - ▶ Lipschitz boundary $\partial\Omega$
 - ▶ Structure is fixed at $\Gamma_D \subset \partial\Omega$
- ▶ Admissible displacements $\mathcal{U} = \{u \in H^1(\Omega)^d \mid u|_{\Gamma_D} \equiv 0\}$
- ▶ Design variable ρ
- ▶ Physical design $\tilde{\rho}(\rho) = \underline{\rho} + (1 - \underline{\rho})P(F(\rho))$ in which
 - ▶ $\underline{\rho} > 0$
 - ▶ P is an invertible penalty function
 - ▶ $F(\rho)$ is a continuous version of the filtering
- ▶ Equilibrium displacement $u \in \mathcal{U}$ solves $a(\rho; u, v) = \ell(v) \quad \forall v \in \mathcal{U}$
 - ▶ $\ell(v) = \int_{\Omega} b \cdot v + \int_{\Gamma_L} t \cdot v$
 - ▶ $a(\rho; u, v) = \int_{\Omega} \tilde{\rho}(\rho) E \epsilon(u) : \epsilon(v)$,
where E is a constant elasticity tensor

Existence of solutions: continuous min compliance

- ▶ Admissible designs

$$\mathcal{A} = \left\{ \rho \mid 0 \leq \rho \leq 1 \text{ a.e. on } \Omega, \int_{\Omega} F(\rho) \leq V \right\} \subset L^{\infty}(\Omega)$$

- ▶ Continuous filtering: $(F(\rho))(x) = f^{-1} \left(\frac{1}{|\mathcal{N}_x|} \int_{\mathcal{N}_x} (f \circ \rho)(y) dy \right)$
 - ▶ \mathcal{N}_x neighborhood of x with measure (area or volume) $|\mathcal{N}_x| > 0$
 - ▶ f smooth and invertible function $f : [0, 1] \rightarrow [f_{\min}, f_{\max}] \subset \mathbb{R}$
- ▶ A standard problem formulation

Find $\rho^* \in \mathcal{A}$ and $u^* \in \mathcal{U}$ such that

$$\ell(u^*) \leq \ell(u) \quad \forall u \in \mathcal{U}^* \quad \text{and} \quad a(\rho^*; u^*, v) = \ell(v) \quad \forall v \in \mathcal{U}$$

- ▶ Alternative equivalent problem formulation

$$\text{Find } u^* \in \mathcal{U}^* \text{ such that } \ell(u^*) = \inf_{u \in \mathcal{U}^*} \ell(u), \quad (1)$$

$$\text{where } \mathcal{U}^* = \left\{ u \in \mathcal{U} \mid \begin{array}{l} \exists \rho \in \mathcal{A} \text{ such that} \\ a(\rho; u, v) = \ell(v) \quad \forall v \in \mathcal{U} \end{array} \right\}$$

Existence of solutions: continuous min compliance

Theorem If $|\mathcal{N}_x| > 0$ for all $x \in \Omega$, then there exists a solution to problem (1)

Proof (melody) For details, see Hägg & Wadbro (2017)

- ▶ Pick minimizing sequence (u_m) , $u_m \in \mathcal{U}^*$
 - ▶ let (ρ_m) sequence so that $a(\rho_m; u_m, v) = \ell(v) \quad \forall v \in \mathcal{U}$
 - ▶ bilinear form a coercive so subsequence (u_m) converges weakly to u^* in $H^1(\Omega)^d$

Existence of solutions: continuous min compliance

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 - ▶ bilinear form a coercive so subsequence (u_m) converges weakly to u^* in $H^1(\Omega)^d$
- ▶ Define $\tau_m = f \circ \rho_m \in L^\infty(\Omega)$
 - ▶ Banach–Agaoglu: subsequence (τ_m) converges weak* to τ^*
 - ▶ ... define $\rho^* = f^{-1} \circ \tau^*$.
 - ▶ Banach–Agaoglu: $F(\rho_m) \rightarrow F(\rho^*)$ pointwise
 - ▶ Lebesgues dominated convergege theorem: $\rho^* \in \mathcal{A}$

Existence of solutions: continuous min compliance

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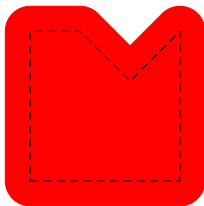
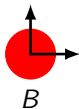
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- ▶ Pick minimizing sequence (u_m) , $u_m \in \mathcal{U}^*$
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- ▶ Define $\tau_m = f \circ \rho_m \in L^\infty(\Omega)$
 - ▶ Banach–Agaoglu: subsequence (τ_m) converges weak* to τ^*
 - ▶ ... define $\rho^* = f^{-1} \circ \tau^*$.
 - ▶ Banach–Agaoglu: $F(\rho_m) \rightarrow F(\rho^*)$ pointwise
 - ▶ Lebesgues dominated convergece theorem: $\rho^* \in \mathcal{A}$
- ▶ ... some further arguments to show that
 - ▶ $a(\rho_m; u_m, v) - a(\rho^*; u^*, v) \rightarrow 0$ for any $v \in \mathcal{U}$
- ▶ Thus $u^* \in \mathcal{U}$ and since ℓ is linear & bounded $\ell(u_m) \rightarrow \ell(u^*)$

□

Morphological operators (review: Heijmans 1995)

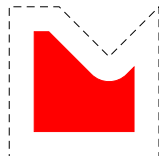
Gain information about a set M by probing it by a convex set B



$\mathcal{D}_B(M)$



M



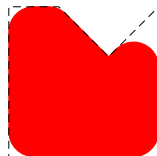
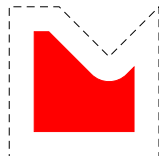
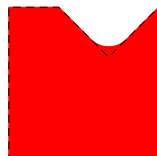
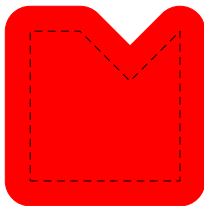
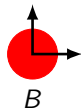
$\mathcal{E}_B(M)$

Dilation: $\mathcal{D}_B(M) = \{m + b \mid m \in M, b \in B\} = \bigcup_{b \in B} (b + M)$

Erosion: $\mathcal{E}_B(M) = (\mathcal{D}_{-B}(M^c))^c$

Morphological operators (review: Heijmans 1995)

Gain information about a set M by probing it by a convex set B



Closing: $\mathcal{C}_B(M) = \mathcal{E}_B(\mathcal{D}_B(M))$

Opening: $\mathcal{O}_B(M) = \mathcal{D}_B(\mathcal{E}_B(M))$

$\mathcal{O}_B(M) \subset M \subset \mathcal{C}_B(M)$

Definition of the minimum length scale of M

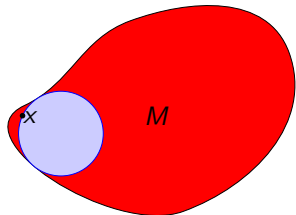
- ▶ M open
- ▶ B is the open unit ball for some metric on \mathbb{R}^d

Local length scale

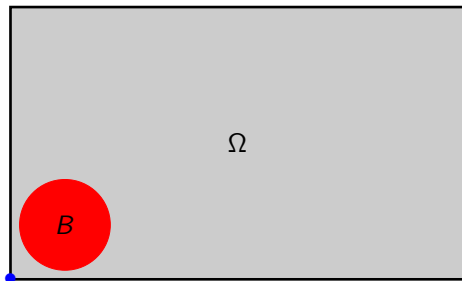
- ▶ $R_B(M; x) = \sup\{r > 0 \mid \exists y \in M \text{ s.t. } x \in y + rB \subset M\}$
 - ▶ Radius of “largest” ball in M containing x
 - ▶ $R_B(M; x) > 0$

Minimum length scale

- ▶ $R_B(M) = \inf_{x \in M} R_B(M; x)$
 - ▶ “Smallest” local length scale

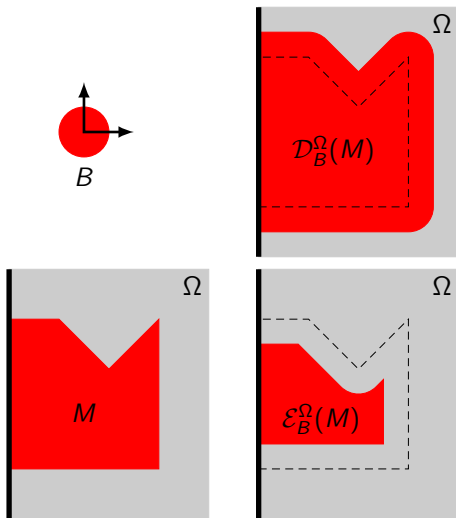


Problem with length scale $R_B(M)$



- ▶ The design domain Ω is typically a hyperrectangle
- ▶ B is often an open Euclidian ball
- ▶ $R_B(\Omega, x) \rightarrow 0$ as $x \rightarrow \bullet \implies R_B(\Omega) = 0$
- ▶ So $M \subset \Omega$ and $V = \Omega \setminus \overline{M}$ cannot both possess minimum length scale (w.r.t. R_B)

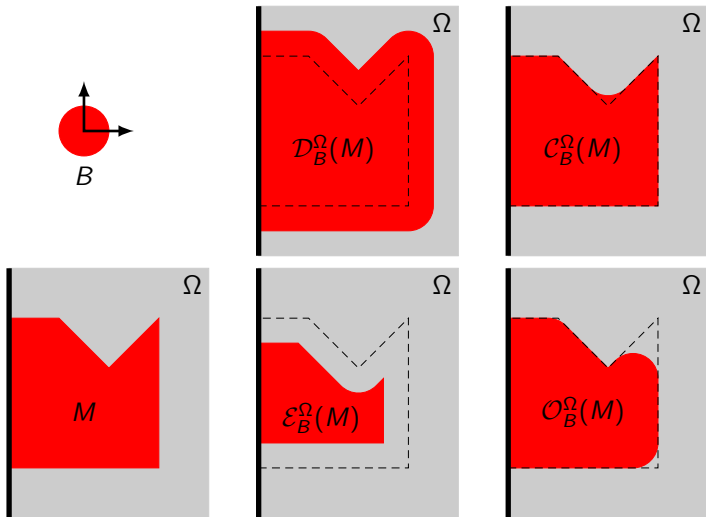
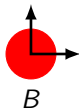
Morphological operators—bounded domain



Dilation: $\mathcal{D}_B^\Omega(M) = \mathcal{D}_B(M) \cap \Omega$

Erosion: $\mathcal{E}_B^\Omega(M) = \mathcal{E}_B(\Omega^c \cup M) \cap \Omega$

Morphological operators—bounded domain



Closing: $C_B^\Omega(M) = E_B^\Omega(D_B^\Omega(M))$

Opening: $O_B^\Omega(M) = D_B^\Omega(E_B^\Omega(M))$

$$O_B^\Omega(M) \subset M \subset C_B^\Omega(M)$$

Minimum length scale of M relative Ω

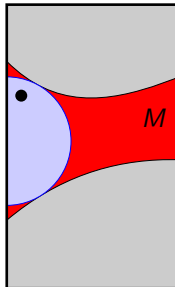
- ▶ M and Ω open
- ▶ B is the open unit ball for some metric on \mathbb{R}^d

Local length scale

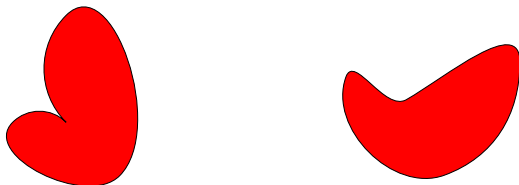
- ▶ $R_B^\Omega(M; x) = \sup\{r > 0 \mid E_{rB}^\Omega(M; x) \neq \emptyset\}$
 - ▶ $E_{rB}^\Omega(M; x) = \{y \in M \mid x \in (y + rB) \cap \Omega \subset M\}$.

Minimum length scale

- ▶ $R_B^\Omega(M) = \inf_{x \in M} R_B^\Omega(M; x)$



B -open and B -regular sets



- ▶ M is B -open (relative Ω) iff $M = \mathcal{O}_B^\Omega(M)$
- ▶ M is B -regular (rel. Ω) iff M and $V = \Omega \setminus \overline{M}$ both are B -open

This extends the work on r -regular sets: B Euclidian ball with radius $r > 0$ and $\Omega = \mathbb{R}^d$ (Serra 1982, Pavlidis 1982)

Math. morphology \sim minimum length scale

Theorem 1

If $M \neq \emptyset$ is rB -open relative Ω for some $r > 0$, then $R_B^\Omega(M) \geq r$

Theorem 2

If $M \neq \emptyset$ and $R_B^\Omega(M) > 0$, then M is rB -open for any r satisfying $0 < r < R_B^\Omega(M)$

Theorem 3 (Alternative definition of $R_B^\Omega(M)$)

If $M \neq \emptyset$, then $R_B^\Omega(M) = \sup\{r > 0 \mid M = \mathcal{O}_{rB}^\Omega(M)\}$

► *Convention:* $\sup \emptyset = 0$

Math. morphology \sim minimum length scale

Theorems 1–3 interrelates B -open and B -regular sets to sets whose interior and/or exterior exhibit positive minimum length scales

Natural generalization: $M = \mathcal{O}_B^\Omega(M)$ and $V = \mathcal{O}_{\hat{B}}^\Omega(V)$ for $B \neq \hat{B}$

► Duality $\implies V = \mathcal{O}_{\hat{B}}^\Omega(V)$ iff $\overline{M} \cap \Omega = \mathcal{C}_{\hat{B}}^\Omega(\overline{M} \cap \Omega)$

Minimum length scale constraints

$$M = \mathcal{O}_{rB}^\Omega(M) \implies R_B^\Omega(M) \geq r$$

$$\overline{M} \cap \Omega = \mathcal{C}_{\hat{r}\hat{B}}^\Omega(\overline{M} \cap \Omega) \implies R_{\hat{B}}^\Omega(V) \geq \hat{r}$$

Mathematical morphology for density based topology optimization

- ▶ Ω discretized using a regular grid
 - ▶ x_i centroid of element i
- ▶ $\boldsymbol{\rho} = (\rho_1, \dots, \rho_n)^T \in [0, 1]^n$
 - ▶ ρ_i determines the material state of element i
- ▶ $\mathcal{D}_i(\boldsymbol{\rho}) = \max_{j \in \mathcal{N}_i} \rho_j$ and $\mathcal{E}_i(\boldsymbol{\rho}) = \min_{j \in \mathcal{N}_i} \rho_j$
 - ▶ Neighborhoods $\mathcal{N}_i = \{j \mid x_j - x_i \in rB\}, r > 0$

Minimum length scale constraints

$$\mathcal{O}_{rB}(\boldsymbol{\rho}) = \mathcal{C}_{\hat{r}B}(\boldsymbol{\rho})$$

$$\boldsymbol{\rho}^T(\mathbf{1} - \boldsymbol{\rho}) = 0$$

Note that by definition $\mathcal{O}_{rB}(\boldsymbol{\rho}) \leq \boldsymbol{\rho} \leq \mathcal{C}_{\hat{r}B}(\boldsymbol{\rho})$

Heuristic method for compliance problems

- ▶ Physical density $\mathcal{P}(\rho) = \underline{\rho} + (1 - \underline{\rho})\mathcal{O}_h(\rho)^p$
 - ▶ $\underline{\rho}$ small positive parameter
 - ▶ p SIMP penalty parameter
 - ▶ \mathcal{O}_h is an approximation of \mathcal{O}_B^Ω
- ▶ Admissible designs

$$\mathcal{A} = \{ \rho \in \mathbb{R}^n \mid \mathbf{0} \leq \rho \leq \mathbf{1} \text{ and } \mathbf{v}^T \mathcal{C}_h(\rho) \leq V^* \}$$
 - ▶ \mathcal{C}_h is an approximation of \mathcal{C}_B^Ω .
 - ▶ $\mathbf{v} \in \mathbb{R}^n$ holds the fractional volume ($|E|/|\Omega|$) of the elements
 - ▶ V^* is the maximum volume fraction

Quality measures

Measure of non-discreteness (suggested by Sigmund 2007):

$$M_{\text{ND}} = \frac{4}{n} \tilde{\mathcal{P}}(\rho)^T (\mathbf{1} - \tilde{\mathcal{P}}(\rho))$$

where $\tilde{\mathcal{P}}(\rho)$ is the physical design

Two new quality measures

Measure of difference between open and close:

$$M_{\text{DOC}} = \frac{1}{n} \left\| \mathcal{C}(\rho) - \mathcal{O}(\rho) \right\|_1$$

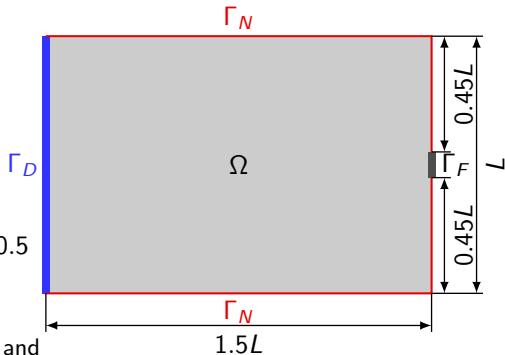
A related quality measure is

$$F_{\text{DOC}} = \frac{1}{n} \text{card} \left\{ i \mid (\mathcal{C}(\rho) - \mathcal{O}(\rho))_i > 0.5 \right\}$$

If $M_{\text{ND}} = M_{\text{DOC}} = 0$, then we have a binary design with minimum size control of both materials

Cantilever beam

- ▶ Fixed at Γ_D
- ▶ Downward force uniformly over Γ_F
- ▶ OC damping $\eta = 1/2$
- ▶ Volume fraction $V^* = 0.5$
- ▶ Harmonic fW -mean filters with
 - ▶ $f_{\mathcal{E}\alpha}^H(x) = (x + \alpha)^{-1}$ and
 - ▶ $f_{\mathcal{D}\alpha}^H(x) = f_{\mathcal{E}\alpha}^H(1 - x)$



approximate the erode and dilate operator, respectively (Svanberg & Svärd 2013)

- ▶ Continuation for SIMP penalty p and filter parameter α
- ▶ Solved using a standard desktop computer
- ▶ Modified version of multigrid-CG code by Amir et al. (2014)

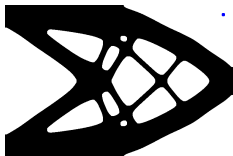
Cantilever beam

768 × 512 elements

Continuation approach first $\alpha = 10$ and $p = 1, 1.5, \dots, 3$;
 then $p = 3$ and $\alpha = 10^{1-m/2}$ for $m = 1, 2, \dots, 18$



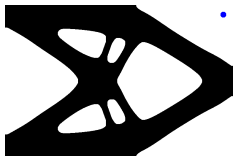
RelObj: 1.092



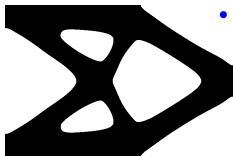
RelObj: 1.100



RelObj: 1.106



RelObj: 1.116



RelObj: 1.119



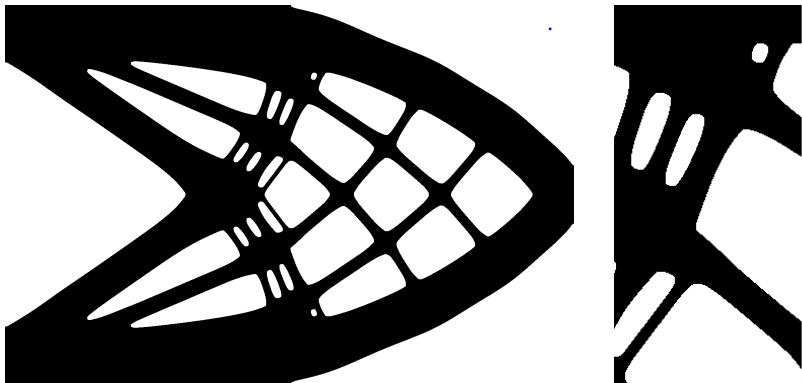
RelObj: 1.125

$$M_{ND} < 1.2 \cdot 10^{-5} \%, M_{DOC} < 5.0 \cdot 10^{-6} \%, \text{ and } F_{DOC} = 0$$

Cantilever beam—mesh convergence

1536 × 1024 elements

Continuation approach first $\alpha = 10$ and $p = 1, 1.5, \dots, 3$;
then $p = 3$ and $\alpha = 10^{1-m/2}$ for $m = 1, 2, \dots, 18$

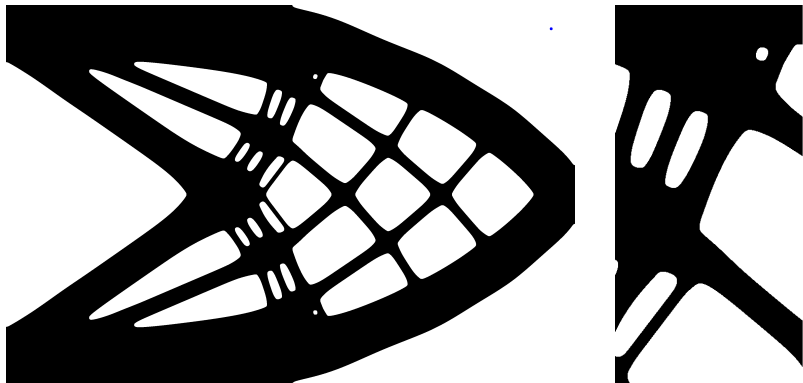


$$M_{ND} < 1.3 \cdot 10^{-6} \%, M_{DOC} < 5.0 \cdot 10^{-7} \%, \text{ and } F_{DOC} = 0$$

Cantilever beam—mesh convergence

3072 × 2048 elements

Continuation approach first $\alpha = 10$ and $p = 1, 1.5, \dots, 3$;
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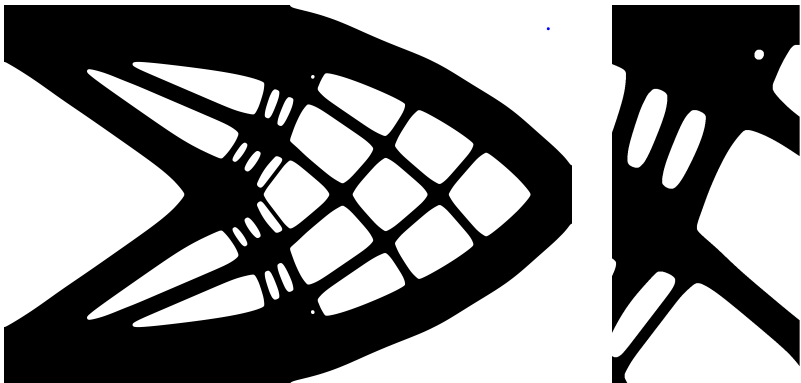


$$M_{ND} < 2.3 \cdot 10^{-6} \%, M_{DOC} < 1.0 \cdot 10^{-6} \%, \text{ and } F_{DOC} = 0$$

Cantilever beam—mesh convergence

6144 × 4096 elements

Continuation approach first $\alpha = 10$ and $p = 1, 1.5, \dots, 3$;
then $p = 3$ and $\alpha = 10^{1-m/2}$ for $m = 1, 2, \dots, 18$



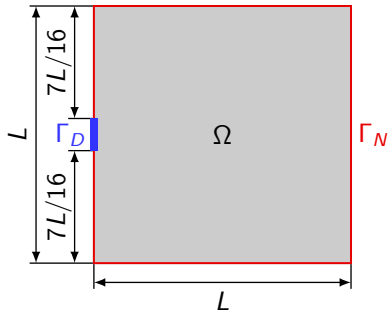
$$M_{ND} < 3.9 \cdot 10^{-6} \%, M_{DOC} < 1.8 \cdot 10^{-6} \%, \text{ and } F_{DOC} = 0$$

Minimum heat compliance

- ▶ Fixed at Γ_D
- ▶ Uniform force distributed over Ω
- ▶ OC damping $\eta = 0.5$
- ▶ Volume fraction $V^* = 0.5$
- ▶ Harmonic fW -mean filters with
 - ▶ $f_{\mathcal{E}_\alpha^H}(x) = (x + \alpha)^{-1}$ and
 - ▶ $f_{\mathcal{D}_\alpha^H}(x) = f_{\mathcal{E}_\alpha^H}(1 - x)$

approximate the erode and dilate operator, respectively (Svanberg & Svärd 2013)

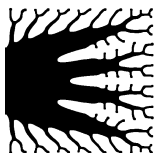
- ▶ Continuation for SIMP penalty p and filter parameter α
- ▶ Solved using a standard desktop computer



Minimum heat compliance

512 × 512 elements

Continuation approach first $\alpha = 10$ and $p = 1, 1.5, \dots, 3$;
 then $p = 3$ and $\alpha = 10^{1-m/2}$ for $m = 1, 2, \dots, 18$



RelObj: 1.225



RelObj: 1.363



RelObj: 1.475



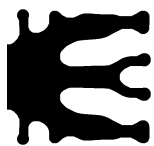
RelObj: 1.623



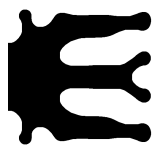
RelObj: 1.802



RelObj: 1.977



RelObj: 2.259



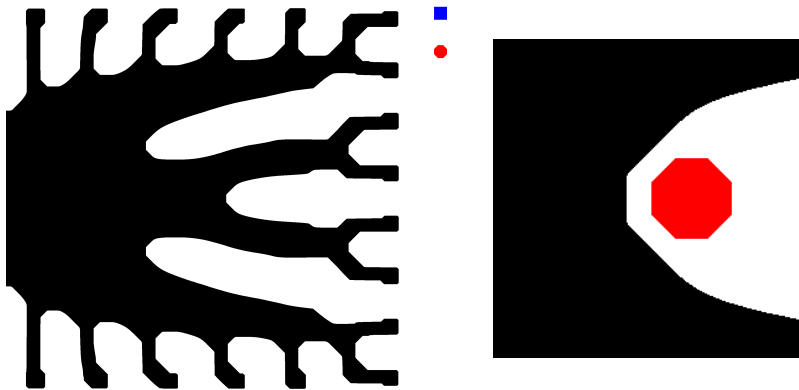
RelObj: 2.433

$$M_{ND} < 0.14\%, \quad M_{DOC} < 0.018\%, \quad \text{and} \quad F_{DOC} \leq 4/512^2$$

Minimum heat compliance—different neighborhoods

2028 × 2048 elements

Continuation approach first $\alpha = 10$ and $p = 1, 1.5, \dots, 3$;
 then $p = 3$ and $\alpha = 10^{1-m/2}$ for $m = 1, 2, \dots, 18$



$$M_{ND} < 0.012\%, \quad M_{DOC} < 0.0030\%, \quad \text{and} \quad F_{DOC} = 24/2048^2$$