



# Outline

## Some problems

## THE Galois connection

# Clones and algebras

## The lattice of clones

## Completeness

CSP

## Open problems

## Final remarks

# Problem 1

Can one express exponentiation

$$f(x, y) := x^y$$

as composition of addition and multiplication

$$g_+(x, y) := x + y, \quad g \cdot (x, y) := x \cdot y$$

?

$$(x, y \in \mathbb{N}_+)$$

## Problem 2

Can one represent *every* Boolean function

$$f : \{0, 1\}^n \rightarrow \{0, 1\}$$

as composition of the function

$$t(x, y, z) := \text{if } x = y \text{ then } z \text{ else } x$$

(where substituting constants is allowed)

?

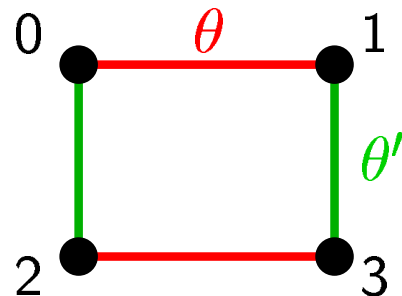
## Problem 3

Let

$$A := \{0, 1, 2, 3\}$$

$$G := \{e, g\} := \{(0), (03)(12)\} \leq S_4 \text{ (permutation group)}$$

$$L := \{\theta_0, \theta, \theta', \theta_1\} \text{ (lattice of equivalence relations on } A\text{)}$$



$\theta_0, \theta_1$  trivial equivalence relations

Does there exists an algebra

$$\mathcal{A} = \langle A, F \rangle$$

such that

$$G = \text{Aut } \mathcal{A} \text{ (automorphism group)}$$

$$L = \text{Con } \mathcal{A} \text{ (congruence lattice)}$$

?

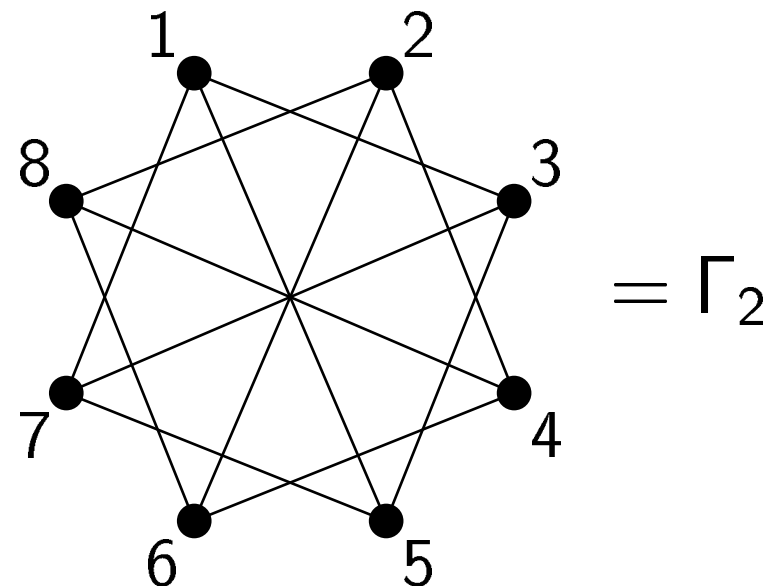
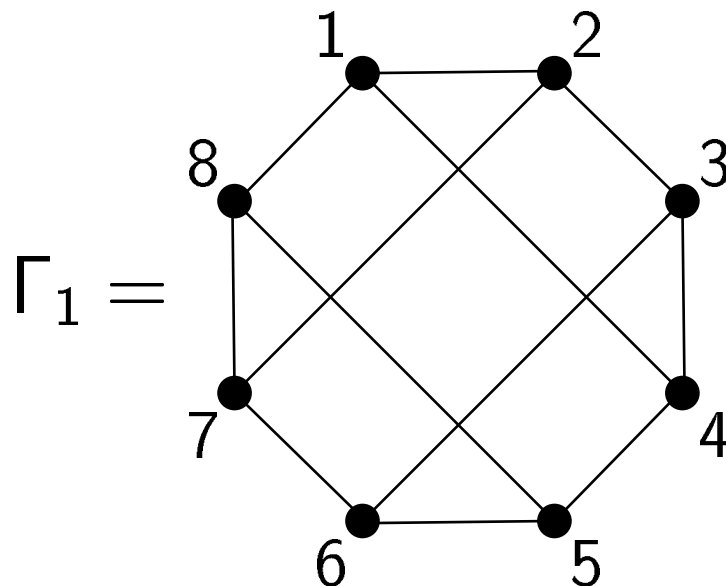
## Problem 4

How to recognize whether

$$\text{Aut } \Gamma_1 \subseteq \text{Aut } \Gamma_2 \quad (*)$$

for graphs  $\Gamma_1 = (V, E_1)$ ,  $\Gamma_2 = (V, E_2)$  ?

e.g.



How to construct **all** graphs  $\Gamma_2 = (V, E_2)$  satisfying (\*) ?

## Problem 5

What can be said about the computational complexity of

### Constraint Satisfaction Problems (CSP)

$\Gamma$  set of (finitary) relations on a domain  $D$ .

General (algebraic) definition of CSP:

$\text{CSP}(\Gamma) :=$  set of problems of the form

Does there exist a relational homomorphism

$$(V, \Sigma) \rightarrow (D, \Sigma')$$

(between relational systems of the same type) where  $\Sigma' \subseteq \Gamma$  ?

Special CSP:

*GRAPH COLORABILITY, GRAPH ISOMORPHISM,  
SATISFIABILITY (SAT)*

# Galois connections

The *Galois connection induced by a binary relation*

$$R \subseteq G \times M$$

is given by the pair of mappings

$$\varphi : \mathfrak{P}(G) \rightarrow \mathfrak{P}(M) : X \mapsto X' := \{m \in M \mid \forall g \in X : gRm\}$$

$$\psi : \mathfrak{P}(M) \rightarrow \mathfrak{P}(G) : Y \mapsto Y' := \{g \in G \mid \forall m \in Y : gRm\}$$

A Galois connection  $(\varphi, \psi)$  is characterizable by the property

$$Y \subseteq \varphi(X) \iff \psi(Y) \supseteq X$$

for all  $X \subseteq G$ ,  $Y \subseteq M$ .



## Formal concept analysis

In Formal Concept Analysis (*Rudolf Wille* ( $\sim 1970$ )),

$$(G, M, R)$$

is called *formal context*.

( $g \in G$  *objects* (*G*egenstände),  $m \in M$  *attributes* (*M*erkmale))

► FCA book

*Concepts*  $(X, Y)$  (defined by the property  $Y = X'$  and  $X = Y'$ )  
have two components (*Galois closures*): *extent*  $X$  and *intent*  $Y$   
(*dyadic view*)

(each component completely determines the other)

e.g. dyadic view to sets:

$$G := \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\} \text{ (given “universe”)}$$

$$A := \{1, 3, 5, 7, 9\} \text{ (definition by extent)}$$

$$A := \{n \in G \mid n \text{ is odd}\} \text{ (definition by properties (intent))}$$

# The “most basic Galois connection” in algebra

## ALGEBRAS, LATTICES, VARIETIES VOLUME I (1987)

**Ralph N. McKenzie**

University of California, Berkeley

**George F. McNulty**

University of South Carolina

**Walter F. Taylor**

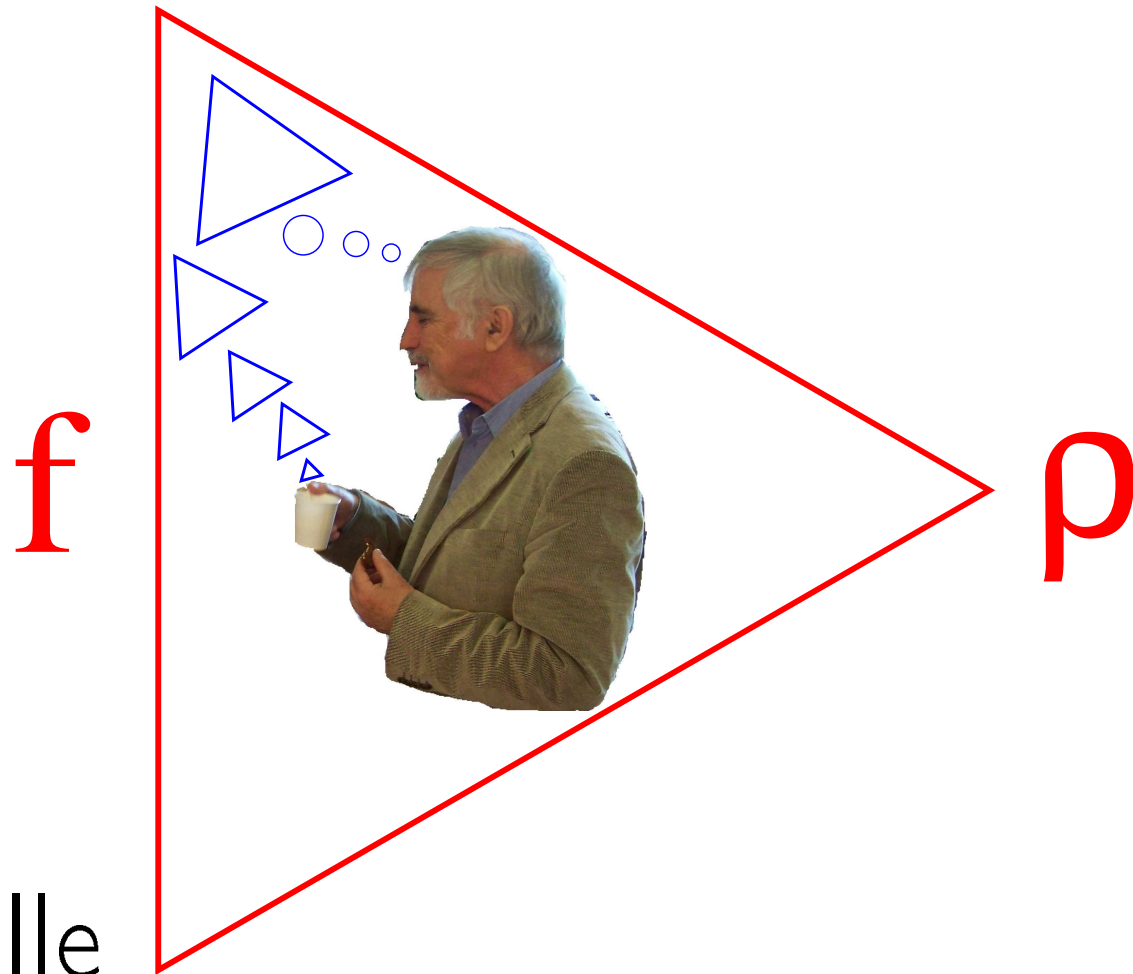
University of Colorado

The most basic Galois connection in algebra is the one associated to the binary relation\* of preservation between operations and relations. (Nearly all of the most basic concepts in algebra can be defined in terms of this relation.)

Observe that the automorphisms, endomorphisms, subuniverses, and congruences of an algebra are defined by restricting the preservation relation to special types of relations. The congruences of an algebra, for example, are the equivalence relations that are preserved by the basic operations of the algebra.

## Notation

Notation  $f$  preserves  $\varrho$ :



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# THE Galois connection Pol – Inv

induced by the relation

function  $f$  preserves relation  $\varrho$ :

$$f \triangleright \varrho$$

$$\begin{array}{ccccccc} f( & a_{11} & a_{12} & \dots & a_{1n} ) & = & \bullet \\ f( & a_{21} & a_{22} & \dots & a_{2n} ) & = & \bullet \\ & & & & & & \\ f( & a_{m1} & a_{m2} & \dots & a_{mn} ) & = & \bullet \end{array}$$

$$\underbrace{\in \varrho} \quad \underbrace{\in \varrho} \quad \dots \quad \underbrace{\in \varrho} \Rightarrow \underbrace{\in \varrho}$$

$F \subseteq \text{Op}(A)$  (set of all finitary operations  $f : A^n \rightarrow A$ ) (“objects”)

$Q \subseteq \text{Rel}(A)$  (set of all finitary relations  $\varrho \subseteq A^m$ ) (“attributes”)

$$\text{Inv } F := \{ \varrho \in R_A \mid \forall f \in F : f \triangleright \varrho \}$$

invariant relations

$$\text{Pol } Q := \{ f \in \text{Op}(A) \mid \forall \varrho \in Q : f \triangleright \varrho \}$$

polymorphisms

# Subalgebras, Congruences, Auto-(Homo-)morphisms

Special examples for preservation property  $\triangleright$ :

- Subalgebras ( $\varrho \subseteq A^m$ ):

$$\varrho \leq \langle A, F \rangle^m \iff F \triangleright \varrho$$

- Congruences ( $\theta \in \text{Eq}(A)$  equivalence relation):

$$\theta \in \text{Con} \langle A, F \rangle \iff F \triangleright \theta$$

- Automorphisms ( $\alpha \in S_A$  permutation):

$$\alpha \in \text{Aut} \langle A, F \rangle \iff F \triangleright \alpha^\bullet \quad (\alpha^\bullet := \{(x, \alpha(x)) \mid x \in A\})$$

- Homomorphisms ( $h : A^n \rightarrow A, \mathbf{A} := \langle A, F \rangle$ ):

$$h \in \text{Hom}(\mathbf{A}^n, \mathbf{A}) \iff F \triangleright h^\bullet \iff h \triangleright F^\bullet$$

# Main Theorem

## Theorem (Characterization of Galois closed elements (concepts))

$\mathcal{A} = \langle A, F \rangle$  *finite algebra*.

- $\text{Clo}(\mathcal{A}) = \langle F \rangle = \text{Pol Inv } F$  (clone generated by  $F$ )<sup>1</sup>,
- $m\text{-Loc } F = \text{Pol Inv}^{(m)} F$  (*m-locally closed clones, clones with m-interpolation property*),
- $[Q] = \text{Inv Pol } Q$  (relational clone generated by  $Q$ ).

$\mathcal{A} = \langle A, F \rangle$  (arbitrary) algebra

- $\text{Loc Clo}(\mathcal{A}) = \text{Loc} \langle F \rangle = \text{Pol Inv } F$  (*locally closed clone generated by  $F$* )

## Definition of a clone (of operations)

A set  $F$  of finitary functions  $f : A^n \rightarrow A$  (on a base set  $A$ ) is called *clone*<sup>2</sup>, if

- $F$  contains all *projections* ( $e_i^n(x_1, \dots, x_n) = x_i$ )
- $F$  is *closed under composition*<sup>3</sup> i.e., if  $f, g_1, \dots, g_n \in F$  ( $f$   $n$ -ary,  $g_i$   $m$ -ary), then

$$f[g_1, \dots, g_n] \in F.$$

$f[g_1, \dots, g_n](x_1, \dots, x_m) := f(g_1(x_1, \dots, x_m), \dots, g_n(x_1, \dots, x_m))$  [▶ clone books](#)

For arbitrary  $F$ ,  $\langle F \rangle$  or  $\langle F \rangle_{\text{Op}(A)}$  (*clone generated by  $F$* ) is the least clone containing  $F$ .

Axiomatizing composition  $\longrightarrow$  notion of *abstract clone*

*T. Evans, W. Taylor*, ... ( $\sim 1979$ ),

$\exists$  Cayley-like representation for abstract clones  $C$  by concrete clones: e.g.

*A. Sangalli* (1988):  $C \cong \text{Pol } M^\bullet$  for some  $M \leq A^A$ .

<sup>2</sup> *P.M. Cohn* (1965) attributes the notion to *Ph. Hall*

<sup>3</sup> *K. Menger* (1961) **composition = operation par excellence**

# Answer to Problem 1

Can one express exponentiation

$$f(x, y) := x^y$$

as composition of addition and multiplication

$$g_+(x, y) := x + y, \quad g \cdot (x, y) := x \cdot y$$

?

$$(x, y \in \mathbb{N}_+)$$

Problem:  $f \in \langle g_+, g \cdot \rangle$  ?

Answer: **No.**

Proof: **Idea:** Find  $\varrho \in \text{Rel}(A)$

such that  $g_+ \triangleright \varrho$ ,  $g \cdot \triangleright \varrho$

but **not**  $f \triangleright \varrho$ ,

because this would contradict  
to  $f \in \langle g_+, g \cdot \rangle$

$$\subseteq_{Thm} \text{Pol Inv}\{g_+, g \cdot\},$$

i.e.  $f \triangleright \text{Inv}\{g_+, g \cdot\}$ .

Take  $\varrho := \{(x, x') \in \mathbb{N}_+^2 \mid 3 \text{ divides } x - x'\}$

$\varrho$  is invariant for  $g_+, g \cdot$ , but not for  $f$ :

$$\begin{array}{l} f(2, 4) = 2^4 = 16 \\ f(2, 1) = 2^1 = 2 \end{array}$$

$\in \varrho$                        $\notin \varrho$



## Definition of a relational clone

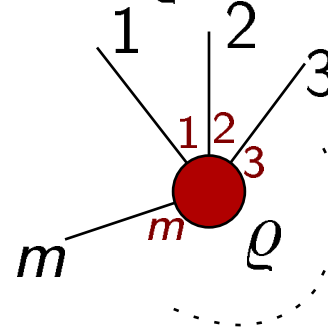
using the language of PAL  
(Peircean Algebraic Logic)

*C.S. Peirce* 1890....,

*R.W. Burch* 1991,

*F. Dau* 2000+....

$m$ -ary relation  $\varrho$  as relation graph:



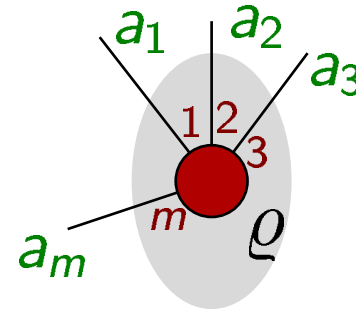
$Q \subseteq R_A$  relational algebra (clone) :  $\iff$  closed w.r.t.:

## Definition of a relational clone

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interpretation  $\llbracket \Gamma \rrbracket$  of a relation graph  $\Gamma$   
(evaluate edges by elements of  $A$ )



$$\llbracket \Gamma \rrbracket := \{(a_1, \dots, a_n) \mid (a_1, \dots, a_n) \in \varrho\}$$

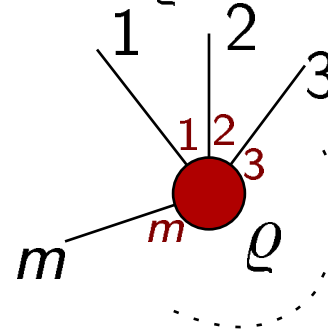
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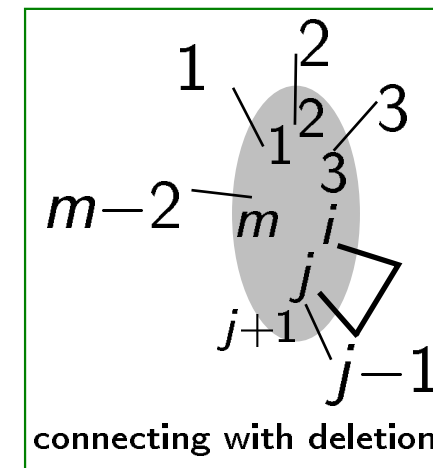
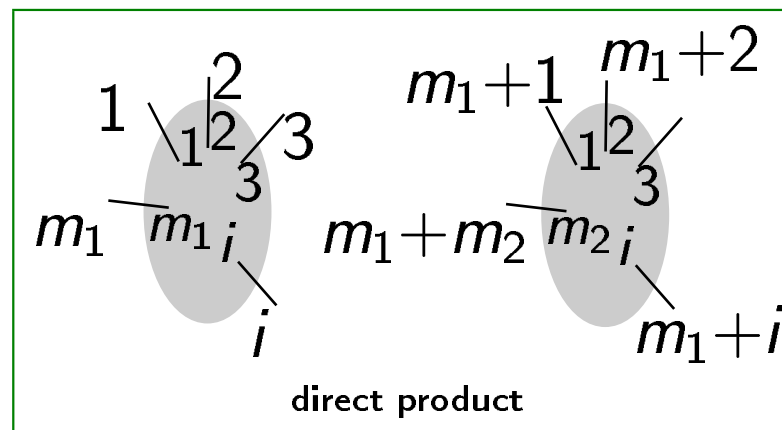
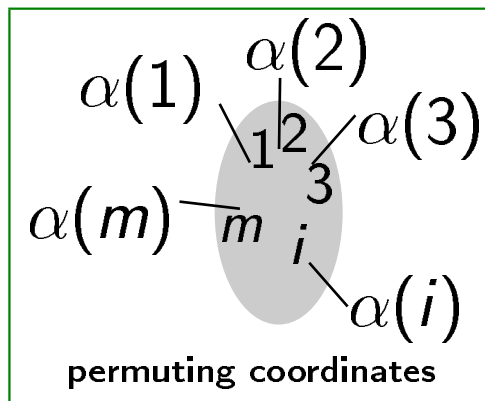
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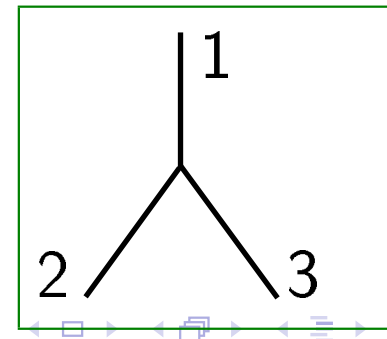
$m$ -ary relation  $\varrho$  as relation graph:



$Q \subseteq R_A$  relational algebra (clone) :  $\iff$  closed w.r.t.:



$Q$  contains the **teridentity**  $\text{id}_3 := \{(x, x, x) \mid x \in A\}$



# logical operations and relational algebras (clones)

other characterization of relational clones and Galois closures

$\varphi(x_1, \dots, x_m)$  first order formula containing quantifiers and connectives from  $\Phi$  only (with relation symbols  $\varrho_1, \dots, \varrho_n$  and free variables  $x_1, \dots, x_m$ ).

*Logical operation*  $\in \text{Lop}_A(\Phi)$  on  $\text{Rel}(A)$ :

$$L_\varphi(\varrho_1, \dots, \varrho_n) := \{(a_1, \dots, a_m) \mid \models \varphi(a_1, \dots, a_m)\}$$

$Q$  *relational algebra*  $\iff Q$  closed w.r.t.  $\text{Lop}_A(\exists, \wedge, =)$

Galois connection  $\text{Inv} - \text{Pol}$

Theorem:  $[Q]_{\text{RA}} = \text{Inv Pol } Q$

$Q$  *weak Krasner algebra*  $\iff Q$  closed w.r.t.  $\text{Lop}_A(\exists, \wedge, \vee, =)$

Galois connection  $\text{Inv} - \text{End}$

Theorem:  $[Q]_{\text{WKA}} = \text{Inv End } Q$

$Q$  *Krasner algebra*  $\iff Q$  closed w.r.t.  $\text{Lop}_A(\exists, \wedge, \vee, \neg, =)$

Galois connection  $\text{Inv} - \text{Aut}$

Theorem:  $[Q]_{\text{KA}} = \text{Inv Aut } Q$

## Answer to Problem 3

Answer to the problems

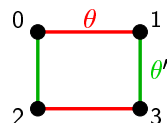
### Problem 3

Let

$$A := \{0, 1, 2, 3\}$$

$$G := \{e, g\} := \{(0), (03)(12)\} \leq S_4 \text{ (permutation group)}$$

$$L := \{\theta_0, \theta, \theta', \theta_1\} \text{ (lattice of equivalence relations on } A)$$



$\theta_0, \theta_1$  trivial equivalence relations

Does there exist an algebra

$$\mathcal{A} = \langle A, F \rangle$$

such that

$$G = \text{Aut } \mathcal{A} \text{ (automorphism group)}$$

$$L = \text{Con } \mathcal{A} \text{ (congruence lattice)}$$

?



Problem:

$$(*) \exists F : G = \text{Aut } F, L = \text{Con } F ?$$

Answer: **No.**

Proof:

$$(*) \iff F \triangleright Q := \{g^\bullet, \theta, \theta'\}$$

w.l.o.g.  $F = \text{Pol } Q$ . Then

$$\text{Inv } F = \text{Inv Pol } Q =_{\text{Thm.}} [Q]_{\text{RA}}$$

and we have:

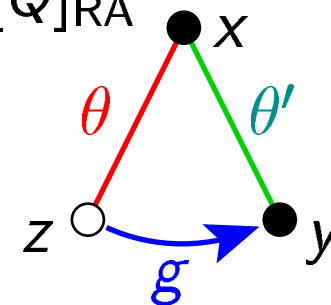
$$G^\bullet = (\text{Aut } F)^\bullet = S_A^\bullet \cap \text{Inv } F = S_A^\bullet \cap [Q]_{\text{RA}}$$

$$L = \text{Con } F = \text{Eq}(A) \cap \text{Inv } F = \text{Eq}(A) \cap [Q]_{\text{RA}}$$

in contradiction to  $\exists h^\bullet \in S_A^\bullet \cap [Q]_{\text{RA}} : h \notin G$

namely  $h^\bullet := L_\varphi(\theta, \theta', g^\bullet)$

$$= \{(x, y) \in A^2 \mid \underbrace{\exists z : x\theta z \wedge zg^\bullet y \wedge x\theta' y}_{\varphi}\}$$



i.e.  $h = (02)(13) \notin G$

## Answer to Problem 4

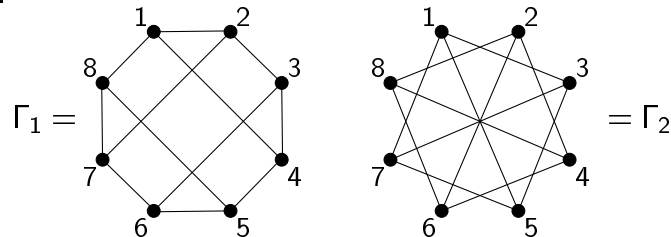
Some problems THE Galois connection Pol-Inv Clones and algebras The lattice of clones Completeness results Ans

### Problem 4

How to recognize whether

$$\text{Aut } \Gamma_1 \subseteq \text{Aut } \Gamma_2 \quad (*)$$

for graphs  $\Gamma_1 = (V, E_1)$ ,  $\Gamma_2 = (V, E_2)$  ?  
e.g.



How to construct all graphs  $\Gamma_2 = (V, E_2)$  satisfying (\*) ?



Concrete answer for example:

**YES!**

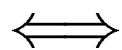
$$E_2 = (E_1 \circ E_1) \setminus \Delta_V, \text{ i.e.}$$

$$E_2 = L_\varphi(E_1) = \{ (x, y) \mid \exists z : \underbrace{x E_1 z \wedge z E_1 y \wedge \neg(x=y)}_\varphi \}$$

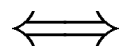
$$\implies \text{Aut } \Gamma_1 \subseteq \text{Aut } \Gamma_2.$$

General answer:

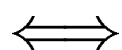
$$\text{Aut } \Gamma_1 = \text{Aut } E_1 \subseteq \text{Aut } E_2 = \text{Aut } \Gamma_2$$



$$\text{Inv Aut } E_1 \supseteq \text{Inv Aut } E_2$$



$$[E_1]_{\text{KA}} \supseteq [E_2]_{\text{KA}} \text{ (by Theorem)}$$



$$\exists \text{ first order formula } \varphi \in \Phi(\exists, \wedge, \vee, \neg, =) : E_2 = L_\varphi(E_1)$$

# Specializing and generalizing the Galois connection $Pol - Inv$

$E \subseteq Op(A), R \subseteq Rel(A) \longrightarrow$  Galois connection given by context  $(E, R, \triangleright)$ :

$E$	$R$	Galois closure	References
$Op(A)$	$Rel(A)$	$Pol\ Inv\ F$	[BodKKR69a], [BodKKR69b], [Gei68], [BakP75], [Rom76], [Rom77a], [Rom77b], [PösK79], cf. 2.3, 2.5
		$Inv\ Pol\ Q$	[Gei68], [BodKKR69a], [BodKKR69b], [Sza78], [PösK79], [Pös79], [Pös80a], cf. 2.3, 2.5
<i>Generalization to infinitary relations or operations</i>			
		$Pol\ Inv^\infty F$	[Ros72], [KraP76], [Poi81]
		$Inv^\infty Pol\ Q$	[Ros79]
		$Pol^\infty Inv^\infty F$	[Kra76b], [KraP76], [Poi81]
		$Inv^\infty Pol^\infty Q$	
<i>arity restrictions</i>			
$Op(A)$	$Rel^{(m)}(A)$	$Pol\ Inv^{(m)} F$	[Gei68], [BakP75], [Pös80a]
		$Inv^{(m)} Pol\ Q$	[Ros78]
$Op(A)$	$Rel^{(1)}(A)$	$Pol\ Sub\ F$	[Sch82, Thm. 1.6], [Pös80a]
		$Sub\ Pol\ Q$	see below
$Op^{(m)}(A)$	$Rel(A)$	$Inv\ Pol^{(m)} Q$	[Sza78], [Pös80a]
$Tr(A)$	$Rel(A)$	$Inv\ End\ Q$	[Kra38], [Kra50], [Kra76a], [Kra86], [Gou68], [BodKKR69a], [BodKKR69b], [Pös80a], [Bör00]
		$End\ Inv\ F$	
$Sym(A)$	$Rel(A)$	$Inv\ Aut\ Q$	[Kra38], [BodKKR69a], [BodKKR69b], [Gou72a], [Pös80a], [Bör00]
		$(sInv\ Aut\ Q)$	
		$wAut\ Inv\ F$	
		$Aut\ sInv\ F$	
$Sym(A)$	$Rel^{(m)}(A)$	$Aut\ Inv^{(m)} F$	[Wie69]
<i>restriction to (graphs of) operations only</i>			
$Op(A)$	$Op(A)^*$	$Pol\ Pol\ F$	[Sza78, Thm. 13], [Faj77], [Dan77] (for $ A  = 3$ ), (also Kuznecov, cf. [Val76])
$Op(A)$	$Tr(A)^*$	$Pol\ End\ F$	[SauS82], ([Rei82] implicit operations)
		$End\ Pol\ Q$	see below

instead of  $Pol\ Q - Inv\ F$

now:  $(E \cap Pol\ Q) - (R \cap Inv\ F)$

<i>concrete characterization problems</i>			
$E$	$R$	Galois closure	References
$Op(A)$	$Sym(A)^*$	$concrete\ characterization\ of\ Aut\ A$	[Jón68] (cf. [Jón72, (2.4.3)]), [Kra50], [ArmS64], [Sza75], [Bre76]
$Op^{(m)}(A)$	$Sym(A)^*$	$concrete\ characterization\ of\ Aut\ A\ for\ algebras\ A\ with\ at\ most\ m\text{-}ary\ operations$	[Plo68], [Jón72, (2.4.1)], [Gou72a]
$Op(A)$	$\mathfrak{P}(A)$	$concrete\ characterization\ of\ Sub\ A$	[BirF48] (cf. [Jón72, (3.6.4)]), [Gou68], [Gou72b]
		$Sub\ Pol\ Q$	for unary algebras: [Jón72, (3.6.7)], [JohS67]
$Op(A)$	$Eq(A)$	$concrete\ characterization\ of\ Con\ A$	[Arm70] (partial solution), [Jón72, (4.4.1)], [QuaW71], [Wer74], [Dra74]
		$Con\ Pol\ Q$	
		$Pol\ Con\ F$	for $p$ -rings $\langle A; F \rangle$ [Isk72]
$Op(A)$	$Tr(A)^*$	$concrete\ characterization\ of\ End\ A$	[Lam68], [GräL68], [SauS77a], [Sto69], [Sto75], [Jež72], [Sza78, Thm. 15]
$Op(A)$	$Sym(A)^* \cup \mathfrak{P}(A)$	$concrete\ characterization\ of\ Aut\ A\ \&\ Sub\ A$	[Sto72], [Gou72b], cf. 3.5
	$Sym(A)^* \cup Eq(A)$	$Aut\ A\ \&\ Con\ A$	[Wer74] (conjecture) cf. [Pös80b], (for simple $A$ [Sch64]), cf. 3.5
	$Op(A)^* \cup Sub(A)$	$End\ A\ \&\ Sub\ A$	[SauS77b] (cf. [Jón74])
	$Op(A)^* \cup Sub(A) \cup Eq(A)$	$Aut\ A\ \&\ Sub\ A\ \&\ Con\ A$	[Sza78], [Pös80a], cf. 3.5



# Specializing and generalizing *Pol* – *Inv* (continued)

From point of relational clones there are many further Galois connections

	Closure	Notation	Galois connection	
			closed relational system	closed operational system
for finite base set $A$ :				
(1)	$\text{Lop}_A(\exists, \wedge, \vee, \neg, =)$	$[Q]_{\text{KA}}$	sInv – Aut (cf. 2.3 and Tab. 1) Krasner algebra (cf. 1.5, 1.6)	group of permutations
(2)	$\text{Lop}_A(\exists, \wedge, \vee, =)$	$[Q]_{\text{WKA}}$	Inv – End (cf. 2.3 and Tab. 1) weak Krasner algebra (cf. 1.5, 1.6)	monoid of unary functions
(3)	$\text{Lop}_A(\exists, \wedge, =)$	$[Q]_{\text{RA}}$	Inv – Pol (cf. 2.3 and Tab. 1) relational algebra (cf. 1.5, 1.6)	clone of finitary functions
(3a)	$\text{Lop}_A(\forall, \exists, \wedge, =)$	$[Q]_{\text{VRA}}$	Inv – surPol $\forall$ -closed relational algebra	“surjective clone”
(4)	$\text{Lop}_A(\wedge, =)$		Inv – pPol weak system with identity	down-closed clone of finitary partial functions
(5)	$\text{Lop}_A(\wedge)$		Inv – mPol weak system of relations	down-closed clone of finitary multifunctions
(6)	$\text{Lop}_A(\exists, \wedge, \vee, \neg)$	$[Q]_{\text{BSP}}$	sInv – sEnd	(sbmEnd, resp.)
(6')			BSP (cf. 4.2)	Special monoid of unary functions (cf. [BörPS, 7.9])  (down-closed involuted monoid of bitotal multifunctions, resp.)
(7)	$\text{Lop}_A(\wedge, \vee, \neg, =)$	$[Q]_{\text{BSI}}$	sInv – spmEnd BSI (cf. 4.2)	down-closed involuted monoid of pp-multifunctions (partial permutations)
(8)	$\text{Lop}_A(\wedge, \vee, \neg)$	$[Q]_{\text{BS}}$	sInv – smEnd BS (cf. 4.2)	down-closed involuted monoid of unary multifunc-

for arbitrary base set  $A$ :

	Closure		Galois connection	
			closed relational system	closed operational system
Table 2, continued from previous page				
(9)	(KC) = (WKC)&(¬)&(sS)	$[Q]_{\text{KC}}$	sInv – Aut (cf. 2.5 and Tab. 1)	
			Krasner clone (cf. 2.4)	locally closed group of permutations
(10)	(PKC) = (WKC)&(ν)&(sS)	$[Q]_{\text{PKC}}$	Inv – wAut (cf. 2.5 and Tab. 1)	
			Pre-Krasner clone (cf. 2.4)	locally closed monoid of permutations
(11)	(WKC)&(sS)		Inv – sur-End	
			Inv $H$ for sets $H$ of surjective unary functions	locally closed monoid of surjective unary functions
(12)	(WKC)&(¬)		Inv – inb-End	
			Inv $H$ for locally invertible monoids $H$	locally closed monoid of unary functions
(13)	(WKC)&(ν)		Inv – inj-End	
			Inv $H$ for monoids $H$ of injective functions	locally closed monoid of injective unary functions
(14)	(WKC) = (RC)&(1-LOC)	$[Q]_{\text{WKC}}$	Inv – End (cf. 2.5 and Tab. 1)	
			weak Krasner clone (cf. 2.4)	locally closed monoid of unary functions
(15)	(RC) = (S)&(LOC)	$[Q]_{\text{RC}}$	Inv – Pol (cf. 2.5 and Tab. 1)	
			relational clone (cf. 2.4)	locally closed clone of finitary functions



# clones of term operations, free algebras, varieties

Main source of clones: Take algebra  $\mathbf{A} = \langle A, (f_i)_{i \in I} \rangle$

*clone of term operations* ( $F := \{f_i \mid i \in I\}$ )

$$T(\mathbf{A}) := \{t^{\mathbf{A}} \mid t \text{ term for signature } \mathbf{A}\} = \langle F \rangle_{\text{Op}(A)}$$

*clone of a variety*  $V$ :  $T(F_V(\aleph_0))$  (clone of free algebra)

## Theorem

$V := \text{Var}(\mathbf{A})$ . Free algebra with  $n$  generators = algebra of  $n$ -ary term operations:

$$F_V(n) \cong T_n(\mathbf{A}) = \langle e_1^n, \dots, e_n^n \rangle_{\mathbf{A}^{A^n}} \leq \mathbf{A}^{A^n}$$

Remark:  $\varrho \in \text{Inv}^{(n)} F \iff \varrho \leq \mathbf{A}^n$  i.e.  $\varrho \in \text{Sub}(\mathbf{A}^n)$

$\mathbf{B} \in \text{Var}(\mathbf{A}) \xLeftrightarrow{\text{HSP-Thm}} \exists \varrho \in \text{Inv}^{(\infty)} F : \mathbf{B} \text{ is homomorphic image of } \varrho$

## Dyadic view to (classes of) algebras

**Question:** Under which conditions algebras of a class  $\mathcal{K}$  (e.g. variety) are determined by their  $n$ -ary invariant relations?

$\mathbf{A}_1 = \langle A, F_1 \rangle, \mathbf{A}_2 = \langle A, F_2 \rangle \in \mathcal{K},$   
 $\text{Inv}^{(n)} F_1 = \text{Inv}^{(n)} F_2 \implies \mathbf{A}_1 = \mathbf{A}_2 ?$  or  $T(\mathbf{A}_1) = T(\mathbf{A}_2) ?$

### Examples

- $\mathcal{K} :=$  abelian groups:  
 $\text{Inv}^{(3)} F_1 = \text{Inv}^{(3)} F_2 \implies \mathbf{A}_1 = \mathbf{A}_2$
- $\mathcal{K} :=$  groups with abelian Sylow subgroups:  
 $\text{Inv}^{(3)} F_1 = \text{Inv}^{(3)} F_2 \implies T(\mathbf{A}_1) = T(\mathbf{A}_2)$   
(*K. Kearnes, A. Szendrei* 2005)
- $\mathcal{K} :=$  entropic algebras (i.e.  $F \triangleright F^\bullet$ ) with weak unit:  
 $\text{Inv}^{(3)} F_1 = \text{Inv}^{(3)} F_2 \implies T(\mathbf{A}_1) = T(\mathbf{A}_2) = T(\text{monoid})$   
(*D. Mašulović, R. Pöschel* 2007)

## Algebras with few subpowers

*J. Berman, P. Idziak, P. Marković, R. McKenzie, M. Valeriote, R. Willard*, Varieties with few subalgebras of powers. (2006)

$\mathcal{A} = \langle A, F \rangle$  *algebra with few subpowers* :  $\iff$   
 $s_{\mathcal{A}}(n) := \log |\text{Inv}^{(n)} F|$  can be bounded by a polynomial

### Theorem

*A finite algebra  $\mathcal{A}$  has few subpowers if and only if for some  $k > 0$ ,  $\mathcal{A}$  has a  $k$ -edge term (then  $s_{\mathcal{A}}$  is bounded by a polynomial of degree  $k$ ).*

close connections to CSP (later)

# Outline

## Some problems

# THE Galois connection

# Clones and algebras

## The lattice of clones

## Completeness

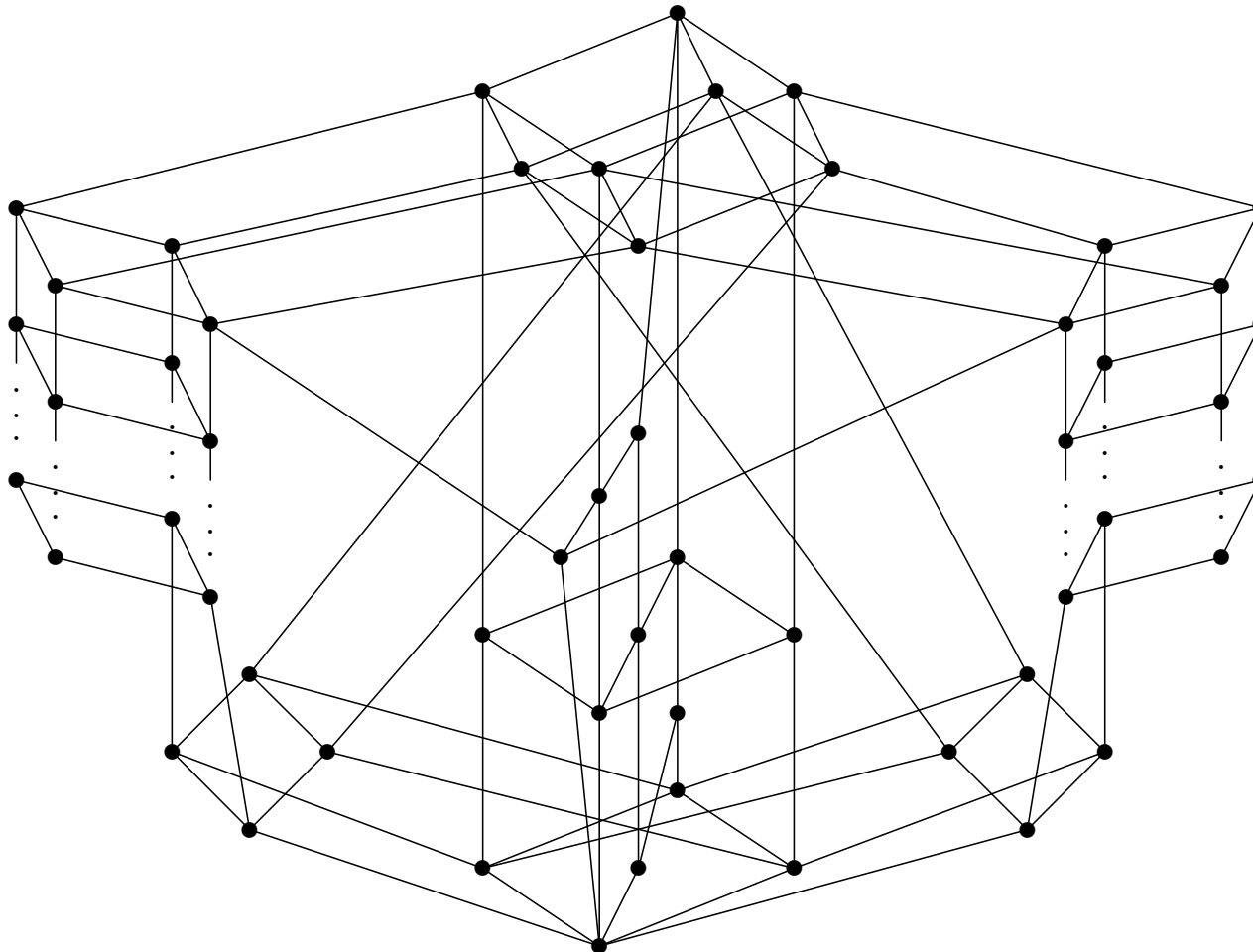
CSP

## Open problems

## Final remarks

## The lattice $\mathcal{L}_A$ of clones on $A$

The lattice  $\mathcal{L}_A$  of all clones on base set  $A = \{0, 1\}$  is countable  
(*E.L.Post* 1921/41)



## The lattice $\mathcal{L}_A$ of clones on $A$

$|A| > 2$ : The lattice of all clones on base set  $A$  is **uncountable**

$|\mathcal{L}_A| = 2^{\aleph_0}$  for  $3 \leq |A| \in \mathbb{N}$ , and  $|\mathcal{L}_A| = 2^{2^{|A|}}$  for infinite  $A$

The lattice  $\mathcal{L}_A$  satisfies no nontrivial lattice identities  
(*A. Bulatov* 1992,...)

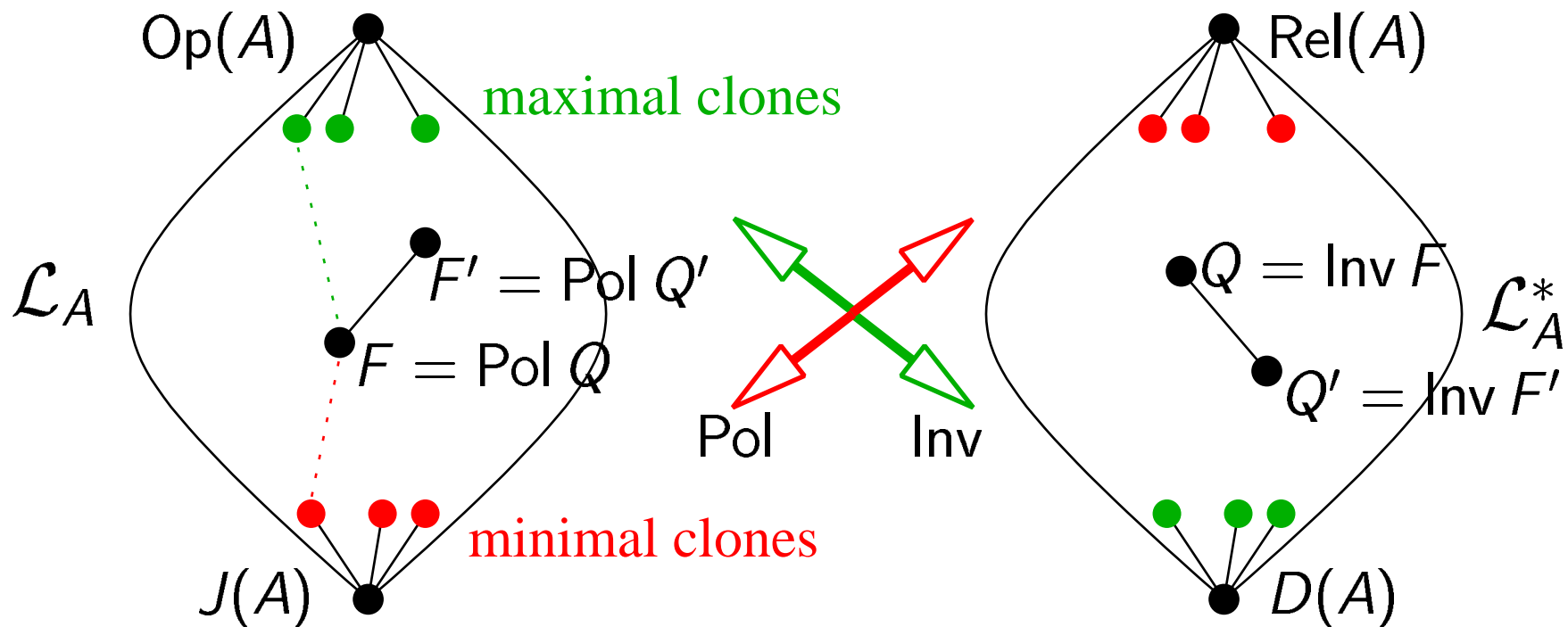
every algebraic lattice (with at most  $2^{|A|}$  compact elements) is  
(isomorphic to) a complete sublattice of  $\mathcal{L}_A$  (*M. Pinsker*)

atomic and coatomic for finite  $A$ ,  
but not for infinite  $A$  (*M. Goldstern, Shelah* 2002)

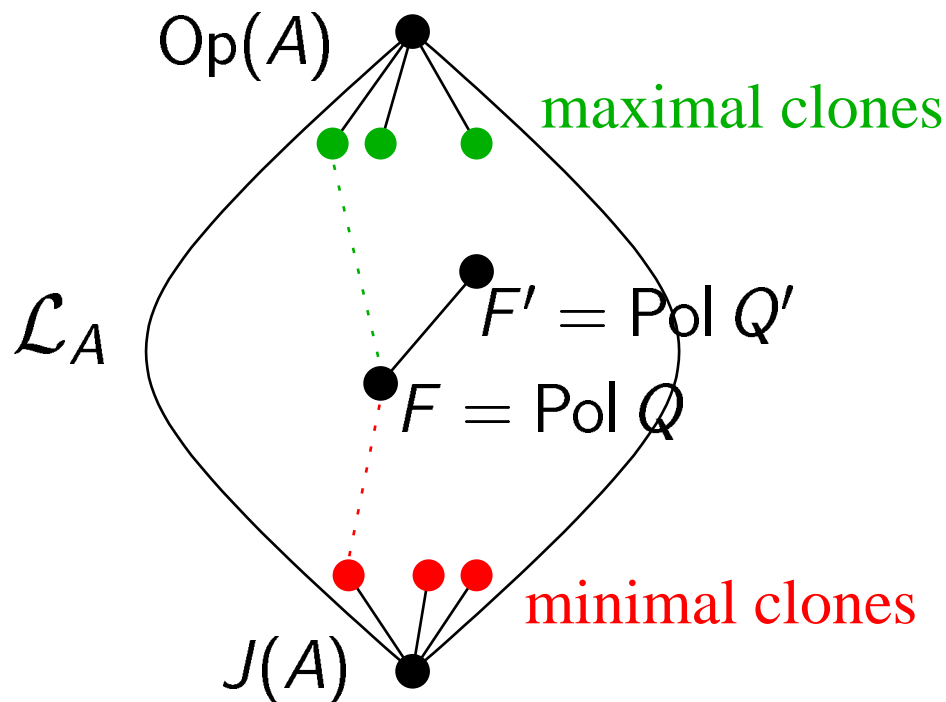
$\mathcal{L}_A$  can be partitioned in *monoidal intervals* (clones with the same unary part)

# The lattice $\mathcal{L}_A$ of clones on $A$

dyadic view



# The lattice $\mathcal{L}_A$ of clones on $A$



Maximal clones:

$|A| = 2$ : *E.L. Post*

$|A| = 3$ : *S.V. Jablonski* (1958)

$|A| = 4$ : *A.I. Mal'cev* ( $\leq 1969$ )

$|A| \in \mathbb{N}$ : *I.G. Rosenberg* (1970)

(of form  $\text{Pol } \varrho_i, i \in I$ )

$|A|$  infinite: *I.G. Rosenberg, L. Heindorf, M. Goldstern, M. Pinsker<sup>a</sup>, ...*

submaximal clones: *D. Lau*

maximal clones  $C$  where

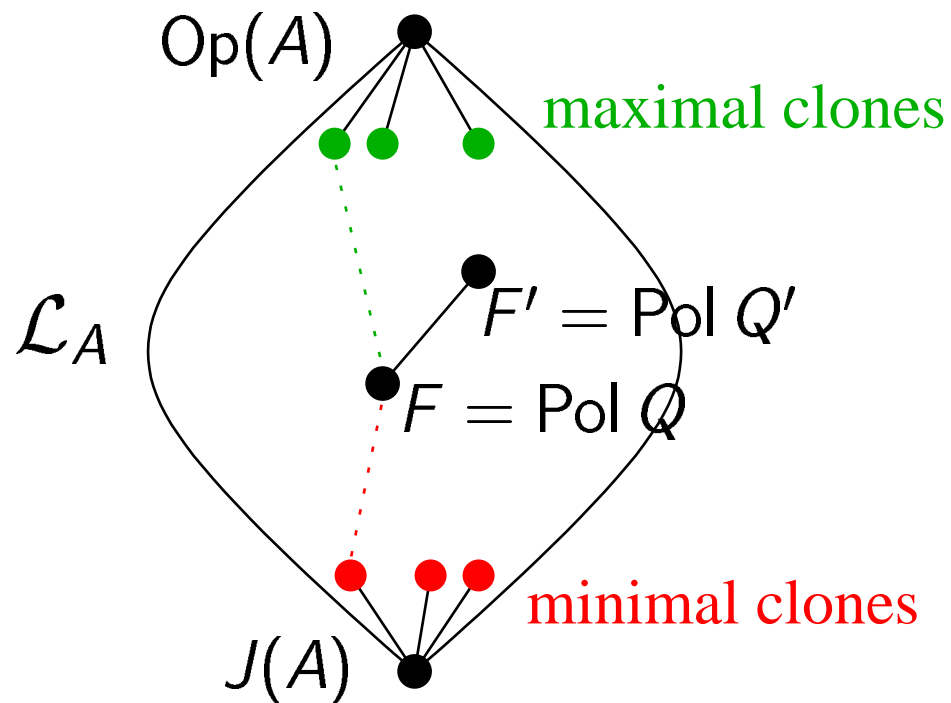
$C \cap \text{Sym}(A)$  is maximal permutation group in  $\text{Sym}(A)$ :

*P.P. Pálffy* (2007)

<sup>a</sup>Goldstern/Pinsker, *A survey of clones on infinite sets*. 2007



# The lattice $\mathcal{L}_A$ of clones on $A$



Minimal clones: complete description still **open problem**

$|A| = 2$ : *E.L. Post* (1920/41)

$|A| = 3$ : *B. Csákány* (1983)

classification: *I.G. Rosenberg* (1983)

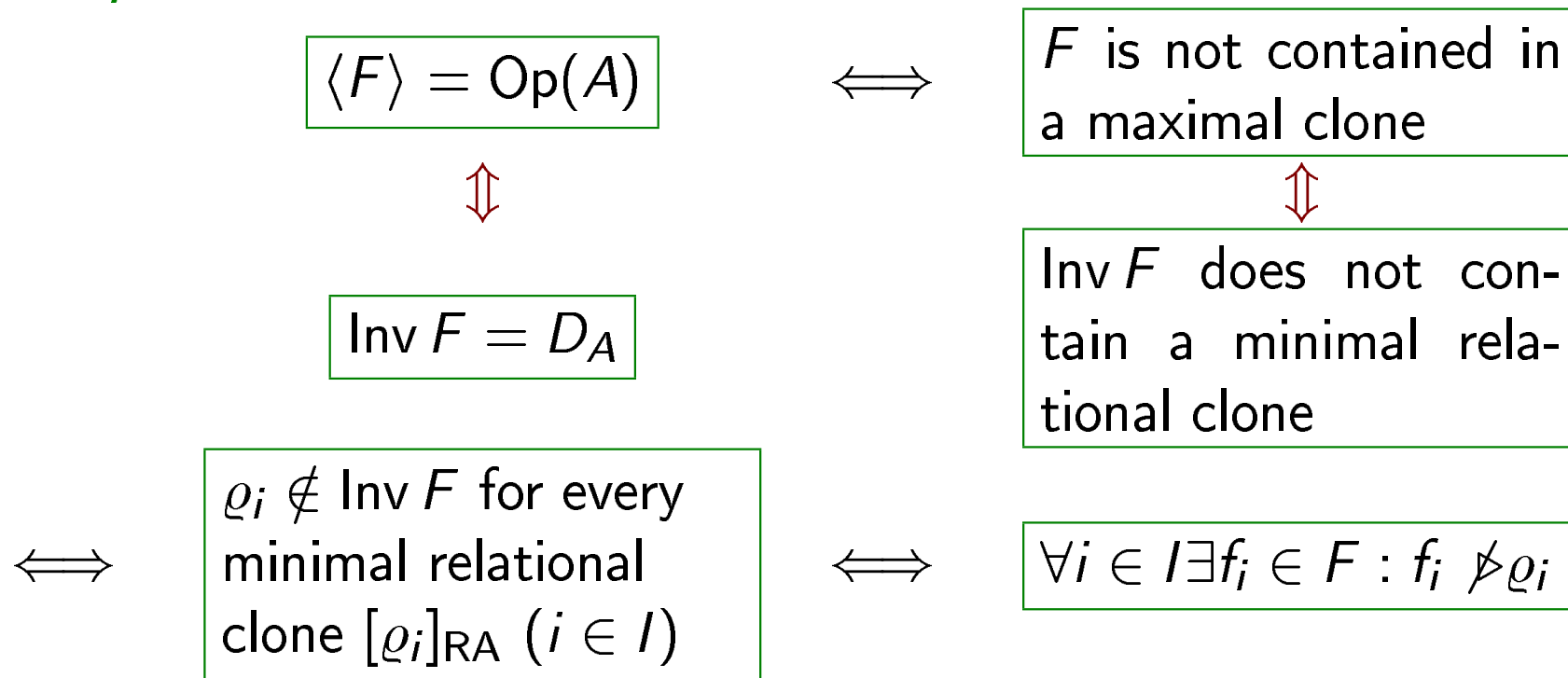
further partial results: *G. Czédli, A. Szendrei, K. Kearnes, P.P. Pálffy, L. Szabo, B. Szszepara, T. Waldhauser, ...*

essentially minimal clones: *I.G. Rosenberg, H. Machida, ...*

## Completeness and maximal clones

$F \subseteq \text{Op}(A)$  *complete* :  $\iff \langle F \rangle_{\text{Op}(A)} = \text{Op}(A)$   
 (i.e., the algebra  $\langle A, F \rangle$  is *primal*,  $\implies A$  finite)

### Completeness Theorem:



maximal clones are of the form  $\text{Pol } \varrho_i$  ( $i \in I$ )

for relations  $\varrho_i$  described by *I. Rosenberg*

## Answer to Problem 2

**Problem 2:** Can one represent *every* Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  as composition of the function  $t(x, y, z) := \text{if } x = y \text{ then } z \text{ else } x$  (where substituting constants is allowed)?

$\iff F = \{t, c_0, c_1\}$  complete ?

Answer **Yes**.

direct proof:

$$x \wedge y = t(x, 1, y)$$

$$\neg x = t(0, x, 1) \quad \square$$

Proof using the general completeness criterion:

5 maximal clones in  $\text{Op}(\{0, 1\})$ :  $\text{Pol } \varrho_i \quad i \in \{0, 1, 2, 3, 4\}$

$$\varrho_0 := \{0\}, \quad \varrho_1 := \{1\}, \quad \varrho_2 := \{(x, y) \mid x \leq y\},$$

$$\varrho_3 := \{(x, y) \mid x = \neg y\}, \quad \varrho_4 := \{(x, y, z, u) \mid x \oplus y = z \oplus u\}$$

$F := \{t, c_0, c_1\} \not\subseteq \varrho_i$ :  $c_1 \not\subseteq \varrho_0, c_0 \not\subseteq \varrho_1, c_0 \not\subseteq \varrho_3, t \not\subseteq \varrho_2, \varrho_4$ :

$t(0 \quad 0 \quad 1)$	$= 1$	$t(0 \quad 0 \quad 1)$	$= 1$
$t(0 \quad 1 \quad 1)$	$= 0$	$t(0 \quad 1 \quad 0)$	$= 0$
$\in \varrho_2 \quad \in \varrho_2 \quad \in \varrho_2$	$\notin \varrho_2$	$t(0 \quad 1 \quad 1)$	$= 0$
		$t(0 \quad 0 \quad 0)$	$= 0$
		$\in \varrho_4 \quad \in \varrho_4 \quad \in \varrho_4$	$\notin \varrho_4$

# Computational complexity of CSP (cf. Problem 5)

Theorem (*P. Jeavons* 1998).  $\Gamma_1, \Gamma_2 \subseteq \text{Rel}(A)$  finite.

$\Gamma_1 \subseteq \text{Inv Pol } \Gamma_2 \implies \text{CSP}(\Gamma_1) \text{ can be reduced to } \text{CSP}(\Gamma_2) \text{ in polynomial time.}$

## Problem 5

What can be said about the computational complexity of  
Constraint Satisfaction Problems (CSP)

$\Gamma$  set of (finitary) relations on a domain  $D$ .

General (algebraic) definition of CSP:

$\text{CSP}(\Gamma) :=$  set of problems of the form

Does there exist a relational homomorphism  
 $(V, \Sigma) \rightarrow (D, \Sigma')$

(between relational systems of the same type) where  $\Sigma' \subseteq \Gamma$ ?

Special CSP:

GRAPH COLORABILITY, GRAPH ISOMORPHISM,  
SATISFIABILITY (SAT)



in particular

$\text{compl}(\text{CSP}(\Gamma)) = \text{compl}(\text{CSP}([\Gamma]_{\text{RA}}))$

depends only on the clone  $\text{Pol } \Gamma$ .

large  $\Gamma \longleftrightarrow$  small  $\text{Pol } \Gamma$

$\implies$  Problem:

Classify minimal clones  $F$ , for which  
 $\text{CSP}(\text{Inv } F)$  is tractable?

*Jeavons, Bulatov, Krokhin, ...*

# Computational complexity of CSP (cf. Problem 5)

Theorem (*P. Jeavons* 1998).  $\Gamma_1, \Gamma_2 \subseteq \text{Rel}(A)$  finite.

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Special CSP:

GRAPH COLORABILITY, GRAPH ISOMORPHISM,  
SATISFIABILITY (SAT)



another (algebraic) approach:

*P. Idziak, P. Marković, R. McKenzie, M. Valeriote, R. Willard*, Tractability and learnability arising from algebras with few subpowers. (2007)

## Theorem

For a finite algebra  $\mathcal{A} = \langle A, F \rangle$  with few subpowers,  $\text{CSP}(\text{Inv } F)$  is globally tractable.

## to be investigated:

- modified Galois connections (change “objects” and “attributes”)
- clone lattice (e.g. minimal clones, intervals, ...)
- dyadic view to algebraic constructions:  
 $\text{Inv}(\text{construction}(F_i)_{i \in I}) = \text{which } \text{construction}(\text{Inv } F_i)_{i \in I} ?$   
 $\text{Pol}(\text{construction}(Q_i)_{i \in I}) = \text{which } \text{construction}(\text{Pol } Q_i)_{i \in I} ?$   
e.g. relational view to tame congruence theory
- algorithmic problems (e.g.  $f \triangleright \varrho$ , free algebras, CSP)

# Outline

## Some problems

# THE Galois connection

# Clones and algebras

# The lattice of clones

## Completeness

CSP

## Open problems

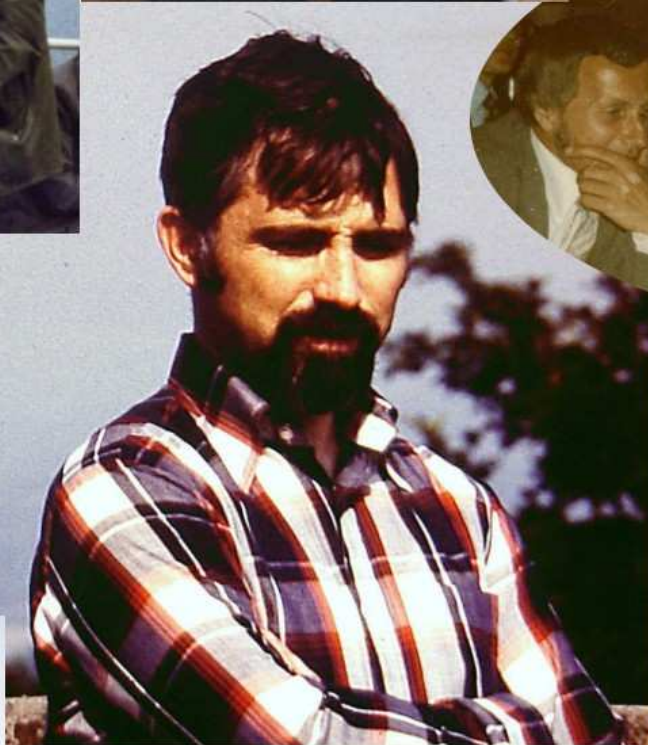
## Final remarks



Some problems	THE Galois connection	Clones and algebras	The lattice of clones	Completeness	CSP	Open problem
ooooo	oooooooooooooooooooo	ooo	o	oo	o	o

# 50+25 **A**rbeitstagung **A**llgemeine **A**lgebra with Rudolf Wille

*AAA series founded by  
Rudolf Wille 1971*





## Congratulation



Dear Rudolf, on behalf of all participants and the whole “AAA-community” many thanks for all what you did for the development of algebra, congratulations to your **70th birthday** today; good health and further success and all the best for the future.

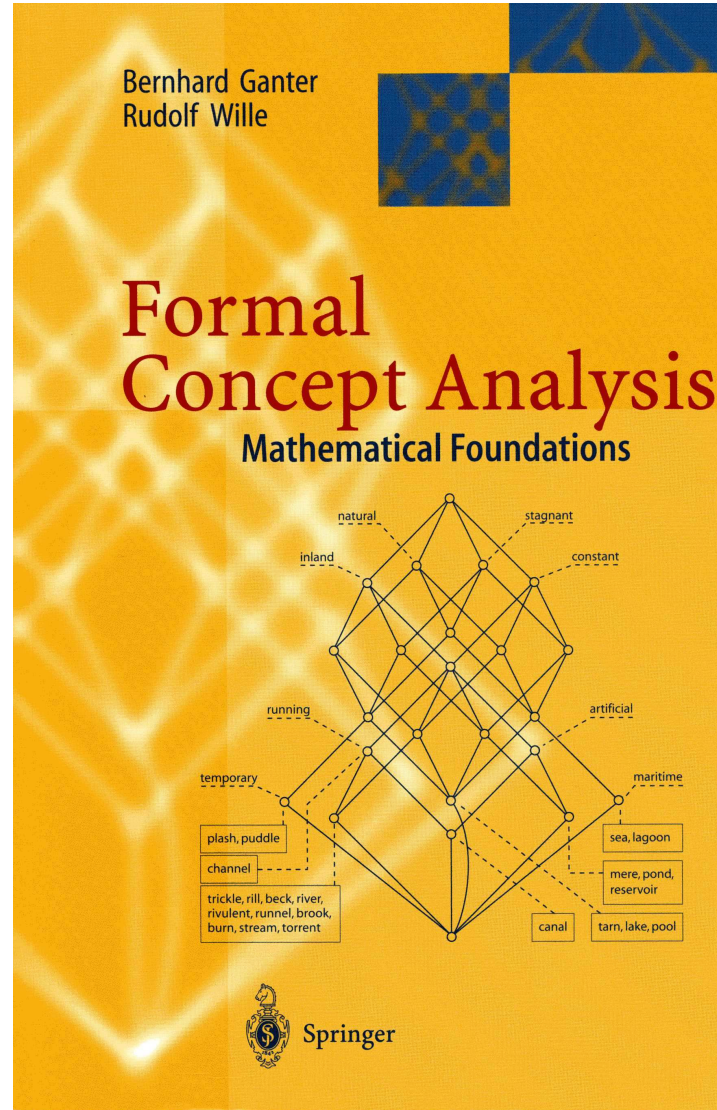
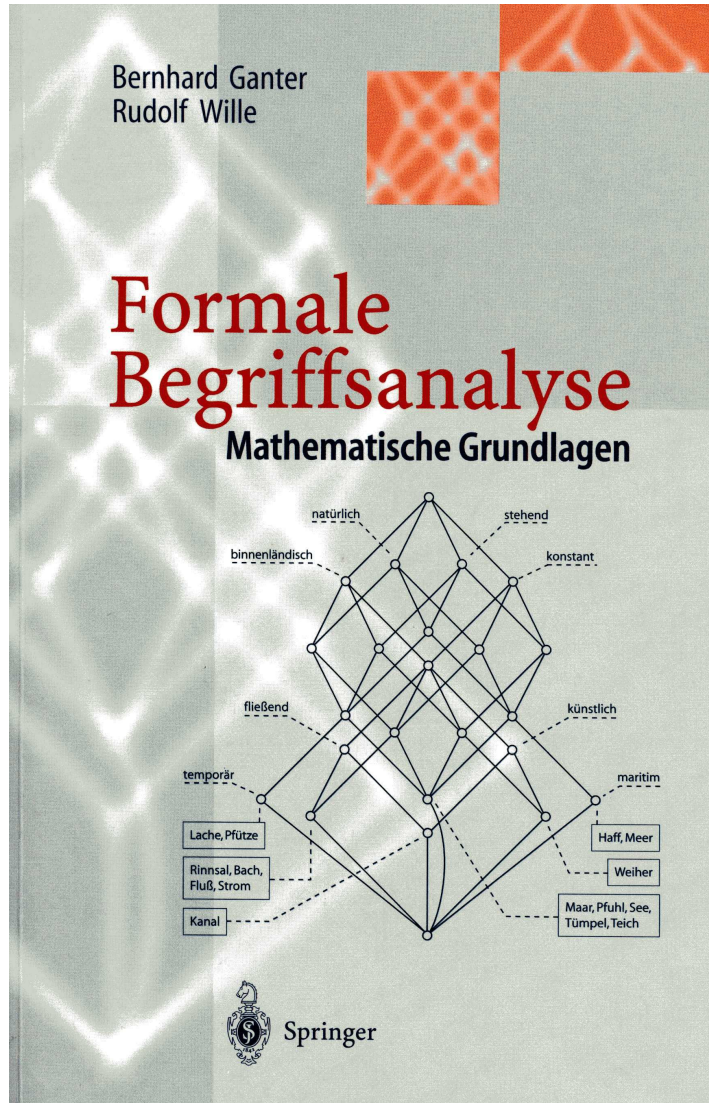
Lieber Rudolf, im Namen aller Tagungsteilnehmer und der ganzen AAA-Community sage ich Dir Dank für alles, was Du für die Entwicklung der Algebra getan hast, gratuliere ganz herzlich zu Deinem heutigen **70. Geburtstag** und wünsche Dir Gesundheit, Schaffenskraft und alles Gute für die nächsten  $n$  Jahre ( $n \in \mathbb{N}$ )

70 years Rudolf Wille =  $(50+25) \times \text{AAA}$





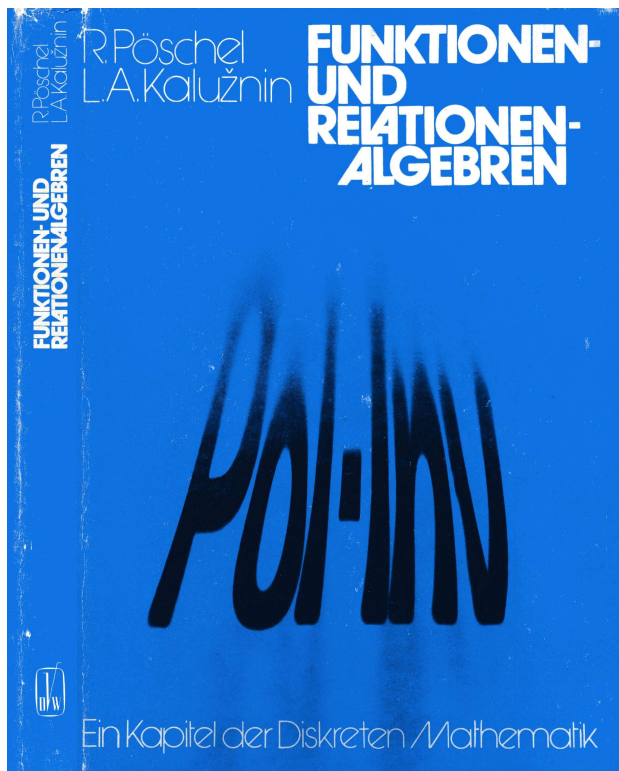
# THE book of Formal Concept Analysis



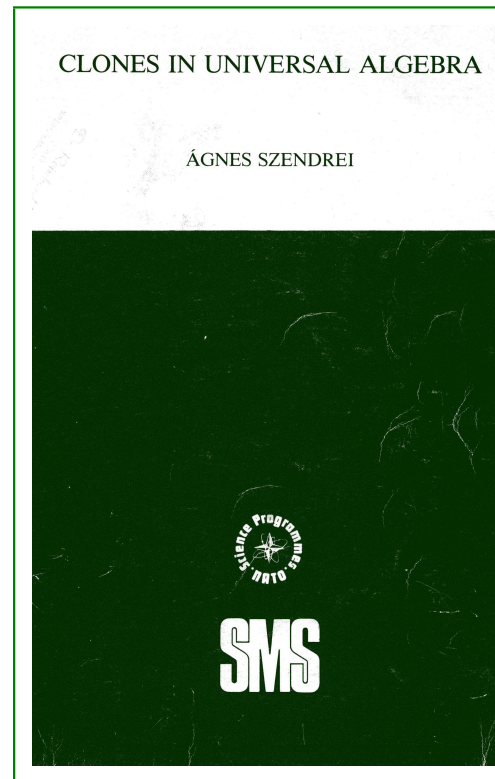
## Some books about clones

*R. Pöschel*

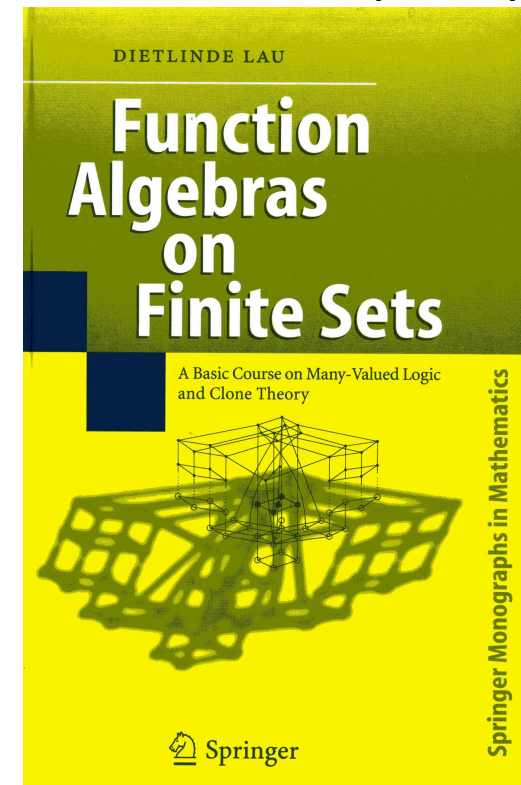
*L.A. Kalužnin* (1979)



*A. Szendrei* (1986)



*D. Lau* (2006)



◀ **back**